

**PUBLIC SUMMARY OF RECERTIFICATION AUDIT OF  
PERAK FOREST MANAGEMENT UNIT  
FOR FOREST MANAGEMENT CERTIFICATION**

**Certificate No : FMC 004  
Date of Recertification Audit: 8-13 October 2012**

**Certification Body:**

**SIRIM QAS International Sdn Bhd  
Block 4, SIRIM Complex  
1, Persiaran Dato' Menteri  
Section 2, P. O. Box 7035  
40700 Shah Alam  
Selangor, MALAYSIA**

**Tel: +60 3 5544 6400  
+60 3 5544 6448  
Fax: +60 3 5544 6763  
Email: [radziah\\_mohd.daud@sirim.my](mailto:radziah_mohd.daud@sirim.my)**

## 1. INTRODUCTION

The Perak Forest Management Unit (hereafter referred to as 'the Perak FMU') was awarded the *Certificate for Forest Management* against the MC&I (2002) following the Stage 2 Audit that was conducted on 26 October – 2 November 2009. Following the certification, the first surveillance audit was conducted on 8 – 12 November 2010, while the second surveillance audit on 21-24 November 2011.

The recertification audit on the Perak FMU was conducted from 8-13 October 2012. This was an audit to assess the continued overall compliance of the Perak State Forestry Department (PSFD)'s forest management system and practices of the Permanent Reserved Forests (PRFs) within the Perak FMU against the requirements of the *Malaysian Criteria and Indicators for Forest Management Certification* [MC&I (2002)]. In line with the transition plan from the use of MC&I (2002) to MC&I (Natural Forest), the recertification audit also included the MC&I (Natural Forest) in its audit scope.

This recertification audit was conducted by a three-member team comprising Mr. Khairul Najwan Ahmad Jahari (Forester), Dr. S.K. Yap ( Forester/Ecologist), Prof Mohd Basri Hamzah (Forestry) and Mr. Elbson Marajan Pengeran ( Forester). The audit commenced with an opening meeting on 8 October 2012 at the PSFD's Head Office in Ipoh.

The scope of the audit was limited to the forest management system and practices of the natural forest within the PRFs of the FMU. In addition to assessing the FMU's compliance to the certification standard, the audit team also verified the corrective actions taken by the PSFD to close the minor Non-Conformity Reports (NCRs) and Opportunity for Improvements (OFIs) which had been raised during the second surveillance audit.

The PSFD had taken the appropriate corrective actions to address the two (2) major NCRs and seven (7) OFIs raised in the second surveillance audit. The audit team was satisfied with the corrective actions taken by the PSFD and had consequently agreed to close all the NCRs and OFIs raised.

In general, this recertification audit has found that the PSFD has continued to comply with the requirements of the MC&I(2002) and the MC&I(Natural Forest). However, lapses were noted which resulted in the raising of two (2) minor NCRs and six (6) OFIs.

As no major NCR was raised, it was recommended that the *Certificate for Forest Management* awarded to PSFD be renewed upon the acceptance of the proposed corrective actions from the PSFD by SIRIM QAS International to address the minor NCRs and OFIs within a month from the last day of the recertification audit.

## 2. GENERAL SUMMARY

### 2.1 Name of FMU

Perak FMU

## 2.2 Contact Person and Address

Dato Hj Roslan bin Ariffin  
Director of Forestry  
Perak State Forestry Department  
Persiaran Meru Utama,  
Bandar Meru Raya,  
30020 Ipoh,  
PERAK

Phone : 05-528 8100  
Fax : 05-528 8101  
E-mail : [roslan@forestry.gov.my](mailto:roslan@forestry.gov.my)

## 2.3 Date of Recertification Audit

8-13 October 2012 (24 auditor days)

## 2.4 Audit Team

Mr. Khairul Najwan Ahmad Jahari (Trainee Lead Auditor)  
Dr. Yap Son Kheong (Auditor)  
Elbson Marajan Pengeran (Auditor)  
Prof. Mohd Basri Hamzah (Auditor)

## 2.5 Standards Used

Malaysian Criteria and Indicators for Forest Management Certification [MC&I (2002)] and  
Malaysian Criteria and Indicators for Forest Management Certification [MC&I (Natural Forest)]

## 2.6 Stakeholder Consultation

A stakeholder consultation was conducted in September 2012 for a period of one month. The comments by the stakeholders and responses from the audit team are shown in **Attachment 1**.

