



**PUBLIC SUMMARY
2nd SURVEILLANCE AUDIT (2nd CYCLE) ON
MASAMA FOREST PLANTATION MANAGEMENT UNIT
FOR FOREST MANAGEMENT CERTIFICATION**

**Certificate Number: FMC-FP 00003
Date of First Certification: 12 August 2016
Audit Date: 26-27 July 2021 (Remote)
13-14 January 2022 (Onsite)
Date of Public Summary: 14 December 2022**

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EXECUTIVE SUMMARY

This surveillance audit on the Masama Forest Management Unit (hereafter referred as the Masama FPMU) was conducted from 26th to 27th July 2021 (remote) and 13th to 14th January 2022 (onsite) to assess the continuous compliance of the overall forest management system of the Masama FPMU against the requirements of the *Malaysian Criteria and Indicators for Sustainable Forest Management Certification* (MC&I SFM) using the verifiers stipulated for Sarawak. The scope of this surveillance audit was limited to the forest management system and practices on the forest plantation within the Masama FPMU.

This surveillance audit was conducted by a 2-member team comprising Mohd Razman Salim (Lead Auditor) and Ismail Adnan Abdul Malek (Auditor).

Based on the findings of this surveillance audit, it was found that Masama FPMU had continued to comply with the requirements of the MC&I SFM. This surveillance audit had resulted in the issuance of three (3) minor Non-Conformity Reports (NCRs) and one (1) Observation for Improvements (OFIs) were raised.

This public summary contains the general information on the Masama FPMU, the findings of the surveillance audit, NCRs raised as well as the decision on the continued certification of the FPMU.

1.0 INTRODUCTION

1.1 Name of FMU

Masama Forest Plantation Management Unit

1.2 Contact Person and Address

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Lot 515, Jalan Datuk Edward Jeli
Piasau Industrial Estate
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1.3 General Background on the Masama FPMU

The Masama FPMU is managed by the Shin Yang Forestry Sdn. Bhd. under a License for Planted Forest (LPF) granted by the Forest Department of Sarawak. The company is committed in the management of the FPMU on a sustainable basis.

The management of the FPMU had decided to plant a medium-size fast growing exotic and indigenous tree species with average cropping cycle of 7 to 10 years or more. The targeted harvesting volume was set at 1000 m³ or 30 ha per month. A Forest Plantation Management Plan (FPMP) covering the period from 2015 to 2025 had been presented during the audit.

The FPMU is comprises of 2 areas, Selangau which is a stateland area and Anap Protected Forest with total area of 25,730 ha. Out of the total area, 11,946 ha is under the MC&I SFM certification with only 8,421 ha is eligible for planting.

A map of the FMU showing the significant features of the forest is attached in **Attachment 1**.

1.4 Date First Certified

30 December 2019

1.5 Location of the FMU

The FMU is located between 02° 26' 00" N, 112° 51' 21" E and 02° 32' 46" N, 113° 02' 02" E.

1.6 Forest Management System

The FPMU had followed the principles of sustainable forest management (SFM) and the requirements of the Licence Agreement of the State government. A Forest Plantation Management Plan (FPMP) 2015 to 2025 was presented during this audit.

1.7 Annual Allowable Cut/Annual Harvest under the Forest Management Plan

Under the Forest Plantation Management Plan (FPMP, 2015-2025), the targeted harvesting volume was set at 1000 m³ or 30 ha per month. During this surveillance audit, the size of the FPMU involves is 11,946 ha.

1.8 Environmental and Socioeconomic Context

The Environmental Impact Assessment (EIA) Report for the Proposed Selangau-Tatau Forest Plantation Located in Mukal and Tatau conducted by JB Agriculture Management Services had been completed in 2000. The report had indicated an absence of endangered, rare and threatened species of flora and fauna within the plantation. A total of five water catchments located within the FPMU were conserved as HCV areas. The water catchments are used by Rh Wan, Rh Dilang & Rh Medan, Rh Kelisop, Rh Anai and Masama Plantation. Six settlements were observed in the Masama FPMU who live within and in the vicinity of the FPMU. The main ethnic groups of the indigenous peoples in the six settlements are Iban (Rh Dilang, Rh Johnny, Rh Anai, Rh Nyanau) and Beketan (Rh Wan and Rh Kelisop). 1,126 ha of Shifting Agricultural (SA) areas which used by the local communities, burial ground of Rh Wan and water catchments were excluded by the Masama FPMU from its planting, harvesting and development activities.

2.0 AUDIT PROCESS

2.1 Audit Dates

26-27 July 2021 (remote) and 13-14 January 2022 (onsite)/ 8 auditor day(s).

2.2 Audit Team

Mohd Razman Salim (Lead Auditor)
Ismail Adnan Abdul Malek (Auditor)

The details on the experiences and qualifications of the audit team members are as in **Attachment 2**.

2.3 Standard Used

Malaysian Criteria and Indicators for Sustainable Forest Management Certification (MC&I SFM) using the verifiers stipulated for Sarawak, Malaysia.

2.4 Stakeholder Consultations

A one-month stakeholder consultation was conducted beginning December 2021 to solicit feedback from stakeholders on the compliance of the Masama FPMU against the requirements of the MC&I SFM. Nevertheless, no comment was received from the stakeholders during the period (**Attachment 3**).

2.5 Audit Process

The audit was conducted primarily to evaluate the level of continued compliance of the Masama FPMU's current documentation and field practices in forest management with the detailed of the standard of performances (SOPs) listed in the MC&I SFM, using the verifiers stipulated for Sarawak.

For each Indicator, the auditors had conducted either a documentation review, consultation with the relevant personnel of the FMU, local community or stakeholders or field audit or a combination of these methods. Depending on the compliance with the verifiers for a particular indicator, the auditors then decided on the degree of the FPMU's overall compliance with the indicator and decided whether or not to issue a major or minor NCR or an OFI which is defined as follows:

- (i) a major NCR is a non-compliance with the requirements of the MC&I SFM;
- (ii) a minor NCR is a deviation or a lapse in complying with the requirements of the MC&I SFM; and

- (iii) an OFI is a situation where the auditor has noted an area of concern on the capability of the forest management system to achieve conformance to the requirements of the MC&I SFM but without sufficient objective evidence to support a non-conformance.

The audit team had conducted an onsite consultation with the relevant stakeholders during the surveillance audit. The consulted government agency was Labour Department (Bintulu) and local community including Masama Corporate Community Relationship Committee (CCR), Rh. Nyanau/ Rh. Sempurai, Rh. Wan and Rh. Anai.

The coverage of this surveillance audit is as shown in the surveillance Audit Plan in **Attachment 4**.

The Masama FPMU had sent a corrective action plan to the audit team to address the major and minor NCRs which the audit team had reviewed and accepted them. The audit team had prepared an interim surveillance audit report and sent it to the Masama FPMU for comment. A final surveillance audit report which had incorporated the comments received from the Masama FPMU was then prepared.

3.0 SUMMARY OF AUDIT FINDINGS

Based on the findings of this surveillance audit, it was found that the Shin Yang Forestry Sdn. Bhd. had continued to manage the Masama FPMU in compliance with most of the requirements of the MC&I SFM. This surveillance had resulted in the issuance of three (3) minor NCRs and one (1) OFI. The details on the NCRs/OFls raised are shown in **Attachment 5**.

