



**PUBLIC SUMMARY
SURVEILLANCE 3 AUDIT (2022) ON
RAPLEX FOREST MANAGEMENT UNIT
FOR FOREST MANAGEMENT CERTIFICATION**

**Certificate number: FMC-NF 00122
Date of First Certification: 28 June 2019
Audit Date: 6-9 September 2022
Date of Public Summary: 14 March 2023**

Certification Body:

**SIRIM QAS International Sdn. Bhd.
Block 4, SIRIM Complex
No. 1, Persiaran Dato' Menteri
Section 2, 40700 Shah Alam
Selangor
MALAYSIA
Tel : 60-3-5544 6400/5544 6448
Fax : 60-3 5544 6763
Website: www.sirim-qas.com.my**

Table of Contents

EXECUTIVE SUMMARY	3
1.0 INTRODUCTION.....	4
1.1 Name of FMU	4
1.2 Organizational Information/Contact Person	4
1.3 General Background of the Forest Management Unit.....	4
1.4 Date of First Certified	4
1.5 Location of the FMU	4
1.6 Forest Management System.....	4
1.7 Annual Allowable Cut / Annual Harvest under the FMP	5
1.8 Environmental and Socioeconomic Context.....	5
2.0 AUDIT PROCESS.....	5
2.1 Audit dates	5
2.2 Audit Team	5
2.3 Standard Used	6
2.4 Stakeholder Consultations	6
2.5 Audit Process	6
2.6 Peer Reviewer.....	6
3.0 SUMMARY OF AUDIT FINDINGS.....	7
Map of Raplex Forest Management Unit	24
Details of Auditors and Qualification	25
Comments by Stakeholders and Responses from Audit Team.....	27
Surveillance 1 Audit Plan (2021).....	28

EXECUTIVE SUMMARY

The Surveillance 3 audit for forest management certification on the Raplex Sdn. Bhd. – Raplex FMU was conducted from 6th-9th September 2022. This was an audit conducted following the previous audit which was conducted on 13th-17th December 2021 on the overall forest management system and practices of the FMU against the requirements of the Malaysian Criteria and Indicators for Forest Management Certification (MC&I SFM) using the verifiers stipulated for Sarawak, Malaysia.

The audit was conducted with the full cooperation of the Raplex FMU by a three-member team comprising Mohd Annas Amin Bin Haji Omar (Lead Auditor), Mohd Razman Salim (Auditor) and Angelica Sinimis Suimin (Sociologist).

The Raplex FMU only covers an area of 63,993 ha of Mujong-Merirai Protected Forest (53%) and 30,133 ha of Stateland area (47%). The forest area is situated approximately between Latitudes 2° 0' 13" and 2° 00' 39" N, and longitudes 113° 00' 07" and 113° 00' 46" E within the Kapit Division, Sarawak. About 220 km from Sibu to Sg. Pila base camp and accessible by the Batang Rajang and Batang Baleh (Kapit town). It took about 6 hours of travelling time from Sibu. It has two camps called Sg. Pila camp and nursery camp.

The audit involved the verification of documentation and field visits and inspections. There were also consultations being held with the Forest Department, Labour Department (Kapit) and relevant indigenous settlements within FMU.

In general, the findings of this Surveillance 3 audit have indicated that the Raplex FMU had complied with most of the requirements of the MC&I SFM despite the issuance of three (3) Major, four (4) Minor Non-Conformance Reports (NCRs) and one (1) Opportunities for Improvement (OFI), against the requirements of the MC&I SFM.

The Audit Team Leader after consultation with team members recommends that the certification of Raplex FMU against the MC&I SFM certification conditional upon acceptance of corrective action plans within one (1) month from the date of the surveillance 3 audit.

The FMU had submitted proposed corrective action plans to address the NCRs and OFI raised during the surveillance 3 audit by email on 13 September 2022 which has been accepted by the audit team leader on 4 October 2022. The last evidence of corrective action taken for Major NCR was received on 5 December 2022 and has been accepted and closed on 13 December 2022 respectively.

The effectiveness of the corrective actions taken by the FMU to address the Minor NCRs shall be verified by the audit team during the next surveillance audit.

1.0 INTRODUCTION

1.1 Name of FMU

Raplex Forest Management Unit

1.2 Organizational Information/Contact Person

Name: Mr. Nelson Bijack James Chas

Designation: General Manager

Address: Ta Ann Building, No 6, Jalan Rawang, 96000 Sibu, Sarawak

1.3 General Background of the Forest Management Unit

Raplex Forest Management Unit (Raplex FMU) is located in the central region of Sarawak within Kapit Division. The area covers about 63,993 hectares and lies between latitudes 2° 0' 13" and 2° 00' 39" N, and longitudes 113° 00' 07" and 113° 00' 46" E. It consists of logged-over lowland mixed dipterocarp forest (MDF) with elevation from 90 to 750 m above sea level.