## 3. Perak Forest Management Unit

The Perak FMU managed by the PSFD comprises of 991,436 ha of PRFs of the state's total land area of 2.1 million ha. There is no change in the figure of the FMU from second surveillance audit in 2011 to the recertification audit in 2012.

The PRFs consists mainly of inland forest which is Hill and Upper Hill Dipterocarp Forests including Mangrove Forest, with patches of Lowland Dipterocarp which are protected mainly for research purposes.

The administration of the FMU is divided into five forest districts, namely the South Perak, Kinta/Manjung, Kuala Kangsar, Larut & Matang and Hulu Perak Forest Districts. The map of the FMU is shown as **Attachment 2**.

A Forest Management Plan (FMP) covering the period from 2006 to 2015 was presented during the audit. The Perak FMU continues to be managed on a sustainable basis with the inland PRFs under a Selective Management System (SMS) over on a 30-year rotation period.

#### **4. SUMMARY OF RECERTIFICATION AUDIT FINDINGS**

The audit team had also verified the corrective actions taken by the PSFD to address all the two (2) Major NCRs and seven (7) OFIs raised during the second surveillance audit in 2011. The verification audit was conducted on 28 and 29 December 2011. From the verification, the audit team had found that the corrective actions taken by the PSFD were appropriate and adequate to address the major NCRs and OFIs raised in the second surveillance in 2011. As such, the audit team had closed out the major NCRs and OFIs raised during the second surveillance audit in 2011. Details of the status of the NCRs and OFIs raised during the second surveillance audit as well as those raised during the recertification audit are shown in **Attachment 3**.

With regard to the implementation of the instruction related to Criterion 6.10, there had been no new issue related to conversion of the natural forest to forest plantations/non-forest land use since the second surveillance audit in 2011. As for the instruction related to indigenous peoples' right, PSFD has continued to undertake both formal and informal consultations with the Orang Asli communities, Jabatan Orang Asli & logging contractors on matters affecting their customary , legal and use rights as a result of the forest management operations including logging activities.

The overall findings of the recertification audit indicated that the Perak FMU continues to fulfill the requirements of the MC&I(2002) and the MC&I (Natural Forest). A total of two (2) new Minor NCRs and 6 OFIs were raised during the recertification audit.

The PSFD had submitted a corrective action plan on 17 May 2013 to address the Minor NCRs and OFIs that was accepted by the audit team leader. The proposed corrective actions to address the Minor NCRs and OFIs shall be verified by the audit team during the next surveillance audit.

As there was no major NCR raised and all the NCRs raised during the second surveillance audit had been satisfactorily closed out, it is therefore recommended that the *Certificate for Forest Management* awarded to the PSFD be renewed.