The audit team had reviewed and accepted the company's proposed corrective actions to address the three (3) minor NCRs. However, these corrective actions shall be verified by the audit team during the next audit.

The audit team had also verified on the corrective actions taken by the Masama FPMU to address all the major, minor NCRs and OFIs which were raised during the previous audit. The responses made by the audit team leader on these corrective actions and on the final status of the NCRs and OFIs are as in **Attachment 6**.

With regard to Criterion 6.10, there was no new conversion of the PRF to forest plantations or other non-forest land uses during the intervening period since the last audit. The size of Masama FPMU with total area of 25,730 ha which is located within the Anap Protected Forest has therefore remained the same.

As the major NCRs raised during previous surveillance audit had been closed out, the audit team had therefore recommended that the Certificate for Forest Management be awarded to the Shin Yang Forestry Sdn. Bhd. - Masama FPMU be maintained.

The summary on the findings of the surveillance audit on the Masama FPMU against the requirements of the MC&I SFM (Sarawak) are as follows:

Principle	Strengths	Weaknesses
<p>Principle 1 Compliance with Laws and Principles</p>	<p>Copies of all relevant laws, policies and regulations stipulated in the MC&I SFM fundamental for the FPMU management were available. They were listed in the <i>Master list of Laws, Regulation, Policy, International Agreements & Conventions and Guidelines</i>.</p> <p>The records of payments for annual license fee, annual rent, nursery and royalty for any forest produce taken out of the forest plantation are well kept.</p> <p>The forest managers were aware of the international agreements such as <i>International Labour Organisations Conventions (ILO)</i>, <i>Convention of Biological Diversity (CBD)</i>, <i>International Tropical Timber Agreement 1994</i>, <i>Convention on Wetlands, Waterfowl Habitat 1971</i>, <i>United Nations Framework Convention on Climate Change (UNFCCC)</i> and <i>Convention of International Trade of Endangered Species (CITES)</i>.</p> <p>There was no conflict between laws, regulations and the Principles and Criteria occurred.</p> <p>Signboards stating “No hunting and fishing” are placed at all prominent spots within the FPMU including the main entrance gates which were guarded 24 hours. In addition, monthly patrols were conducted to control encroachment, illegal harvesting, hunting, fishing and settlement and other unauthorized activities.</p> <p>Boundaries along Sg Anap and Sg Ayam were marked using magenta color for FPMU boundary) and orange for license boundary. Signages were also erected along the boundaries.</p> <p>The Masama FPMU commitments towards the MC&I SFM Principles and Criteria was translated into a policy statement which also available online at www.shinyang.com.my/products-services/tree-plantation.html.</p>	

Principle	Strengths	Weaknesses
<p>Principle 2 Tenure and Use Rights and Responsibilities</p>	<p>There were six settlements observed in Masama FPMU who live within and in the vicinity of the FPMU. The main ethnic groups of the indigenous peoples in the six settlements are Iban (Rh Dilang, Rh Johnny, Rh Anai, Rh Nyanau) and Beketan (Rh Wan and Rh Kelisop).</p> <p>A total of five water catchments located within the FPMU were conserved as HCV areas as described in the Forest Plantation Management Plan (FPMP, 2015-2025). The water catchments are used by Rh Wan, Rh Dilang & Rh Medan, Rh Kelisop, Rh Anai and Masama Plantation.</p> <p>A total of 1,126 ha of Shifting Agricultural (SA) areas which used by the local communities was excluded by the FPMU from its planting, harvesting and development activities. The SA areas accounted about 9.4% of the total certified area (11,946 ha) in the Masama FPMU.</p> <p>The SOP, <i>Mechanism to Resolve Local Communities Issues</i>, describes mechanisms for resolving any land claims. A flow chart in the SOP (<i>Chart Mechanism to Resolve Local Issues</i>) shows the <i>Grievance Procedure Process Flow</i> to resolve any conflicts and grievances raise by the local communities.</p>	<ul style="list-style-type: none"> • No Corporate Community Relations (CCR) meeting between FPMU and local communities had been held since 2020 • CCR meeting dates schedule for year 2022 not available • Topics of briefing to local communities during CCR meetings not comprehensive (did not include FPMU operation status, MC&I, Grievance mechanism and ERT) <p>Minor NCR ISMA 01 22</p> <p>The audit found the villagers were generally not aware of the mechanism of flow chart to resolve local issues.</p> <ul style="list-style-type: none"> • Record of grievances and request (either orally or written) from the communities of Rh Wan and Rh Kelisop were not recorded in the <i>Complaint/Grievance Notification</i> form or attach to the form. • Decision on CSR request from Rh Anai and Rh Dilang was recorded in <i>Borang Permohonan Bantuan Komuniti</i> but was not conveyed to the requester in writing. <p>Minor NCR ISMA 02 2022</p>
<p>Principle 3 Indigenous People's Rights</p>	<p>The burial ground of Rh Wan, SA areas and water catchments within the certified area were marked on the <i>Map of conservation and HCV within Masama FPMU</i>. The SA areas were under the control of the respective long houses i.e., Rh Wan, Rh Kelisop and Rh Dilang which located within and vicinity of the FPMU.</p> <p>The villagers' confirmed that there was free access to their agricultural site, areas of cultural significance and water catchments.</p> <p>Procedure for identifying and protecting sites of special cultural, ecological, economic or religious significance to indigenous peoples and provisions for rights of access to these sites was described in the <i>Procedure to Monitoring Social, Ecological,</i></p>	

Principle	Strengths	Weaknesses
	<p><i>Environmental and Economic Impacts.</i></p> <p>Documentation of traditional forest-related knowledge and practices of indigenous peoples in the use of forest species such as fishing, hunting, jungle produce (especially handicraft materials) for own consumption was recorded in the Social Impact Assessment (SIA) Report for 2018-2023.</p> <p>The SOP, <i>Mechanism to Resolve Local Communities Issues</i> also outlined procedures handling the loss and damage from the forest plantation management activities affecting the legal or customary rights, property, resources or livelihoods of local peoples and provision fair compensation to the affected party.</p>	
<p>Principle 4 Community Relations and Workers' Rights</p>	<p>Records on consultation with the local communities had included results of socio-economic programmes that commensurate with the scale and intensity of forest management operations.</p> <p>The Masama FPMU management provides support for the training, retraining, infrastructure, facilities and socio-economic of the forest plantation management operations. Briefing and training were provided for the local communities as planned.</p> <p>Qualified people from the surrounding communities were given preference in employment opportunities wherever possible. Advertisement on employment opportunities and information of job openings was distributed to the longhouses within the FPMU. The SOP, <i>Mechanism to Encourage Locals to be Employed</i> is used as guidance in the employment process.</p> <p>The employment record shows that a total of 43 workers, comprising 26 local workers and 17 foreign workers, were employed by the FPMU. Out of it, 15 workers are indigenous peoples from Iban, Beketan, Kenyah and Melanau ethnic.</p> <p>Written policy on the occupational safety and health of the forest plantation workers was available in in English and Bahasa Malaysia. Poster on the <i>Occupational Safety and Health Policy</i> was displayed at the main office, workshop, canteen and quarters. SOPs for working practices and machinery operation are available.</p>	