Raplex FMU covers 33,860 ha of Mujong-Merirai Protected Forest (53%) and 30,133 ha of stateland area (47%). The FMU is bounded on the north by the south bank of Batang Rajang and on the south by Sungai Pila. The FMU shares a common licence boundary with Forest Timber Licences T/0518 (STIDC-Song Logging Company SB), T/3064 (Sut Sawmill SB), T/3353 (Jayatiasa SB), T/3290 (Polymore Timber SB) and T/3291 (Polymore Timber SB). It has two camps called Sg. Pila camp and nursery camp.

Raplex FMU is under the Forest Timber Licence No. T/0560 issued to Raplex Sdn. Bhd. for Natural Forest Management (SFM). Currently, the license of this FMU is annually renewed from 2 February 2021 to 1 February 2022. The 60-year tenure will be granted once the area is certified.

The FMU covers 45,150 ha (70%) of production areas, 16,962 ha (27%) set aside for community use areas and 1,881 ha (3%) are conservation areas. Conservation areas were identified and protected and these were confined mostly to areas with steep terrain > 35°, river area and river buffer. The forested area of the FMU primarily consists of (a) logged-over hill mixed dipterocarp forest and (b) secondary forests due to past shifting agriculture activities particularly along the banks of Batang Rajang, Sungai Pila and Sungai Ebon.

1.4 Date of First Certified

28 June 2019

1.5 Location of the FMU

The FMU is located between 2° 0' 13" N and 2° 00' 39" N, 113° 00' 07" E and 113° 00' 46" E. The map of the FMU is attached in **Appendix 1**.

1.6 Forest Management System

Ten (10) Years Forest Management Plan (FMP) for Forest Timber Licence No. T/0560, Raplex Sdn Bhd (2018-2027) was made available during the audit. A review of the FMP found that the plan had addressed all issues and requirements of Criterion 7.1. The FMP also provides maps specific to planned management activities and references to supporting documents that include Tables, Figures Charts, Graphs, Schedules, Budgets, SOPs and operation

guidelines either as Annexes or Appendices. The FMP was approved by Forestry Department Sarawak (FDS) on 6 September 2021.

1.7 Annual Allowable Cut / Annual Harvest under the FMP

The Annual Allowable Cut (AAC) was calculated at an average of 63,989 m³/year or not more than 2,257.5 ha/year on average for the 25 years of the cutting cycle (20 annual coupes and 5-year idle period)

As indicated in the Raplex FMU FMP, the rate of the harvest was calculated to not be greater than the estimated regrowth of the residual stand based on permanent sample plots assessment (within a pre-defined 25-year of cutting cycle). With a total productive area of 45,150 ha, the Annual Coupe of Raplex FMU was set to not more than 63,989 m³/year (average annual coupe production area shall be 2,257.5 ha/year) (refer Chapter 3.1.61 - Annual Allowable Cut (AAC))

1.8 Environmental and Socioeconomic Context

Generally, there are about five (5) local communities living inside the FMU and twenty (20) other communities that are considered to be Project-Affected Communities (PACs) as they are located adjacent to the FMU boundaries. Ethnically, most communities are Iban, Punan and Sekapan while other races are Kenyah, Tanjong and Kayan.

The 25 communities/settlements as identified by the Social Impact Assessment report in March 2017 (SIA) have formulated the Community Representative Committee (CRC)' which was established in May 2018. This committee had been established to serve as a forum for consultation by members and to arbitrate social grievances affecting the FMU.

The Raplex FMU Forest Management Plan incorporated information from the assessment of environmental impacts as seen in Chapter 7 - Environmental and Social Impact Assessment. An EIA report was made available titled 'Environmental Impact Assessment for the Proposed Timber Harvesting Project in the Raplex FMU under Forest Timber Licence (FTL) No. T/0560 in the Upper Batang Rajang area, Kapit Division, Sarawak – August 2017 was conducted as required under item 2(i) of the First Schedule of the said Order (Section 11A (1) of the Natural Resources and Environmental Ordinance). The EIA report was approved by the Natural Resources and Environment Board (NREB) on 8th December 2017.

2.0 AUDIT PROCESS

2.1 Audit dates

The Surveillance 3 audit for forest management certification on the Raplex Sdn. Bhd. – Raplex FMU was conducted from 6th-9th September 2022. This was an audit conducted following the previous audit which was conducted on 13th-17th December 2021. The Surveillance 3 audit plan is attached in **Appendix 4**

2.2 Audit Team

The audit team comprised the following members:

1. Mohd Annas Hj Amin (Lead Auditor)
2. Mohd Razman Salim
3. Angelica Sinimis Suimin

The details on the experiences and qualifications of the audit team members are in **Appendix 2**.

2.3 Standard Used

Malaysian Criteria and Indicators for Forest Management Certification [MC&I SFM] using the verifiers stipulated for Sarawak, Malaysia.

2.4 Stakeholder Consultations

In August 2022, a stakeholder notification was issued, inviting relevant stakeholders to provide their comments on the FMU. The notification was open for a duration of one month. However, no comments were received during this period.