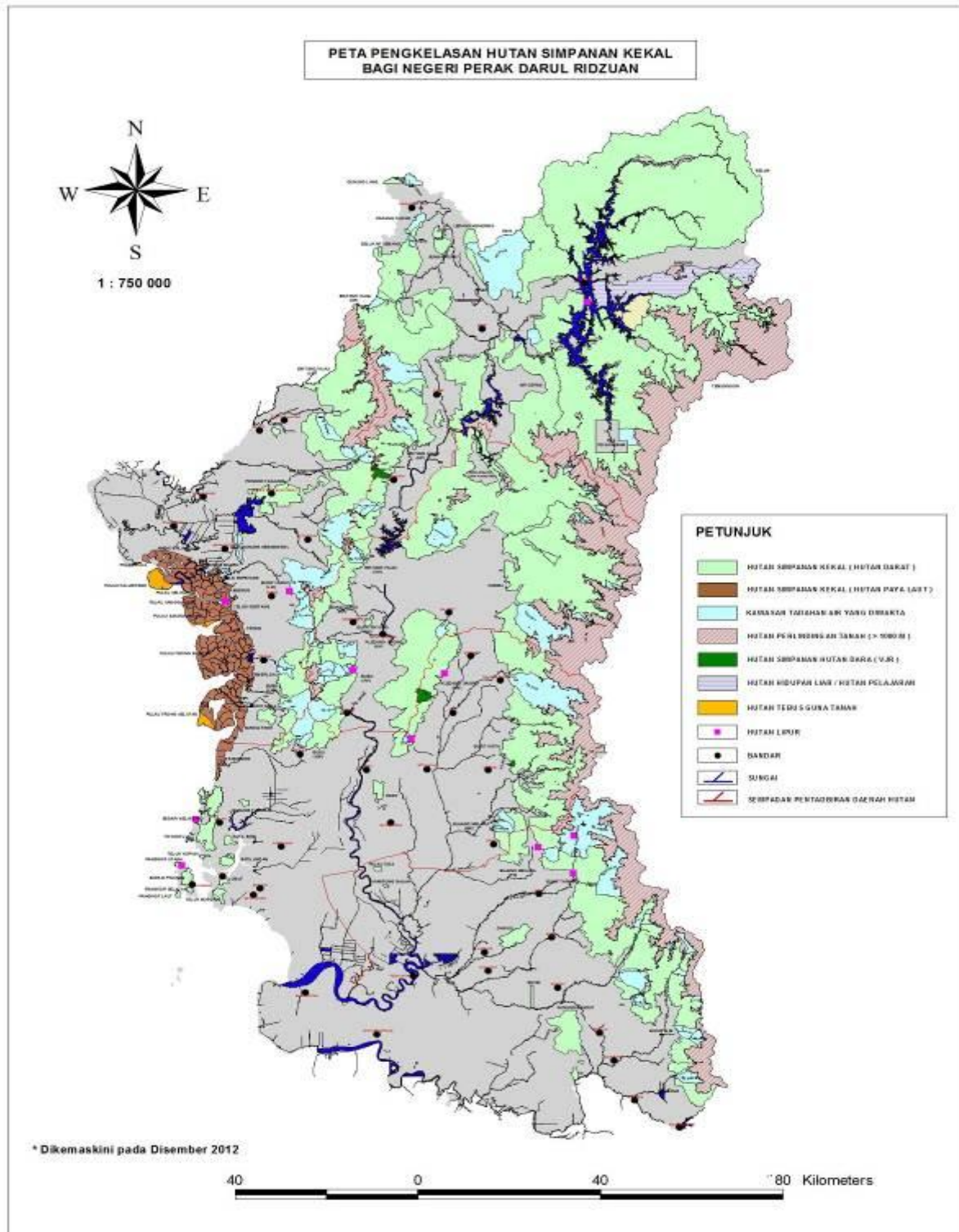
**Comments by Stakeholders and Responses from Audit Team**