Principle	Strengths	Weaknesses
	<p>Field workers at the harvesting site were observed wearing hard hats, safety vests and shoes during field operation.</p> <p><i>Safety and Health Committee</i> was established together with an appointment of a <i>Safety Coordinator</i> to represent the FPMU during OSH meeting.</p> <p>Memo on workers' right to freely organize or join unions of their choice in accordance with the 1) Article 10(1)(c) Malaysian Constitution 2) ILO No. 98 Convention and 3) Section 8 and 9, Trade Union Act 1959 was posted on the notice boards at the main office, canteen, quarters and workshop.</p> <p>The right of workers to employment benefits and social protection at Masama FPMU was assured under applicable law and/or regulations.</p> <p>A social Impact Assessment baseline study had been conducted and reported in the <i>Social Impact Assessment Report for LPF0019: Masama FPMU Rev 002, 2018-1023</i>. Results of the SIA were incorporated into the Forest Plantation Management Plan.</p>	<p>The Worker's Employment contracts was only written in English. The contract was not available neither in national nor local languages.</p> <p>OFI</p>
<p>Principle 5 Benefits From the Forest</p>	<p>The <i>Annual Work Plan & Budget for Year 2022</i> had outlined the annual operating and development budget and expenditure. Investments and reinvestments were allocated for administrative, nursery, planting, block maintenance, research and development (R&D), harvesting, conservation, social community.</p> <p>Other than log, there was no minor forest produce extracted from the FPMU. The logs were sent and processed at local mills in Bintulu.</p> <p>The harvesting process conducted by the Masama FPMU was in accordance with the SOPs; <i>Reduced Impact Logging (RIL), Guidelines/Procedures for Ground Based Harvesting System using Tractor</i>" and in-house developed '<i>Reduced Impact Logging (RIL) Harvesting Operation</i>.</p> <p>Training on reduced impact logging in harvesting and tree felling was conducted 2021.</p>	

Principle	Strengths	Weaknesses
	<p>Although dominated by <i>Paraserianthus falcataria</i> trees, there were areas with other tree species such as <i>Neolamarckia cadamba</i>, <i>Acacia auriculiformis</i>, <i>Duabanga malucana</i>, <i>Eucalyptus spp</i> and <i>Azadirachta excelsa</i>.</p> <p>Protected areas i.e., slopes of more than 35 degrees (Terrain IV), Stream buffer zone (SBR) or river buffer, wildlife corridors and waters catchment areas (for local communities) were excluded from logging activities. It was demarcated in the <i>Map of conservation and HCV area within Masama FPMU</i> in accordance with the SOP, <i>Identify and Demarcate Sensitive Areas for the Protection of Soil and Water 1999</i> and <i>Procedure and Guidelines for Identification, Demarcation, Mapping and Conservation</i>.</p> <p>Since the harvested logs are for the production of plywood, only logs with diameter greater than 10 cm were harvested. Based on data available from the PSPs, the cutting rotation would be around 10 years. Targeted harvesting tree volume per month is 1,000 m³/month or 30 ha/month. The rate of harvest met the FPMP and Annual Work Plan volume.</p>	
<p>Principle 6 Environmental Impact</p>	<p>The Environmental Impact Assessment (EIA) Report for the Proposed Selangau-Tatau Forest Plantation Located in Mukal and Tatau conducted by JB Agriculture Management Services had been completed on in 2000.</p> <p>The report had indicated an absence of endangered, rare and threatened species of flora and fauna within the plantation area owing to the intensity of logging conducted. The FPMP had incorporated measures to mitigate environmental impacts.</p> <p>A total of 3,525 ha or 30% of the FPMU had been excluded from planting activities to protect and conserve the residual of fauna population, biological corridor, residual patches of forest trees together with riparian buffer belts and steep areas.</p> <p>Guidelines for the protection of natural forest, <i>Guidelines for the Conservation of Genetic, Species and Ecological Diversity</i> is</p>	

Principle	Strengths	Weaknesses
	<p>available.</p> <p>The monthly monitoring had included on the safety of the FPMU area, wildlife and illegal hunting monitoring, HCV areas and exotic species monitoring and any illegal activities occurring within the plantation area.</p> <p>Briefing on endangered, rare and threatened species of forest flora and fauna found in the FPMU as listed in the Wildlife Protection Ordinance, 1998 was conducted for logging team and staff. Posters on the protection of plants and wildlife were displayed at junctions of the main roads. Lists and posters of protected species of flora and fauna were also displayed at the Pahlawan camp and Makop nursery.</p> <p>The FPMU had prepared a map on the establishment of biological corridors and residual forested sites within the forest plantation. There was a clear indication on the linkage of areas of Class IV terrains with river buffer zones that had been identified. The sites were demarcated on the ground for protection as well as on the map.</p> <p>The existing natural forest ecosystem such as terrain class IV area, stream bank reserves along Sg. Makop and Sungai Anap and HCV sites had been marked and mapped for protection.</p> <p>Guidelines for forest road lay-out and construction, including log landings and drainage requirements were described in the <i>Procedure and Guidelines for Road Layout, Construction and Maintenance</i> and <i>Instruksi Kerja – Penyediaan Tempat Tanam</i>.</p> <p>The harvesting process was conducted in accordance with the SOPs; <i>Reduced Impact Logging (RIL)</i>, <i>Guidelines/Procedures for Ground Based Harvesting System using Tractor</i> and in-house <i>Reduced Impact Logging (RIL) Harvesting Operation</i>.</p> <p>Forest fire management plan for Masama FPMU was established to promote preventive and proactive measures against forest fire.</p> <p>Proper use of chemicals was described in the guidelines and</p>	