2.5 Audit Process

The assessment was conducted as planned using the methodology described in Section 2.0. Findings against each of the MC&I SFM are reported below.

The audit was conducted primarily to evaluate the level of compliance of the FMU, current documentation, Standard Operating Procedures (SOPs) and field practices in forest management with the requirements listed in the MC&I SFM, using the verifiers stipulated for Sarawak, Malaysia.

For each Indicator, the auditors conducted either a documentation review, consultation with the relevant personnel of the FMU or stakeholders or field audit or a combination of these methods.

Depending on the level of compliance with the verifiers on a particular indicator, the auditors had reviewed and verified the degree of the overall compliance in the indicator before a finding was raised either a non-conformity report (NCR) (minor or major) or opportunities for improvement (OFI).

An NCR raised during the audit and categorized by the audit team as either major or minor is defined as follows:

(i) A major NCR is a non-compliance deemed by the Auditor to be critical and is likely to result in an immediate hazard to the quality or standard of the forest management systems and practices in the FMU.

The FMU is requested to notify SIRIM QAS International Sdn Bhd (SIRIM QAS International) of the proposed corrective actions taken within one month from the last date of the audit. The corrective actions as notified by the FMU shall be verified by the Audit Team Leader or a member of the audit team within three months from the last date of the audit.

(ii) A minor NCR is a single observed lapse in compliance by the FMU with the MC&I

The FMU shall respond in writing to SIRIM QAS International within one month from the last date of the audit detailing the actions to be taken to address all minor NCRs. The effectiveness of the resulting actions taken by the FMU must be verified at the next surveillance visit.

iii) An OFI is a situation where the auditor has noted an area of concern on the capability of the forest management system to achieve conformance to the requirements of the MC&I but without sufficient objective evidence to support a non-conformity. The FMU shall submit a corrective action plan within three months from the last date of the audit. The closing of an OFI shall be made during the next surveillance audit.

2.6 Peer Reviewer

Not applicable for surveillance audit

3.0 SUMMARY OF AUDIT FINDINGS

A total of three (3) Major [Indicator 1.5.2, 6.5.4 & 8.1.2], four (4) Minor [Indicator 4.2.3, 4.2.5, 7.3.2 & 8.3.1] Non-Conformance Report (NCRs) and one (1) OFI were raised on the Raplex FMU against the requirements of the MC&I SFM. The audit team examined all the proposed corrective action plans to address the NCRs and OFI raised during the audit by email on 13 September 2022 which has been accepted by the audit team leader. The last evidence of corrective action taken for Major NCR was received on 5 December 2022 and has been accepted and closed on 13 December 2022 respectively.

The effectiveness of the corrective actions taken by the FMU to address the Minor NCRs and OFIs shall be verified by the audit team during the next surveillance audit.

The MC&I Standard has been designed and structured throughout nine (9) Principles which covers requirements to support sustainable forest management with compliance of legislation, social, environmental and economic aspects. The table below shows the overall audit coverage of the FMU's activities and general audit findings for compliance (strength) and noncompliance (weaknesses) of the Raplex FMU against the MC&I requirements:

Principle	Strengths	Weaknesses
Principle 1 Compliance with Laws and Principles	<p>A 'Document list' (legal register) with records of all relevant national and local laws, regulations and policies related to forest management was maintained by Raplex FMU. Copies of all relevant laws, policies and regulations stipulated in the MC&I SFM fundamental for the FMU management were available in the office of the Sg Pila base camp office. The office staff had been appointed to update and maintain all these relevant documents. The latest applicable law such as 'Minimum Wage Order 2020' was found included in the updated 'Legal list' sighted.</p> <p>The senior forest managers had demonstrated knowledge of the federal, state and local laws and regulatory framework. They were aware of the relevance of these laws and regulatory frameworks in the management of the FMU. In addition, in-house briefings at regular intervals were conducted to familiarize field workers with these requirements. This was evidenced by the 'Company's Policies' briefing for staff and workers conducted from 13 to 17 June 2022.</p> <p>The current list of all legally prescribed fees, royalties, taxes, and other charges was available at the Sg Pila base camp office. The Rate of payment, royalty and cess was based on the Fourth Schedule (Rates of Royalty and Premium), Forest Ordinance, 2015 – Chapter 71 and Second and Fifth Schedules. The First</p>	<p>During the site visit made by the auditor at the FMU external boundary with STIDC T/0518 (Pila Mujong FMU) at N 20 18' 47" and E 1130 26' 24". The FMU boundaries were demarcated and marked with orange paint and erected signboard 'Raplex T0506/STIDC T0518'. However, Site Inspection on active harvesting activities at Coupe 04AR Block 17 found the Block Boundary was not demarcated between Block 17 and Block 16 along the secondary road (M-1). Furthermore, Site Inspection on active harvesting activities at Coupe 04AR Block 17 found road layout at the skid trail at FT-6-05 did not follow the RIL harvesting plan. The logging contractor has constructed an unauthorized new skid trail (78 meters) along FT-6-05 without approval from Forestry Department. Therefore, a Major NCR was raised against Indicator 1.5.2</p>