No.	Stakeholder	Comments/Issues Raised	Response by Audit Team
1	Stakeholder 1	<p>Illegal logging: Perak PAC yet to receive report</p> <p>Posted on January 25, 2012, Wednesday</p> <p>IPOH: The Perak Public Accounts Committee (PAC) has yet to receive a report on illegal logging at Chikus Permanent Forest Reserve involving over 4,000 hectares of land.</p> <p>Its chairman Datuk Raja Ahmad Zainuddin Raja Omar said the illegal logging is a serious matter as it occurred over 20 years ago and involved government agencies.</p> <p>“We have not received any reports from Perak Forestry Department (JPNP) and will check with Audit Department whether they had received the report,” he told reporters here yesterday.</p> <p>He said JPNP should not remain silent on the matter as it involved permanent forest reserve where cultivation of crops was prohibited.</p> <p>Illegal logging at Chikus Permanent Forest Reserve in Teluk Intan would be discussed at PAC’s first meeting of 2012 at the end of this month.</p> <p>On Nov 5 last year, Perak PAC asked JPNP to explain illegal logging at Chikus Permanent Forest Reserve.</p> <p>Raja Ahmad Zainuddin said the explanation should cover the entire forest areas and not just Chikus Permanent Forest Reserve as reported recently.</p> <p>“We seek clarification from JPNP about this problem. Was the illegal logging due to carelessness or lack of manpower?,” he asked.</p>	<p>The size of Chikus Permanent Reserve Forest is 2,534 hectares. The illegal land opening is at Compartment 68 by Orang Asli (latest) and Compartment 7 by local farmer about 20 years ago for oil palm plantation.</p> <p>An explanation letter to Datuk Raja Ahmad Zainuddin Raja Omar was made on 14 February 2012 as responses to the article dated 24 January 2012.</p> <p>A few actions have been taken involved summon due to the encroachment:</p> <ol style="list-style-type: none"> <li>1) Briefing session with local farmers was conducted by Perak Forestry Department on 5 March 2009 requesting them to stop land work for agriculture in forest reserve</li> <li>2) A proposal for handling of illegal land opening has been tabled by Perak State Forestry Department to “Mesyuarat Jawatankuasa Kehutanan Negeri” no. 2/2011 dated 24 October 2011 chaired by Chief Minister.</li> <li>3) Meeting with Orang Asli together with Distric Officer and JAKOA was conducted on 17 April 2012 after the “Majlis Mesyuarat Kerajaan Negeri” meeting</li> </ol> <p>In conclusion, based on the findings during the audit, it was found the size of the forest reserve as well as the encroachment areas were not as big as claimed. Actions and evidences were sighted such as records of meetings on actions taken on illegal land opening and briefing session made to the local farmers including Orang Asli.</p>

		PAC also wanted to know the type of action to be taken to avoid a repeat and would get the exact forest areas and areas logged illegally by individuals or companies. — Bernama	
2	Stakeholder 2	<p>TELUK INTAN 28 Okt. - Jabatan Perhutanan Perak diminta membuat penjelasan berhubung isu penerokaan secara haram tanah seluas kira-kira 4,000 hektar oleh pihak tidak bertanggungjawab di kawasan Hutan Simpan Kekal Chikus di sini.</p> <p>Ahli Dewan Undangan Negeri (ADUN) Sungai Manik, Datuk Zainol Fadzi Paharuddin berkata, tindakan menebang pokok-pokok di hutan tersebut dan diganti dengan sawit serta tanaman kontan seperti betik, limau kasturi, limau nipis dan jambu batu perlu dipandang serius.</p> <p>Menurut beliau, perkembangan itu amat dikesali memandangkan isu tersebut sudah beberapa kali dibangkitkan, tetapi penerokaan tanah secara haram di kawasan berkenaan semakin menjadi-jadi.</p> <p>"Jabatan Perhutanan sepatutnya bertindak kerana ia melibatkan kawasan hutan simpan kekal dan sebarang penerokaan untuk tanaman tidak dibenarkan di kawasan ini," katanya semasa meninjau kawasan hutan tersebut semalam.</p> <p>Zainol Fadzi berkata, isu itu menimbulkan kemarahan masyarakat Orang Asli di situ kerana mereka dilarang bercucuk tanam tetapi pada masa sama ada pihak yang dibenarkan berbuat demikian.</p> <p>"Saya sudah bangkitkan perkara ini. Jika 150 keluarga Orang Asli dilarang meneroka dan bercucuk tanam, kenapa</p>	<p>Same comment as above</p> <p>Note: The content of the Input from Stakeholder 2 is similar to that of Stakeholder 1.</p>