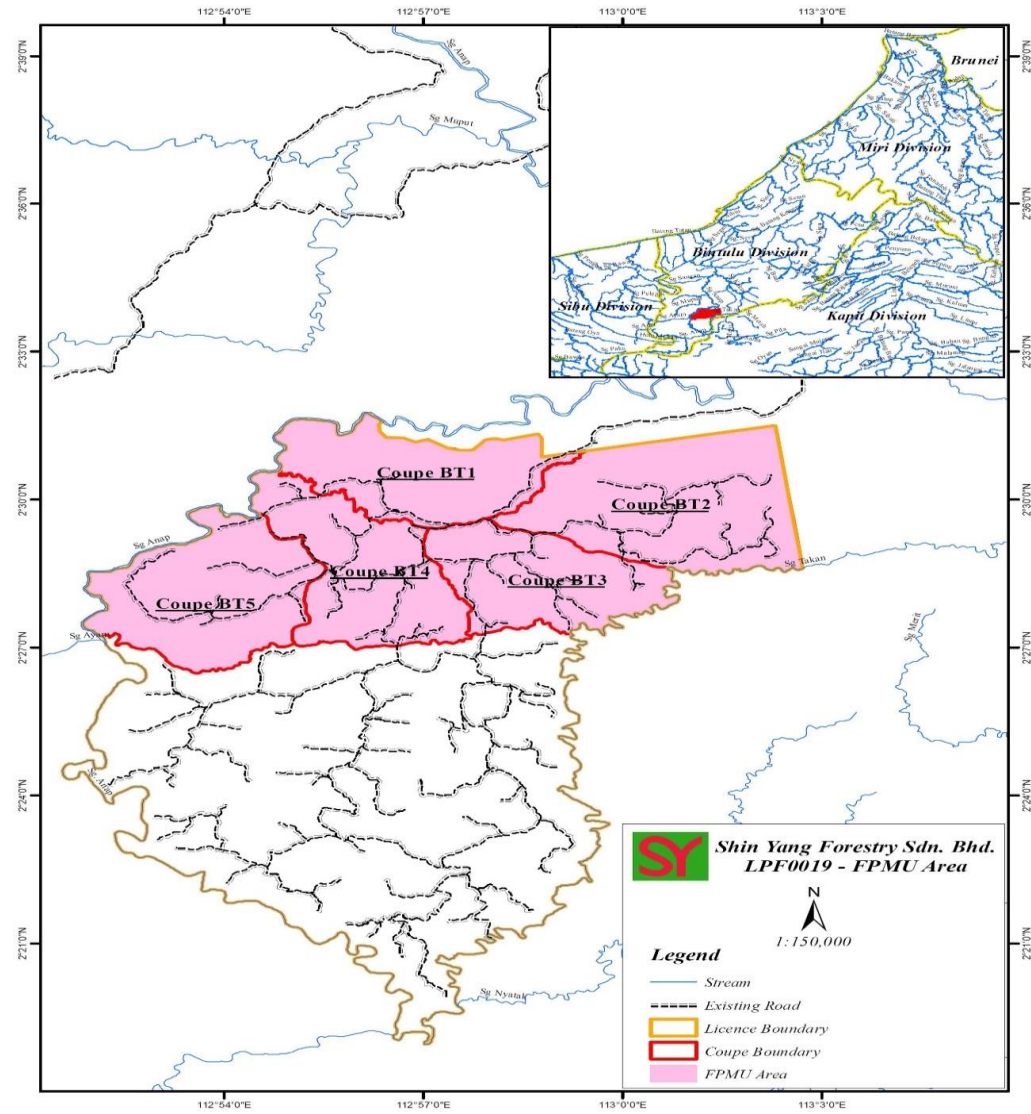
Principle	Strengths	Weaknesses
	<p>SOPs for <i>Handling of Chemical (Instruksi Kerja Penggunaan Racun), Instruksi Kerja Penyediaan Medium, Procedure for Personal Protective Equipment, and Procedure for Chemical Spray-land Preparation.</i></p> <p>Management policy on the use of environmentally friendly non-chemical methods of pest management and prohibition of use of banned pesticides; <i>Policy of Commitment - MC&I for Sustainable Forest Management</i> is available.</p> <p>All chemicals were stored in a designated place and labelled with SDS sheets. A book was established for chemical stock inventory and usage.</p> <p>Training on chemical storage and handling was conducted for all nursery workers in 2021. Interviews with nursery workers found they were aware of the procedures on the use of chemical, especially during the spraying activities. A procedure on <i>Chemical Use and Handling</i> was available and posted at the nursery notice board.</p> <p>The FPMU had planned for the reduction of use of chemical pesticide in the <i>Chemical Pesticide Reduction Plan in LPF0017 FPMU</i> document. Monthly monitoring for year 2020 and 2021 had been conducted as planned.</p> <p>Disposal of all scheduled wastes such as spent lubricant oil (SW305) and contaminated filter (SW410) were done according to the SOP for waste disposal of solid non-organic wastes.</p> <p>Inventory of Scheduled Wastes (Fifth Schedule) for December 2021 has been registered through Electronic Scheduled Waste Information System (eSWIS) accordingly. The FPMU had appointed a license contractor, E-Concern (M) Sdn Bhd to collect all scheduled waste.</p> <p>Logistic Officer (HQ) has been appointed to manage all scheduled waste from all LPFs.</p> <p>The FPMU had been granted permission by the Plant Quarantine</p>	<p>It was found that the boundary tree with paint mark for Block 33-32 along feeder road F-10-2e(i) had been removed during construction of the harvesting road. Only 2 trees with yellow paint (block marking) were found at the right side and another one at the left side of the harvesting road.</p> <p>Minor NCR MRS 01 2022</p>

Principle	Strengths	Weaknesses
	<p>Division, Department of Agriculture, Sarawak for importing of exotic species seeds such as <i>Paraserianthes falcataria</i>, <i>Acacia mangium</i>, <i>Eucalyptus pellita</i> and <i>Eucalyptus deglupta</i>.</p> <p>Monitoring of the exotic species planted was done on monthly basis. R&D on pests and diseases monitoring have been carried out as recorded in the <i>Pest and Disease Condition</i> report.</p> <p>Record showed that only the first 5 coupes of the Masama FPMU were cleared and planted prior to 2010 which accounted about 11,946 ha and eligible for certification. Out of this area (11,946 ha), only 8,421 ha had been planted in 2009 where 1,126 ha had been designated for Shifting Agricultural (SA) area for the local communities. About 352 ha were protected under Terrain IV, 141 ha were protected as buffer belts and 1,979 ha for conservation of natural forest.</p>	
<p>Principle 7 Management Plan</p>	<p>A ten (10) year Forest Plantation Management Plan (2015-2025) was approved on 15th December 2021 by the Executive Director. It includes consideration of risks and opportunities concerning compliance with the requirements of the standard.</p> <p>The amendment and revision of the Management Plan is scheduled every 5 years, depending on the results of monitoring, policy changes, new information and changes in downstream planning or requirements.</p> <p>The Forest Manager had attended two online training on the new scientific and technical information in 2021.</p> <p>Training was provided for employees, staff and managers on topics relevant to their job responsibilities such as training on PPE, <i>Forest Fire, Emergency, Response Programme, Ordinance Perlindungan Hidupan Liar 1998</i> and etc.</p> <p>A summary on primary elements of the forest management plan is made available to the public at https://www.shinyang.com.my/products-services/tree-plantation/lpf0019-masama-estate.html.</p>	

Principle	Strengths	Weaknesses
<p>Principle 8 Monitoring and Assessment</p>	<p>A document on established PSPs for the monitoring of the health and growth conditions of the plantations was available. A total of 8 PSPs had been established with the size of each plot was 30 m by 30 m.</p> <p>The EMR reports for year 2021 conducted by Ecosol Consultancy Sdn. Bhd was submitted quarterly to the NREB. Based on the assessment report, mitigation measures endorsed by NREB were complied with the requirements.</p> <p>An internal audit (IA) was conducted in December 2021 with 3 Minor NCRs and 1 OFI were raised. The management review meeting (MRM) was conducted in January 2022 to review the IA results and MC&I SFM performance for year 2021.</p> <p>A total of 6,216.26 m³ and 11,651.59 m³ of logs were extracted in 2020 and 2021, respectively.</p> <p>The growth rate monitored based on the Permanent Sample Plots (PSPs) showed that growth rate of <i>Albizia falcataria</i> species recorded the best performance with approximately 146.12 m³/ha.</p> <p>The EMR report for 4th Quarter for 2021 submitted to NREB shows that the water qualities were generally good and complied with the Class IIB standards.</p> <p>The Forest Plantation Management Plan for Masama (2015-2025) had incorporated the latest findings of the monitoring activities.</p> <p>A brief summary of the data obtained from the monitoring was presented in the public summary at https://www.shinyang.com.my/products-services/tree-plantation/lpf0019-masama-estate.html</p>	
<p>Principle 9 Maintenance of High Conservation Values</p>	<p>The HCV assessment was reported in the <i>Report of High Conservation Value Area Assessment (HCVA) in Shin Yang Masama Tree Plantation Coupes 1-5 of LPF 0019 (T/4212) Tatau, Bintulu, Sarawak.</i></p>	