Principle	Strengths	Weaknesses
	<p>Schedule and DF Circular 7/2015 (regarding shipping and land transport for Harwood Timber) were also referred to.</p> <p>Sighted records of payments made and sighted include (1) Renewal of annual registration fee (validity until 31.8.2023), (2) Payment of Liquidated damages for closing blocks dated 5 July 2022 (3) Royalty & Premium for July 2022, and (4) Renewal of Trade License for 2021.</p> <p>The documents on all the binding international agreements such as International Labour Organisations Conventions (ILO), Convention of Biological Diversity (CBD), International Tropical Timber Agreement 1994, Convention on Wetlands, Waterfowl Habitat 1971, United Nations Framework Convention on Climate Change (UNFCCC) and Convention of International Trade of Endangered Species (CITES) which Malaysia is signatory were kept and sighted at the Sg Pila base camp office. Interviewed Forest managers demonstrated awareness of international agreements such as the ILO Conventions and other forestry-related agreements.</p> <p>The FMU has shown their effort to control encroachment, illegal harvesting, hunting and settlement, and other unauthorised activities in the FMU area through helicopter air surveillance, boat and land transport as verified through the 'Forest Patrolling and Monitoring Schedule' for the year 2022. Boundary monitoring by the monitoring team was conducted on 11-13 March 2022 as verified through 'Borang Pemantauan Kawasan FMU'.</p> <p>A Sustainable Forest Management policy signed by the CEO dated 1st February 2021 was available for Raplex FMU. It included a statement "committed to the sustainable management of our natural resources via the implementation of the Malaysian Criteria and Indicators for Sustainable Forest Management (MC&I SFM)."</p> <p>Verification of records showed the Policies or Statements were communicated throughout the organization, stakeholders (through Social</p>	

Principle	Strengths	Weaknesses
	<p>Programs) and forest contractors during the briefings/meeting as follows:</p> <ul style="list-style-type: none"> • Company Policies briefing to contractor (Ironwall SB) and workers – 13 June 2022 • Rh. Gendang & Rh. Empang on 22 April 2022 (70 villagers) <p>Public summary of the policies or statement of commitment to forest management of natural resources via implementation of Malaysian Criteria and Indicators (MC&I SFM) for Forest Management Certification (Natural Forest) was available publicly through the website: http://www.raplexfmu.com.my/policy/</p>	
Principle 2 Tenure and Use Rights and Responsibilities	<p>All relevant documents of legal or customary tenure or use rights of the FMU were made available during the audit. Forest Timber Licence No. T/0560 issued to Raplex Sdn Bhd is valid until 1 February 2021</p> <p>The Social Impact Assessment (SIA) report (June 2017) identified out of the 25 settlements, 5 settlements are located inside the FMU area and 20 settlements are located outside but in the vicinity of the Licensed Area.</p> <p>As part of the audit, interviews were conducted in 8 villages selected from a total of 25 villages. These villages included 2 within the FMU area (Rh. Gendang & Rh. Empang) and 6 villages adjacent to the FMU boundary (Rumah Ladang Keluka, Punan Sama, Batang Rajang, Rh. Sekapan Pi'it, Rumah Sekapan Panjang (Sekapan) Belaga, Uma Badeng, Long Dungan Belaga (Kenyah), Uma Tevok, Long Buyun, Batang Rajang (Kayan), and Rh. Jilap B. Usa Long Pawah, Batang Rajang (Tanjong). Consultations also involved CRC members, including MU Among Matu (Sekapan Panjang), Laja Michael Nyaleng (Tanjong), and MU Counsellor Beti.</p> <p>Interviews with the head of the villages and villagers including his longhouse communities representatives confirmed that there was no issue with the land claim from these villages.</p> <p>The local communities' settlements, shifting agriculture area (SA), and community use area within the Raplex FMU were acknowledged and</p>	