	<p>pula ada pihak lain yang dibenarkan sesuka hati meneroka kawasan ini?</p> <p>"Persoalannya adalah kenapa jabatan berkenaan hanya berani bertindak tegas terhadap penduduk Orang Asli, tetapi tidak kepada pihak yang sesuka hati menceroboh kawasan hutan tersebut," tegasnya.</p> <p>Lebih mengejutkan, katanya, sebahagian besar kawasan di hutan simpan tersebut telah diteroka sejak lebih 20 tahun lalu berdasarkan usia pokok sawit yang ditanam.</p> <p>Sehubungan itu, Zainol Fadzi yang juga Exco kerajaan negeri berkata, beliau akan membawa perkara itu dalam mesyuarat akan datang dan meminta campur tangan Menteri Besar, Datuk Seri Dr. Zambry Abd. Kadir.</p> <p>"Pada masa sama, kita akan minta kerajaan negeri bantu 500 penduduk Orang Asli di kampung berkenaan untuk bercucuk tanam di kawasan itu," jelasnya.</p>	
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Map of the Perak FMU





**Status of NCRs and OFIs issued during Second Surveillance Audit in 2011 against the MC&I(2002)**

**NCRs**

Indicator	NCR No.	Non-Compliance	Corrective Action Taken	Verification by Auditor
8.5.1	1 (Major)	The public summary made available in the web page and in the 2009 Annual Report of the FMU had not included all the specified results of monitoring indicators. This non compliance was raised as Minor NCR SKY 02 in the 2010 surveillance. The public summary in the web page of the State Department of Forestry perakforestry.gov.my had not included growth rates, regeneration and condition of the forest, composition and observed changes in the flora and fauna, environmental and social impacts of harvesting as well as costs, productivity and efficiency of forest management.	An audit to verify the corrective actions taken by the PSFD to address this major NCR and the other 2 major NCRs related to Indicator 8.3.1 was conducted on 28-29 December 2011 by Dr. Samsudin Musa. The PSFD has taken the appropriate corrective action to update its website to include the information on the results of monitoring indicators including those listed in Criterion 8.2. The auditor was satisfied with the corrective actions taken by the PSFD to address this major NCRs and has therefore decided to close it out.	The Annual Report of the PSFD would be made available to the public on request. A public summary of the results of monitoring indicators such as growth rates, regeneration and conditions of the forest; composition of the flora and fauna and environmental and social impacts of harvesting had been published in the annual report as well as in the website and made available during the audit.  Status: closed
8.3.1	2 (Major)	Documents for identifying all forest products leaving the area to be certified so that their origin could be easily determined were wrongly recorded in the tree tagging book. During the inspection of the records from Banding Mobile Checking Station in Grik Forest District and Sg Segu Checking Station in Larut	An audit to verify the corrective actions taken by the PSFD to address this major NCR was conducted on 28-29 December 2011 by Dr. Samsudin Musa The auditor was satisfied with the corrective actions taken by the PSFD to address this	During inspection of records in Bandariang Mobile Checking Station in Grik Forest District, Kuala Kangsar Mobile Checking Station in Kuala Kangsar Forest District and Sg Segu Checking Station in Larut Matang Forest Reserve it was

		Matang Forest District it was observed that total 8 logs that were transported out as recorded in the Removal Passes (species, log diameters and lengths) were not updated and wrongly recorded in the Tree Tagging Book	major NCRs and has therefore decided to close it out.	observed that logs that were transported out as recorded in the Removal Passes were correctly recorded  Status: closed
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### OFIs