Principle	Strengths	Weaknesses
	<p>The protected HCV areas were incorporated into the Forest Plantation Management Plan under <i>Chapter 11- Identification and Management of High Conservation Value Area</i>. The chapter also includes map showing the HCV sites on ground.</p> <p>Cultural area identity within the FPMU was an old burial site of Rh Wan. The HCV area was protected and the boundary was marked with paint and monitored.</p> <p>A revised Public Summary of the Masama FPMU is made available on the website at https://www.shinyang.com.my/products-services/tree-plantation/lpf0019-masama-estate.html</p> <p>Monitoring procedures and records to assess the effectiveness of the measures in the management of the HCV area were available under <i>Procedures to Monitor Social, Ecological, Environmental and Economic Impacts for LPF0019: Masama FPMU</i>. The HCV monitoring was conducted on monthly basis.</p> <p>Relevant results and findings of the HCV monitoring activities had been incorporated into the revision of the Forest Plantation Management Plan on a yearly basis.</p>	

Map of Masama FPMU



Experiences and Qualifications of Audit Team Members

Assessment Team	Role/Area of MC&I Requirement	Qualifications and Experience
Mohd Razman Salim	Assessment Team Leader / Forester	<p>Academic Qualification: B.Sc of Forestry (Forest Production), University Putra Malaysia.</p> <p>Work Experience: Five years experienced as Research Officer at the Forest Research Institute Malaysia (FRIM) since 2007 in a various area such as ecological research for lowland and hill dipterocarp forest, Geographic Information Systems, forest inventories, forest harvesting and forest management system (SMS). Participate in organizing committee member, division level activities and projects. Coordinate and collaborate a long-term ecological plot and inventory data about 25 years at the Pasoh, Negeri Sembilan with Negeri Sembilan Forestry Department, universities (local & international) and NGOs. Published and presented research findings at the seminars and conferences. Currently as Auditor at the Food, Agriculture and Forestry Section (FAF), SIRIM QAS International Sdn Bhd, since 2013. Involved in conducting assessments on forest management certification [MC&I (Natural Forest)] & [MC&I (Plantations)], MYNI of RSPO P&C and other management systems on ISO 9001, 14001 and OHSAS 18001</p> <p>Training / Research Areas: Auditor Training Course on Malaysian Criteria and Indicators for Forest Management Certification [MC&I (Natural Forest)] & [MC&I (Plantations)] organized by MTCC, 1-4 December 2013. EMS 14001: 2004 Lead Auditor Course organized by SIRIM Training Services Sdn Bhd, 18-22 March 2013. OHSAS 18001: 2007 Lead Auditor Course organized by SIRIM Training Services Sdn Bhd, 11-15 March 2013. QMS 9001: 2008 Lead Auditor Course organized by SIRIM Training Services Sdn Bhd, 4-8 March 2013.</p>
Ismail Adnan Abdul Malek	Auditor/ Forester	<p>Academic Qualification: Master of Forestry (Forest Harvesting), University of British Columbia, Canada.</p> <p>Work Experience: One year experience as Sub Assistant Conservator of Forest from 1974 to 1975. In charge of Kuantan Forest District (Administration). Seven years (1979 – 1986) experience as Forester/Logging Superintendent) at Syarikat Jengka Sdn. Bhd, Jengka, Pahang. Specific duties were Mapping and supervising Forest Management and Logging Operations.</p>

		<p>Twenty-five (25) years experienced as Senior Lecturer at the Faculty of Forestry, Universiti Putra Malaysia, Serdang, Selangor. Responsible for Forestry Teaching, Research and Extension. Had taught subjects in Forest Management, Forest Harvesting and Geo-spatial technology (Remote sensing & GIS) and had supervised student research at both undergraduate and graduate (Master/PhD) levels. Had received grants and carried out research in Forest Management, Forest Harvesting and Forest Management System (SMS). Participated as Resource Person/Organizing Committee member for Training and Seminar/Conferences held at both Local and International levels organized by the faculty. Published and presented research findings in Forestry Journals/Publications and proceedings of local and international seminars/ conferences attended. Currently as Auditor at the Food, Agriculture and Forestry Section (FAF), SIRIM QAS International Sdn Bhd, since 2016. Involved in conducting assessments on forest management certification MC&I (Natural Forest) & MC&I (Plantations).</p> <p>Attended and pass the following training programmes:</p> <ul style="list-style-type: none"> • Auditor Training Course on MC& I (Natural Forest) and MC&I (Forest Plantation V2) (SIRIM QAS Sdn. Bhd), 9th-10th July 2015. • EMS 14001: 2004 Lead Auditor Course organized by SIRIM Training Services Sdn Bhd, 23rd-27th Nov 2015. • Training on Auditing Techniques (SIRIM QAS Int. Sdn. Bhd), 26th January 2016. • RSPO Lead Auditor Training organized by Wild Asia Sdn Bhd, 10th – 14th October 2016
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Comments Received from Stakeholders and Responses by Audit Team Leader

No.	Stakeholder	Comments/Issues Raised	Response by Audit Team
NA	NA	NA	NA

Surveillance Audit Plan

DAY	TIME	COVERAGE OF ASSESSMENT	
		AUDITOR 1 (Razman)	AUDITOR 2 (Ismail Adnan)
Day 0 Wednesday (12 January 2022)	8.10 – 10.30 am	<ul style="list-style-type: none"> Travel from KLIA to Bintulu KUL - BTU: 8:10 – 10:30 (MH2742)	
	12.00 – 1.00 pm	<ul style="list-style-type: none"> Consultation with Labour Department, Bintulu 	
	2.00 – 5.00 pm	<ul style="list-style-type: none"> Travel from Bintulu to Masama base camp 	
Day 1 Thursday (13 January 2022)	8.00 - 9.30 am	<ul style="list-style-type: none"> Opening Meeting with representatives of FPMU Briefing session by Forest Manager of the FPMU Evaluation of changes to the management of the FPMU Check on progress of planned activities aimed at enhancing the operation system to achieve improvement in overall performance Check on complaints and follow-up actions Verification of NCRs raised during the previous audit. 	
	9.30 am – 12.30 pm	Site visit/inspection: <ul style="list-style-type: none"> Harvesting area Workers' housing (Rumah tarik) Planting area Maintenance (slashing, spraying, manuring, etc.) PSP HCV (Bukit Semayang/old burial site) Stumping site License boundary Nursery Scheduled waste store Forest camp 	Consultation with local community: <ul style="list-style-type: none"> Masama Corporate Community Relationship Committee (CCR) – land claim and forest use right Rh. Nyanau/Rh. Sempurai (Within Coupe BT1) Rh. Wan (Adjacent Coupe BT1) Rh. Anai (Within Coupe BT5)