Principle	Strengths	Weaknesses
	<p>identified. This designated area, known as 'Shifting Agriculture (SA) land', covers a total area of 16,962 hectares within the Raplex FMU. The demarcation of this area can be found in the map titled 'General Harvesting Plan (GP) for Raplex FMU' and is also attached in section 11.1.7 - Shifting Agriculture (SA) area and community use area of the Forest Management Plan (FMP).</p> <p>A series of social awareness programs were conducted at Rh. Empang on 22 April 2022. The purpose of these programs was to provide updates on the operations of Raplex FMU, inform the local community about the SFM policy, share the results of the Social Impact Assessment, promote employment opportunities, consult the local communities on High Conservation Value (HCV) findings, identify special sites important to the local community, document traditional knowledge related to the forest, address grievances and communicate the FMU's commitment to SFM through the implementation of MC&I SFM (Natural Forest) certification. The FMU team visited the longhouse twice to brief the communities on these subjects. The protected area has been marked with blue paint by the FMU.</p> <p>FMCLC meeting was held on 4th November 2020 and 20th November 2021 attended by 20 CRC representatives respectively. During these meetings, issues discussed were the updates of FMU operation at Coupe 2 from 2022-2024 affecting the Sekapan Belaga Communities. HCV briefings, parameter survey and Certification report information was also shared during the meeting.</p>	
Principle 3 Indigenous People's Rights	<p>The documents pertaining to the customary rights of indigenous peoples' lands were kept at the Sg. Pila Base Camp office. A map titled General Harvesting Plan (GP), dated 26 June 2018, was also provided, illustrating a total area of 16,962 hectares. This map highlighted the positions of longhouses, burial grounds, and water catchments within and near the FMU, specifically in the Shifting Agriculture (SA) sites. Raplex's management demonstrated their commitment to respecting the customary use</p>	

Principle	Strengths	Weaknesses
	<p>rights of the Customary Land within the PRF through their Forest Management Plan (FMP) and Standard Operating Procedures (SOP).</p> <p>Based on the interview with sampled communities, there was no issue pertaining to legal or customary tenure or use rights related to Raplex FMU. If there is any conflict or grievances, it can be resolved through CRC.</p> <p>The SIA report, prepared by a consultant in 2017, concluded that the communities residing within and surrounding the Raplex FMU are not vulnerable to potential impacts and would not face severe consequences due to forest operations. The report suggested implementing mitigation measures and leaving the shifting agriculture (SA) areas of the local communities undisturbed to ensure minimal impact. Consultations conducted during the audit confirmed that Raplex FMU had not encroached upon the SA areas, and the communities were aware of the FMU's policy regarding these areas.</p> <p>In June 2017, the FMU identified significant cultural, ecological, economic, and religious sites within the FMU, as mentioned in the SIA report. Section 3.2.12 of the report, titled 'Burial grounds, Cultural or Sensitive Sites,' presented a table (Table 3.24) listing all the burial ground sites located in the FMU. Additionally, the FMU identified the customary right areas for shifting agriculture for Rh. Sekapan Panjang (located outside the license area) and Rh. Mero (within the license area) in Coupe 6 and Coupe 8. These areas were mapped in the General Harvesting Plan (GP).</p> <p>On January 24th and 25th, 2020, the FMU organized a discussion on Coupe 3, involving the communities from Rh. Gendang and Rh. Empang. The discussion was led by the Camp Operation Manager and surveyors, with the aim of obtaining Free and Prior Informed Consent from the communities. Various issues were addressed, including the identification of Temuda/Damun, the location of current operations, the explanation of signage along the road, community recommendations or</p>	

Principle	Strengths	Weaknesses
	<p>requests, and the impact of logging operations on the local communities.</p> <p>The Raplex FMU has implemented preventive and mitigation measures to safeguard community use areas, cultural sites, and religious sites. These measures were agreed upon between the FMU and the communities, and they are outlined in the Forest Management Plan (FMP) for the period 2018-2038. The FMP specifically covers socio-economic impacts, mitigation measures, and the identification and management of protected areas.</p> <p>Regarding traditional forest-related knowledge and practices, there is currently no commercial utilization within the Raplex FMU. Therefore, no legislation exists to ensure compensation for any commercial use of such knowledge and practices by external parties.</p>	
Principle 4 Community Relations and Workers' Rights	<p>Management has prioritized staff training in forest management and logging operations, both through internal programs and external agencies like the Sarawak Timber Association (STA) and Sarawak Forestry Corporation (SFC). In the years 2021 and 2022, The FMU has organized training sessions covering various aspects of forest operations and safety, such as health and safety protocols, incident investigation, including MC&I certification, Reduced Impact Logging (RIL), waste management, field manual for PSP, log chain of custody implementation and others. The FMU actively promotes job opportunities to the local community, as discussed during the TGM (Townhall General Meeting) on July 15, 2022. Additionally, job opportunities were also promoted during the CRC (Community Representative Committee) TGM meeting on July 15, 2022. Through the listing of workers, interviews with five sampled workers, and discussions with local communities living nearby, it has been verified that forest managers have not employed or been involved in the employment of illegal migrant workers, child labor, or forced labor</p> <p>Field workers were updated on applicable laws and regulations regarding occupational safety</p>	<p>The FMU has appointed a Safety and Health Officer (Safety and Health graduate) as a key person to monitor safety and health issues. Safety and Health Department, Kuching, alleged that Raplex FMU has not registered a workplace location as noted by the DOSH officer on the 18/8/2022 based on the stakeholders feedback. DOSH requires Raplex to register online with JKKP to determine workplace location. This is Confirmed through consultations with DOSH officer at SIBU office on 7/09/2022. Although Raplex Sdn Bhd and Ironwall Sdn Bhd (Contractor) have regularly submitted the DOSH requirements for JKKP8 and JKKP 6 JKKP 6 SW/SKEM 2022/01836 but need to submit or register the workplace location as per required by DOSH. This was confirmed during the consultation with DOSH, SibU during this audit. Therefore, an OFI was raised against Indicator 4.2.1.</p> <p>The Medical kit in the First Aid Box also was sufficient and followed the content list as inspected at the workshop and nursery. However, a review of maintenance records of an excavator EX731 and a tractor IW901 found the run hours of previous maintenance and the schedule of the next</p>