Indicator	OFI No.	Summary of OFI	Verification by Auditor
6.7.2	OFI 1	In general, containers, liquid and solid non-organic wastes including fuel and oil had been disposed off in an environmentally appropriate and legal manner. However, inspection in the workers quarter in Compartment 11 of the Temenggor FR found that oil barrels and fuel containers had not been properly stored in a contained area and some diesel spillage were found in the generator area.	During the site inspection of harvesting sites, no containers were observed. Consultation with the forest workers indicated that the containers used to store non-organic waste were stored in the base camp.  Consultations with the workers during the field visit to the base logging camps and Compartment 11 and 91 Temenggor FR indicated that they were aware of the need to dispose of non-organic fuels  Status: closed
6.6.1	OFI 2	The storage of chemical herbicide class III (G 41.0) and sprayers in the chemical store in Kaki Bukit Larut nursery was good in order with segregation of chemicals and equipment. However the understanding and awareness of correct usage on these chemicals could be improved.	During the visit to the Air Ganda Nursery, the staff demonstrated a good understanding of handling chemical used. Perak State Forestry Department also keeps a copy of "FSC Pesticides Policy: Guidance on Implementation"  Status: closed
1.1.1	OFI 3	The FMU has maintained a record of all laws and regulations related to forest management. This list need to be updated as the Protection of Wildlife Act 1972 had been replaced with the Wildlife Conservation Act 2010.	Copies of all relevant laws, policies and regulations stipulated in the MC&I (Natural Forest) fundamental for the FMU management was up to date. These documents were also available in the District Forest Offices.  Status: closed

6.2.3	OFI 4	The cooperation between forest managers and conservation organizations, public and regulatory authorities in implementing conservation and management activities in protected forest as in VJR Compartment 3 Lumut Forest Reserve could be enhanced.	District Forest Officer, Gerik informed regular meetings with other government agencies including the Wildlife Department on the management of Royal Belum, an area of forests declared by HRH the Sultan of Perak to be set aside as conservation area are ongoing. Our meeting with Jabatan Kemajuan Orang Asli (JAKOA) confirmed that there is such a co-operation to implement conservation and management activities. Such cooperation happened not only at the State level but also at District level.  Status: closed
9.3.1	OFI 5	The established buffer zone between the HCVF site for Balau Putih and the active harvesting compartments could be improved by clear marking of boundary trees.	A buffer zone of 50m had been established along the boundary of the HCVF site on 9 April 2012. Work Indent 4/2012 and 5/2012 were issued for survey, marking of boundary and establishment of buffer zone for Compartments 39, 40 and 42 Segari Melintang FR and Compartments 2 and 5 of Sungai Pinang FR.  Status: closed
9.4.1	OFI 6	Annual monitoring of the HCVF attributes needs to be improved with inventory of the number of trees and any management practices implemented to enhance the conservation attributes.	The FMU had provided a survey form "Lampiran 6.5 Laporan Pemeriksaan Kawasan Hutan Bernilai Pemeliharaan Tinggi" (HCVFs) for the monitoring of HCVF sites. The survey forms for Segari Melintang FR dated 2 February and 26 June 2012 together with the report for Kledang Siong FR Compartments 201, 203 and 204 completed on 13 April 2012 were presented. In addition the establishment of boundary for the salt licks in Compartment 142 Temenggor FR was completed in 29 March 2012.  Status: closed

3.3.3	OFI 7	Following consultations with Orang Asli	Appropriate procedures for identification and protecting sites of
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		in Kg. Chiong on potential impacts of forest harvesting records were not maintained.	<p>special cultural, ecological, economic or religious significance to the Orang Asli had been established. The DFOs were required to identify settlements within 0.5 - 5.0 km radius of the area to be opened for logging through the Forest Valuation Report. If settlements were present, dialogues would be undertaken. Potential impacts of forest activities on local communities would be documented in 'Panduan Maklumat Kajian Impak Sosial Dalam Sektor Pembalakan (Sebelum Pembalakan) (Social Impact Study) (Form for Documenting Social Impact Study in the Logging Sector (Prior to harvesting)).</p> <p>Status: closed</p>
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**Issuance of New NCRs and OFIs during Recertification Audit in 2012 against the MC&I(Natural Forest)**  
**NCRs**