	2.00 – 5.00 pm	<u>Documentation Review</u> <ul style="list-style-type: none"> • Principle 1 – Compliance with Laws • Principle 5 – Benefits from the Forest • Principle 6 – Environmental Impact • Principle 7 – Management Plan • Principle 8 – Monitoring and Assessment • Principle 9 – Maintenance of High Conservation on Value Area 	<u>Documentation Review</u> <ul style="list-style-type: none"> • Principle 2 – Tenure and Use Rights and Responsibilities • Principle 3 – Indigenous Peoples’ Right • Principle 4 – Community Relations and Workers’ Right
	5.00 – 5.30 pm	Review of Day 1 Findings by Audit Team Leader	
Day 2 Friday (14 January 2022)	8.00 am – 12.30 pm 2.00 – 4.00 pm	AUDITOR 1 (Razman)	AUDITOR 2 (Ismail Adnan)
		<ul style="list-style-type: none"> • Continue document and records review 	<ul style="list-style-type: none"> • Consultation with workers representative
	4.00 – 5.00 pm	<ul style="list-style-type: none"> • Continue document and records review • Preparation of summary report and audit findings (if any) • Closing meeting and presentation of findings of audit • Overnight at New World Hotel 	
15 January 2022		<ul style="list-style-type: none"> • Travel from Bintulu to KLIA 11.30 – 13.45 (MH2743) 	

Details on NCRs and OFIs Raised During this Surveillance Audit and Corrective Actions Taken (2022)

Indicator	Specification Major/Minor/OFI	Detail Non-conformances	Corrective Action Taken	Verification by Assessor
2.2.2	Minor ISMA 01 2022	<p>Requirement: With respect to activities that may affect such rights, forest managers shall recognise, respect and collaborate with holders of duly recognised legal or customary tenure or use rights within relevant federal, state and local laws.</p> <p>Objective evidence: Stakeholders (local communities) consultation not implemented</p> <p>Finding: 1. No Corporate Community Relations (CCR) meeting between FPMU and local communities had been held since 2020 2. CCR meeting dates schedule for year 2022 not available 3. Topics of briefing to local communities during CCR meetings not comprehensive (did not include FPMU operation status, MC&I, Grievance mechanism and ERT)</p>	<p>Root cause: 1.The CCR meeting not yet carried out on year 2020 due to Covid-19 pandemic, which is we are not allowed to carry out any meeting during the pandemic. 2.CCR meeting dates schedule for year 2022 is not available, due to the person in charge miss to update the tentative in the Annual Work Plan and Budget for year 2022. 3.The FPMU not fully aware to the topics of briefing to local communities.</p> <p>Corrective action plan: The CCR meeting will be carried out on June 2022, and the FPMU will brief the CCR team on the FPMU operation status, MC&I, Grievance mechanism and ERT.</p>	<p>Received the corrective action plan on 11 April 2022. Audit team has verified and accepted the corrective action plan.</p> <p>Status: Implementation and effectiveness of the corrective action plan will be verified during next audit.</p>
2.3.1	Minor ISMA 02 2022	<p>Requirement: Availability of appropriate mechanisms to resolve disputes over tenure claims and use rights</p> <p>Finding: The SOP titled 'Mechanism to Resolve Conflicts and Grievances Between Local Communities' to address community grievances was not followed.</p>	<p>Root cause: The community development unit miss to record the grievances and request from Rh. Wan and Rh. Kelisop and miss to provide a copy of CSR request from Rh. Anai and Rh. Dilang to the requester as per FPMU SOP.</p> <p>Corrective action plan: 1.All the grievances and request will be recorded</p>	<p>Received the corrective action plan on 11 April 2022. Audit team has verified and accepted the corrective action plan.</p> <p>Status: Implementation and effectiveness of the corrective action plan will be verified during next audit.</p>

		<p>Objective evidence</p> <p>1. Record of grievances and request (either orally or in writing) from the communities of Rh Wan and Rh Kelisop were not recorded into the 'Complaint/Grievance Notification form (SYF/MC&I/F/G.01) by the FPMU representative either directly or as attachment to the form. (Refer to Police Report: Tatau/000822/21 dated 31/72021)</p> <p>2. Decision on CSR request from Rh Anai (23/7/2021) and Rh Dilang (10/5/2021) recorded in 'Borang Permohonan Bantuan Komuniti' (SYF/MC&I/CSR/LPF0019/FORM 001) was not conveyed to the requester in writing.</p>	<p>in Complaint/Grievance Notification form (SYF/MC&I/F/G.01) and copy of the said CSR request will be given to the requester.</p> <p>2.Re-training on the Complaint/Grievance procedure will be provided to the FPMU community development unit (CDU)</p>	
6.5.3	Minor MRS 01 2022	<p>Requirement: Availability and implementation of guidelines for forest road lay-out and construction, including log landings and drainage requirements.</p> <p>Finding: Block boundary tree with paint mark has been removed during harvesting operation.</p> <p>Objective evidence: During site inspection of harvesting area at Block 33 Coupe 03, it was found that the boundary tree with paint mark for Block 33-32 along feeder road F-10-2e(i) had been removed during construction of the harvesting road. Only 2 trees with yellow paint (block marking) were found at the right side and another one at the left side of the harvesting road.</p>	<p>Root cause: The boundary has been marking by painting the standing trees along the boundary, but the trees had been cut by the harvesting team inadvertently due to not aware of the marking.</p> <p>Corrective action plan:</p> <p>i. The boundary will be re-marked by panting the remaining trees along the boundary</p> <p>ii. Warning latter will be issue to the harvesting team for their negligence</p> <p>iii. Re-training will be provided to the harvesting team</p>	<p>Received the corrective action plan on 11 April 2022. Audit team has verified and accepted the corrective action plan.</p> <p>Status: Implementation and effectiveness of the corrective action plan will be verified during next audit.</p>

4.3.3	OFI#1	<p><u>The right of workers to employment benefits and social protection is assured under applicable law and/or regulations</u></p> <p>Employment contract between Shin Yang – Masama FPMU and workers must be available in both English and Bahasa Malaysia languages for the benefits of workers that do not understand English.</p>	Not required for corrective action plan.	Not required for corrective action plan.
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Corrective Actions Taken and Final Status on NCRs and OFIs Raised During Previous Audit (2020)

Indicator	Specification Major/Minor/OFI	Detail Non-conformances	Corrective Action Taken	Verification by Assessor
2.1.2	Minor KN01/ 2020	<p>Requirement: Forest managers shall support legally recognised mechanisms for resolving land claims.</p> <p>Finding: The participation in the resolution of land claims within FPMU was not sufficient.</p> <p>Objective evidence: Records below showed there was no clear participation or no person in-charge in the resolution of land claims and forest use right to the land within FPMU as evidence below;</p> <ul style="list-style-type: none"> • Summary of Land Dispute issue for LPF0019: Masama/Selangau Estate. • Table 8, Mitigation and Enhance Measures of the Social Impacts to the Local Communities of SIA (Revision 002) • Map of Land Dispute dated July 2020 • Several Police Report No. TATAU/000112/19, TATAU/000114/19, TATAU/002031/18 and TATAU/001883/15 • Agreement on “Perjanjian untuk penanaman padi di Coupe BT2” dated 24 Aug. 2018. 	<p>Result of investigation and determination of root cause: The FPMU has setup the Corporate Community Relation, but the team member did not fully aware on their responsible.</p> <p>Correction and corrective action plan including completion date:</p> <ol style="list-style-type: none"> 1. The FPMU will conduct a meeting with Masama Corporate Community Relationship Committee (CCR) to discuss the resolution of land claims and forest use right to the land within FPMU by 28.10.2020 2. The FPMU will assign the Operation Manager and Admin Manager as the person incharge in the resolution of land claims and forest use right to the land within FPMU by 01.10.2020 	<p>In this audit, records sighted by the auditor showed three (3) personnel had been appointed to handle social communication and grievance from local communities as presented in the ‘Corporate Community Relations Organizational Chart’ for LPF/0019 (SYF/MC&I/CSR/OC.001. This was confirmed also from the auditor’s consultation with villagers of Rh Wan, Rh Kelisop and Rh Dilang during the present audit, therefore, the Minor NCR KN01/2020 above was closed.</p> <p>Status: Closed</p>