Principle	Strengths	Weaknesses
	<p>and health, through briefings and displays at the camp office, quarters, workshop and canteen. Records of equipment and maintenance were made available and verified. Policy on occupational safety & health dated 1 June 2016 was displayed at the camp office, quarters, workshop and canteen. A series of Safety and Health Meetings held in 2022 covered matters pertaining to safety and health of workers, Chemical storage, first aid kits, accidents, safety policies and PPE. The FMU sent an annual accident report (JKKP 8) to DOSH dated 12 January 2022. Demarcation of hazardous areas and provision of guidelines for storage and handling of hazardous materials were found to be adequate at the workshop, scheduled waste store and nursery at the Sg. Pila base camp.</p> <p>The FMP allowed their workers the right to freely organize themselves into unions of their own choice. The workers have access to their rights and benefits and protection is assured under applicable laws and/or regulations. Contract workers of Raplex Sdn Bhd, Ironwall Sdn Bhd and Ta Ann Plywood Sdn Bhd (Reforestation) established a Workers Committee (Jawatankuasa Perwakilan Pekerja) on 29 June 2018 and the committee conducted 1st meeting on 26 February 2019. The audit verified records on workers including grievances, accommodation, salary increment and disbursement, leave, annual leave, rest days and public holidays.</p> <p>The right of workers to benefits and protection at Raplex FMU was assured under applicable laws, i.e. Labour Ordinance 1952 (Sarawak, Cap 76), Employees Provident Fund Act 1991 and Employees' Social Security Act 1969. Apart from SOCSO contribution evidence, documents sighted showed that local forest workers under Raplex were insured under the Group Personal Accident which covered from 19th January 2021 to 18th October 2022.</p> <p>The results of the Social Impact Assessment (SIA) conducted in 2017 have been integrated into the forest planning and management of the FMU. The SIA report, which examined the impact on 25 settlements, including 5 within the</p>	<p>service were not recorded. Moreover, an interview with the excavator and tractor operator at Coupe 04R Block 17 has confirmed that the previous maintenance and schedule of the next service were not recorded. Therefore, a Minor NCR was raised against Indicator 4.2.3.</p> <p>Demarcation of hazardous areas and provision of guidelines for storage and handling of hazardous materials were found to be adequate at the workshop (at nursery and Sg. Pila base camp), scheduled waste store (at nursery and Sg. Pila base camp) and nursery. During the interview with workers at the workshop and nursery showed that they were aware of health and safety for their jobs. However, Raplex FMU has yet to conduct a Chemical Hazardous Risk Assessment (CHRA) as per requirement of Occupational Safety and Health (Use and standards of exposure of chemical hazardous to health Regulations 2000), Moreover, a site visit to Raplex workshop has confirmed that no CHRA has been conducted to cover welding activities. Therefore, a Minor NCR was raised against Indicator 4.2.5</p>

Principle	Strengths	Weaknesses
	<p>license area and 20 in the vicinity of the FMU, was reviewed during the audit. In order to raise awareness and share the findings of the SIA, the FMU organized social awareness programs in multiple villages on 22 April 2022. These programs covered various topics such as the Sustainable Forest Management (SFM) policy, ongoing logging operations, employment opportunities, consultations with the local community on High Conservation Value (HCV) findings, and the documentation of forest-related traditional knowledge, grievances, claims, and requests.</p> <p>The provisions and measures within relevant federal, state and local laws to prevent loss or damage affecting the local communities' legal or customary rights, property, resources, or their livelihoods were available. Documents on these relevant laws were maintained at the Sg. Pila base camp. The FMU also established a mechanism to resolve grievances and fair compensation for any loss or damage affecting the local communities' legal or customary rights, property, resources, or livelihoods caused by forest operations through the Community Representative Committee (CRC) and SOP 'Native Claims and Compensation'. During the audit, no new native claims and compensation were recorded.</p>	
Principle 5 Benefits from the Forest	<p>Investments and reinvestments have been made in Raplex FMU, covering various aspects such as forest administration, research, human resource development, protection, forest operations, conservation, environmental considerations, and social aspects. The availability and verification of annual budgets and expenditure for 2022 and 2021 indicate the financial commitment to these activities. To ensure the long-term productivity and ecological health of the FMU, silvicultural treatments of enrichment planting have been implemented in areas that have undergone harvesting. During the audit, a site visit was conducted to observe the Kelempayan planting areas along the skid-trail (ST-2) in the logged-over area of Coupe 04A Block 3.</p>	