Indicator	NCR No.	Status	Summary of Non-Compliance
6.5.4	KN-1	Minor	<p>Availability and implementation of guidelines for conservation of buffer strips along streams and rivers</p> <p>The implementation of guidelines for conservation of buffer strips along streams and rivers was not followed</p> <p>During the site review at Compartment 11, Temenggor FR licence no PPN PK 66/2012 B (HSK/HPK) it was found the buffer zone at LP 1/2 was damaged by residue of fallen trees.</p>
5.3.1	KN-2	Minor	<p>Implementation of guidelines for reduced/low impact logging to minimize damage to residual stand</p> <p>The implementation of guidelines for reduced/low impact logging to minimize damage to residual stand was not followed</p> <p>During the site review at Compartment 11, Temenggor FR licence no PPN PK 66/2012 B (HSK/HPK) it was found that an additional trail to the skid trail LP9 was added without approval from District Forest Officer.</p>

**OFIs**

Indicator	OFI No.	Summary of OFI
4.1.1	1	<p><u>Forest managers provide appropriate support for training, retraining, local infrastructure, facilities and social programmes that commensurate with the scale and intensity of forest management operations</u></p> <p>The sole staff in charge of handling all poisons in Kg. Chang Nursery, Bidor Forest District, was considered not adequately trained for the job. Although recently transferred to the area (September 2012), and with a non-certification training in a previous employment, his knowledge on safety of poison handling was insufficient. For example, his answer to disposal procedure of spent containers (washed and buried) was not up to date (washed, bottom pitted, recycled). Further, no record was kept on use and movement of poisons (quantity used, user, storage, remaining amount, shelf date, etc.) other than receipts of purchase kept as administrative record. The staff should attend the relevant training on safety of poison handling as soon as permissible</p>
6.7.1	2	<p><u>Oil, fuel, tyres, containers, liquid and solid non-organic wastes, shall be disposed of in an environmentally appropriate and legal manner</u></p> <p>During the visit to logging area in HS Temenggor, it was observed that the non-organic waste was not disposed off properly. There were some records found but in loose sheets and some books.</p>
4.2.1	3	<p><u>Up-to-date information on all applicable laws and/or regulations covering occupational safety and health of forest workers shall be disseminated to them</u></p> <p>Up-to date information on all applicable laws and regulations covering occupational safety and health of forest workers need to be disseminated to forest workers of the contractors. Records of dissemination would have to be kept.</p>
4.3.2	4	<p><u>Provisions for workers the right to organise and undertake collective bargaining leading to agreements in accordance with ILO Convention No. 98 – The Right to Organise and Collective Bargaining Convention, 1949 - Articles 1 and 2, ILO Convention No. 111 – Discrimination (Employment and Occupation), 1958 - The Right to Equal Treatment, ILO Convention No. 100 – Equal Remuneration, 1951 - The Right to Equal Pay.</u></p> <p>Provisions for forest workers of contractors the right to organise and undertake collective bargaining could be improved with a statement made available the workers.</p>

4.3.4	5	<p><u>Availability of appropriate procedures to address grievances raised by workers and/or their organisations and for conflict resolution.</u> Records of participation to resolve grievances of forest workers employed by contractor need to be documented.</p>
6.5.2	6	<p><u>Implementation of reduced/low impact logging to minimise damage to the environment.</u></p> <p>The implementation of reduced impact logging to reduce damages to environment in Compartment 54 &amp; 55 Bubu Forest Reserve, Compartment 43, 74 &amp; 75 and Compartments 233, 239 and 240 could be enhanced by compacting the side bunds of the main roads.</p>

End of public summary