2.2.2	Major KN02/ 2020	<p>Requirement: With respect to activities that may affect such rights, forest managers shall recognise, respect and collaborate with holders of duly recognised legal or customary tenure or use rights within relevant federal, state and local laws.</p> <p>Finding: The continuation collaboration between FPMU and local communities was found to be not effective in activities that may affect such rights. Major NCR LHF01/2019 was re-issued to KN02/2020</p> <p>Objective evidence: Masama Corporate Community Relationship Committee (CCR) has been established on 21 September 2019. The committee members are including from five (5) Tuai Rumah of Rh. Dilang, Rh. Nyatun, Rh. Wan, Rh. Sempurai and Rh. Mancha, except Rh. Anai. However, auditor has found the understanding of CCR function to each Tuai Rumah was not sufficient. It was confirmed during consultation with TR. Johnny and Secretary of CCR, and comments received from Longhouse of Anai. In addition, no further meeting or discussion held with local communities (CCR meeting) since the last audit in September 2019.</p>	<p>Result of investigation and determination of root cause:</p> <ol style="list-style-type: none"> 1. The FPMU has brief the CCR on their function of the CCR, but their understanding was not sufficient. 2. Rh Anai was not included into the CCR team as the Headman (Tuai Rumah) was not yet get a certificate of appointment from Tatau District Office as a headman for Rh. Anai <p>Correction and corrective action plan including completion date:</p> <ol style="list-style-type: none"> 1. The FPMU will held a meeting with Masama Corporate Community Relationship Committee (CCR) by 28.10.2020. The FPMU will brief again their function during the meeting. 2. The FPMU will give an explanation on the comments/complaint to headman from Rh. Anai on the said issue by 28.10.2020 	<p>Record showed the availability of Shin Yang Forestry's Corporate Community Relations Organization Chart (CCR) (SYF/MC&I/CSR/OC.001) dated 2018, involving internal staff members. Three staff members of LPF0019 were responsible in handling local issues. These three members held last meeting/consultation with local communities on 30th October 2020 at Rh Wan, Rh Dilang, Rh Kelisop, Rh Sempurai, Rh Anai and RH Johnny. Meeting minutes showed that attendance was taken. Request for CSR (material and financial contributions) were also forwarded by the local communities as recorded in the 'Borang Permintaan Komuniti' for consideration. CSR issues referred to during the dialogue session were related to road maintenance, employment opportunity, building material assistance and safety.</p> <p>Status: Closed</p>
4.2.3	Major KN03/ 2020	<p>Requirement: Appropriate safety and operational equipment in good working condition, including operational procedures, shall be made available to</p>	<p>Result of investigation and determination of root cause:</p> <ol style="list-style-type: none"> 1. The person in charge not aware on the compressor's Certificate of Fitness expiry 	<p>Auditor has verified working area as listed below:</p> <ol style="list-style-type: none"> 1. An air compressor unit at the workshop [SW PMT

		<p>forest workers in the work place.</p> <p>Finding: The forest workers were not aware of safety procedure in the work place. This NCR was upgraded from Minor RJ03/2019 to Major KN03/2020</p> <p>Objective evidence:</p> <ol style="list-style-type: none"> 1. An air compressor unit at the workshop [SW PMT 9081(unit: TW—0.71 200L 7.5HP)] was verified and Certificate of Fitness showed that the validity period until 13/08/2020, and it was expired during the audit 2. Visit to one (1) worker housing (rumah tarik) at coupe BT3 were found no fire extinguisher provided in the house and no specified area for storage of hazardous material for one (1) plastic containers filled with fuel 3. Visit to two (2) workers housing (rumah tarik) at coupe BT3 found the First Aid Kit were expired and no First Aid Kit found at Workshop 4. Inspection of Excavator G658 and G1233, and Tractor P202 found no records of maintenance. 5. One worker (supervisor) did not use PPE and/or suitable safety attire at landing site area 	<p>date</p> <ol style="list-style-type: none"> 2. The person incharge miss to provide the said safety equipment (fire extinguisher and First Aid Kit) to the said area 3. The 2 unit of Excavator and a unit of Tractor was belong to the Harvesting Contractor and the contractor not aware on the safety and health issue 4. The said workers (harvesting supervisor) were not aware on the safety and health issue <p>Correction and corrective action plan including completion date:</p> <ol style="list-style-type: none"> 1. An application for CF renewal will be submit through http://mykkp.dosh.gov.my by 20.9.2020 2. All the said safety equipment will be provided to all workers housing, and workshop by 24.11.2020 3. Safety awareness training will be provided to all FPMU contractor and the said machineries maintenance record will be made available by 10.10.2020 4. Safety awareness training will be provided to workers (supervisor) 	<p>9081(unit: TW—0.71 200L 7.5HP)] was verified and Certificate of Fitness showed that the validity period until 13/08/2020, and it was expired during the audit. The FPMU has submitted inspection request from DOSH on 9 June 2021. However, no feedback from DOSH until this audit. The management will follow up before next surveillance audit.</p> <ol style="list-style-type: none"> 2. Visit to workers housing (rumah tarik) at Coupe 1 found that fire extinguisher was provided and no storage of fuel in plastic container 3. Visit to workers housing (rumah tarik) at Coupe 1 found that First Aid Kit in a good condition and First Aid Kit made available at Workshop 4. Records of maintenance for Excavator G1005 and Tractor C533 were made available with latest service on 9 December 2021 and 5 December 2021. 5. All workers and supervisor wearing suitable safety attire and PPE at landing site area 6. Based on interview with workers, they are aware of safety procedure. <p>Status: Closed</p>
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<p>5.6.1</p>	<p>Minor ANS01/ 2020</p>	<p>Requirement: Rate of harvest shall be in accordance with the prescribed rotation length as in the Forest Plantation Management Plan</p> <p>Finding: The rate of harvest not accordance with the FMP and Annual Work Plan.</p> <p>Objective evidence: Target of harvesting tree volume of per month is 1000m³/month. However, there was no further action when the actual harvesting tree volume does not achieve the target. The actual volume per month for year 2020 were:</p> <table border="1" data-bbox="593 727 1064 1114"> <thead> <tr> <th>Month</th> <th>Volume (m³)</th> </tr> </thead> <tbody> <tr> <td>Jan</td> <td>353.82</td> </tr> <tr> <td>Feb</td> <td>946.04</td> </tr> <tr> <td>Mac</td> <td>525.38</td> </tr> <tr> <td>Apr</td> <td>500.78</td> </tr> <tr> <td>May</td> <td>442.7</td> </tr> <tr> <td>Jun</td> <td>378.74</td> </tr> <tr> <td>Jul</td> <td>672.94</td> </tr> </tbody> </table>	Month	Volume (m ³)	Jan	353.82	Feb	946.04	Mac	525.38	Apr	500.78	May	442.7	Jun	378.74	Jul	672.94	<p>Result of investigation and determination of root cause: The FPMU management has been aware on the said problem, and has been discuss in Biweekly Meeting but action to the taken was not available in written form (only inform verbally)</p> <p>Correction and corrective action plan including completion date:</p> <ol style="list-style-type: none"> 1. All the action taken to overcome the problem will be made it available in written form by 14.10.2020 2. Biweekly minute of meeting also will be made available by 14.10.2020 	<p>Target of harvesting tree volume of per month is 1,000m³/month or 30ha/month. Audit found the FPMU has achieved the targeted harvesting production (volume per month) for year 2021 at 11,651.59 m³ from the targeted of 12,000 m³. The rate of harvest has met with the FPMP and Annual Work Plan.</p> <p>Status: Closed.</p>
Month	Volume (m ³)																			
Jan	353.82																			
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<p>8.3.1</p>	<p>Major ANS02/ 2020</p>	<p>Requirement: Forest managers shall provide relevant documents to ensure that all forest products leaving the certified area can be identified (including volumes and types) so that their origin can be determined. Specific claims to communicate the origin of products are</p>	<p>Result of investigation and determination of root cause: Coupe No.17/14B was to reference number of Permit to Harvest Coupe (PHC) for Coupe BT3, and this number was the running PHC number given by Sarawak Forestry Department</p>	<p>Sampled removal pass No.333436 (dated 29/10/2021), No. 333438 (dated 9/12/2021) and No. 526051 (dated 17/11/2021) found that the coupe number at the removal pass was stated as Coupe BT3 (certified coupe). The related</p>																