Principle	Strengths	Weaknesses
	<p>To ensure proper forest resources inventory the Reflex FMU included in its FMP a 'Baseline assessment of Forest Stand'. Information on growth for consequent yield predictions are based on data collected from 32 permanent sample plots (PSP) in Continuous Forest Inventory (CFI). The PSP#7-SU27 (Coupe 13AR) were inspected on-site and their recording accuracy was verified. Harvested timbers were processed locally by Raplex Sdn Bhd and the report "Quality and Percentage of Timber Products Processed Locally and Exported for the period of (i) July 2021 – June 2022, (ii) July 2022, and (iii) August 2022 – June 2023.</p> <p>The 'Guidelines for Reduced and Low Impact Logging Systems in Forest Management Certification (Natural Forest) Area, second edition, 2014', issued by the Forestry Department Sarawak was implemented in FMU operations. Harvesting plans for the FMU verified during the audit included the Detail Harvesting Plan (DP)/Road Plan for Coupe 03AR (2020-2021 Coupe Year) of License No. T/0560 - Raplex FMU was approved by FDS on 30th December 2019. Site inspection at Block 18 Coupe 04AR and Block 17B Coupe 04AR found minimal harvesting damage to the residual stand. Tree felling was directional, skid trails pre-planned and old trails reused wherever possible.</p> <p>The FMU was operating mainly for the production of timber logs. The management has no plan for the production of mixed commercial forest products including the utilisation of non-timbers.</p> <p>The FMU has implemented procedures on protection of sensitive areas titled 'Identification and Demarcation of Buffer Zones for the Protection of Permanent Waterway' (TA-SOP-CON-04, ver. 02 dated 1 Nov 2016). Site visits at Sg. Talah in Coupe 04AR found sensitive areas were demarcated and protected. These included soil and water protection zones, watercourses and wetlands, riparian buffers, steep Terrain IV, water catchments and other HCV areas. Important forest services and resources and their protection strategies were</p>	

Principle	Strengths	Weaknesses
	<p>explained under 'Protection of Conservation Areas' in the Raplex FMU FMP. The document also included a Forest Zoning Map of the FMU that showed Terrain IV, river buffers as well as community's shifting agriculture and water catchment areas.</p> <p>The AAC varied between 27.28 m³/ha (2019), 26.55 m³/ha (2020) and 24.47m³/ha (2021). The Annual Coupe was set to 63,989 m³/year with an average production area of 2,257.5 ha/year (Chapter 3.1.61 of the FMP). The annual figures are based on estimated regrowth data of residual stands recorded in PSPs and calculated over a 25-year cutting cycle. Production of logs is recorded and data for 2021/2022 were sighted during the audit. A total of 32,110 m³ of logs were used for local milling and 22,141 m³ were exported. The total was below the AAC. The FMU did not produce any non-timber products.</p>	
Principle 6 Environmental Impact	<p>An EIA report "Environmental Impact Assessment for the Proposed Timber Harvesting Project in the Raplex FMU under Forest Timber License (FTL) No. T/0560 in the Upper Batang Rajang area, Kapit Division, Sarawak – August 2017" was sighted during audit. Findings were incorporated in the Raplex FMU Forest Management Plan (Chapter 7 - Environmental and Social Impact Assessment). The EIA report was approved by the Natural Resources and Environment Board (NREB) on 8th December 2017. Provisions for endangered, rare and threatened species of flora and fauna (ERT), biological corridors, HCV areas and mitigation measures were included.</p> <p>The guidelines to establish representative conservation and protection areas, in accordance with existing forest ecosystems were stated in the "Procedures for Pre-Felling Inventory" issued by the SFC. The guidelines also include the List of Protected Species and List of Totally protected Species (First and Second Schedule, Wildlife Protection Ordinance, 1998 (Cap. 26)). Field inspection (e.g. post-harvest Block 3 Coupe 04AR) showed that protected trees were marked and left intact, areas were demarcated for protection and</p>	<p>During a site visit to the active harvesting area Block 18, Coupe 04AR, it was observed by the auditor that a small stream had not been adequately protected, and the tree felling activity had impacted the stream. This was verified at the end of the feeder trail FT-2-0-1.</p> <p>Specific findings from the site visit include:</p> <ul style="list-style-type: none"> i) Debris from a felled tree, identified as a Lunx tree with a diameter of 60 cm and a height of 15 m, had fallen into the small stream. This occurred at the stripline U, tree number 4. ii) The width of the small stream, as measured at the sampled location, was found to be 4.6m and 6.7m. No buffer zone marking was observed along the small stream. <p>Thus, Major NCR was raised against Indicator 6.5.4</p>