		<p>specified in <u>APPENDIX B</u>.</p> <p>Finding: The document to ensure that all forest product leaving the certified area not tally with the product origin.</p> <p>Objective evidence: Based on verified the removal pass No. 333419 (dated 19.5.2020), No. 333420 (dated 27.6.2020) and No. 333422 (dated 3.9.2020) found that the coupe number at the removal pass was stated as 'Coupe No. 17/14B' instead of certified coupe known as Coupe BT3. The removal pass does not tally with the origin coupe number.</p>	<p>Correction and corrective action plan including completion date: Further consultation will be carried out with Sarawak Forestry Department (Bintulu Branch) to consult the said issue by 24.9.2020</p>	<p>documents were tally with the product origin/certified area.</p> <p>Status: Closed</p>
9.3.1	Major ANS03/2020	<p>Requirement: Measures to demarcate, maintain and/or enhance the HCV attributes are documented in the forest management plan and effectively implemented.</p> <p>Finding: The trees in HCV 1.2 and 1.3 identified by FPMU were not inventoried and not tagged.</p> <p>Objective evidence: The action plan dated on 1 November 2019 to close minor NCR from the last recertification audit finding (NCR RJ05/2019) was not implemented. Thus, the Minor NCR RJ05/2019 was upgraded to Major ANS03/2020.</p>	<p>Result of investigation and determination of root cause: The person incharge not aware on the HCV 1.2 and HCV 1.3 mitigation measure written on the High Conservation Value Assessment Report</p> <p>Correction and corrective action plan including completion date:</p> <ol style="list-style-type: none"> 1. The mitigation measure written on the High Conservation Value Assessment Report will be explained again to surveyor team by 3.10.2020 2. The annual inventory will be done and the protected tree written in HCV 1.2 and HCV 1.3 will be tagged by 30.11.2020 	<p>As verified during this Surveillance 2 audit (2021), the FPMU has carried out annual forest inventory for endemic and ERT tree species for HCV 1.2 and 1.3 as verified the 'Inventory Recording Sheet for HCV 1.2 and HCV 1.3' dated 27-28 June 2021 and 30 July 2021 at Block 8, 19 and 23 in Coupe BT4.</p> <p>Status: Closed</p>

<p>1.1.1 (MC&I SFM)</p>	<p>OFI#1</p>	<p><u>Records and availability of up-to-date: federal, state and local laws, regulations and policies that are relevant to forest management.</u></p> <p>Master list of Laws, Regulation, Policy, International Agreements & Conventions and Guidelines dated 13 June 2019 were not including list for new MC&I SFM</p>	<p>Not required</p>	<p>Master list of Laws, Regulation, Policy, International Agreements & Conventions and Guidelines dated 6 October 2020 has covered all applicable laws as required by the MC&I SFM standard. The legal register was maintained at Masama FPMU.</p> <p>Status: Closed</p>
<p>4.1.1 (MC&I SFM)</p>	<p>OFI#2</p>	<p><u>Forest managers provide appropriate support for training, retraining, local infrastructure, facilities and socio-economic programmes that commensurate with the scale and intensity of forest management operations</u></p> <p>Consultation with local communities was conducted on 21 Sept 2020 does not includes results of socio-economic programmes that commensurate with the scale and intensity of forest management operations</p>	<p>Not required</p>	<p>Records of consultation with local communities was last conducted on 30 October 2020 had included results of socio-economic programmes that commensurate with the scale and intensity of forest management operations.</p> <p>Status: Closed.</p>
<p>6.6.1 (MC&I SFM)</p>	<p>OFI#3</p>	<p><u>Availability of management policy on the use of environmentally friendly non-chemical methods of pest management and prohibition of use of banned pesticides.</u></p> <p>The policy on the use of environmentally friendly non-chemical methods of the pest management and prohibition of use of banned pesticides not available at the Masama plantation office.</p>	<p>Not required</p>	<p>Management policy on the use of environmentally friendly non-chemical methods of pest management and prohibition of use of banned pesticides titled 'Policy of Commitment - MC&I for Sustainable Forest Management' dated 24 April 2021.</p> <p>Status: Closed</p>

<p>6.6.4 (MC&I SFM)</p>	<p>OFI#4</p>	<p><u>Forest management shall prepare an implementation plan for the reduction of use of chemical pesticide.</u></p> <p>The implementation plan for the reduction of use of chemical pesticide not available at the Masama Plantation office</p>	<p>Not required</p>	<p>The FPMU has planned for the reduction of use of chemical pesticide titled 'Chemical Pesticide Reduction Plan in LPF0017 FPMU'. Monthly monitoring of for year 2020 and 2021 had been conducted by the FPMU as verified in the record titled 'Field Chemical Planning & Usage LPF0019' and 'Monthly Nursery Chemical Usage LPF0019'.</p> <p>Status: Closed</p>
<p>7.1.1 (MC&I SFM)</p>	<p>OFI#5</p>	<p><u>Availability and implementation of forest management plan including consideration of risks and opportunities concerning compliance with the requirements of the standard.</u></p> <p>The risk and opportunities, and implementation was not available in the forest management plan.</p>	<p>Not required</p>	<p>The risk and opportunities have been described at Chapter 16: Risk and Opportunity Analysis in the Forest plantation management plan, Rev.010 (December 2021).</p> <p>Status: Closed</p>