Principle	Strengths	Weaknesses
	<p>conservation including riparian buffers. The FMU cooperated with conservation organizations and regulatory authorities in implementing conservation and management activities. The cooperative project with the Universiti Malaysia Sarawak (UNIMAS) was noted.</p> <p>An Honorary Wildlife Rangers (HWLR) was specifically appointed by the SFC to monitor and control hunting, fishing and collecting activities in the FMU. Awareness on ERT species among forest workers and local communities was made through trainings, briefings and strategic dissemination of leaflets and posters.</p> <p>During a field visit, the FMU conducted a post-harvest assessment for Block 3 Coupe 04AR. The auditor verified the use of a monitoring form titled "RIL Post Logging Damage Assessment Form (Logging Damage Assessment)" to assess the changes in forest stand and species composition compared to pre-felling inventories. The post-harvest assessment report titled "Post-harvest assessment at Coupe 02AR of T/0560 Year 2021" dated December 2021 was verified.</p> <p>The auditor also verified enrichment planting carried out by Raplex FMU during the site visit to Block 3 Coupe 04AR. The survival of the planted Kelampayan trees in the enrichment planting area at Coupe 03AR was monitored every four months for a period of one year. The survival monitoring began after quality checking was conducted 14 days after the date of planting. This information was verified in the "KPN Enrichment Planting Survival Summary."</p> <p>Representative areas of existing forest ecosystems, appropriate to the scale and intensity of forest management operations had been identified. These include PSP No. 55 (1.0 ha) located in Coupe 01 (established in 2017) and water catchment areas in Coupe 18 and Coupe 19. The site/areas are protected and demarcated in the map titled "Representative of Existing Forest Ecosystem", dated 5 June 2020.</p>	

Principle	Strengths	Weaknesses
	<p>Three guidelines on the implementation of harvesting procedures to protect the soil from compaction by harvesting machinery and erosion during harvesting operations were sighted. Site visit (Block 18 Coupe 04AR) showed that construction of road layout, log landings, drainage and buffer zones complied to specifications in “Guidelines for Forest Road Layout and Construction, Sarawak Forestry Department”. No soil erosion was detected in active sites. The External Environmental Compliance Audit EECA was conducted on 16-18 February 2022.</p> <p>Management policy on the use of environmentally friendly non-chemical methods of pest management and avoiding the use of chemical pesticides is available in “Environmental Policy” signed by the CEO on 1st February 2021. Interviews with forest managers and nursery workers showed that they were aware of the environmental policy. Guidelines on “Handling of Agrochemicals” (TAP-SOP-CHL Rev 01) dated 18 September 2017 were made available at the nursery. Site visits by auditors confirmed that the chemicals used were registered and control measures were available. Interviews revealed that they were aware of the proper chemical handling procedures. They were also given training on chemical handling on 25 August 2022</p> <p>The SOP on waste disposal and recycling of liquid and solid non-organic wastes (Waste Management as sighted. Records on scheduled waste disposal (at the nursery and Sg. Pila base camp) in July and August 2022 were verified. The wastes included spent batteries and oil, contaminated soil and debris, used filters and rags.</p> <p>Biological control agents are not used in the Raplex FMU.</p> <p>An exotic species, <i>Acacia mangium</i>, was once produced at the nursery for plantation at LPF/0040. This was discontinued and verified in the present audit. The FMU however continued to monitor the potential spread of wild acacias</p>	

Principle	Strengths	Weaknesses
	<p>using the SOP “Control and Monitoring of Exotic Species in Natural Forest FMUs” as a guide.</p> <p>There is no conversion of natural forest to a forest plantation or other land use in Raplex FMU.</p>	
Principle 7 Management Plan	<p>The Forest Management Plan (FMP) for Raplex FMU, covering the period from 2018 to 2027, was presented and verified. The FMP received approval from the Forest Department Sarawak on 6 September 2021. It included provisions for items (a) to (j) as required by Criteria 7.1.</p> <p>The Raplex FMU forest managers are aware of new scientific and technical information pertinent to the management of the natural forest. Specifically cooperative projects with UNIMAS have provided information on wildlife (presence, distribution, salt licks, hornbills) relevant to the FMU. Further, the managers keep abreast with current knowledge through attending workshops and seminars, e.g., The Malaysia Conservation Conference 2022 in Kuching 29- 31 March 2022.</p> <p>The FMU had a planned annual training program specified '2022 Briefing and Training Programme TAG FMU's'. This program aimed to provide training to workers in order to equip them with the necessary skills and knowledge for their roles in implementing the Forest Management Plan (FMP).</p> <p>During the period of January to August 2022, a number of internal and external training sessions were conducted and verified. These trainings covered various topics related to forest operations. Some examples of the training topics and their respective dates include:</p> <ul style="list-style-type: none"> • Freedom of association/union training, Employee Grievances SOP training, and Training on MC&I SFM (Sustainable Forest Management): Conducted from 13th to 17th June 2022. • Waste management & handling of fuel & oil spillage): Conducted from 8th to 9th June 2022. 	<p>During the audit, it was discovered that the training records for 2021-2022 did not include any training on Social Monitoring and Social Engagement Planning. As a result, a Minor Non-Conformance Report (NCR) was raised against indicator 7.3.2.</p>

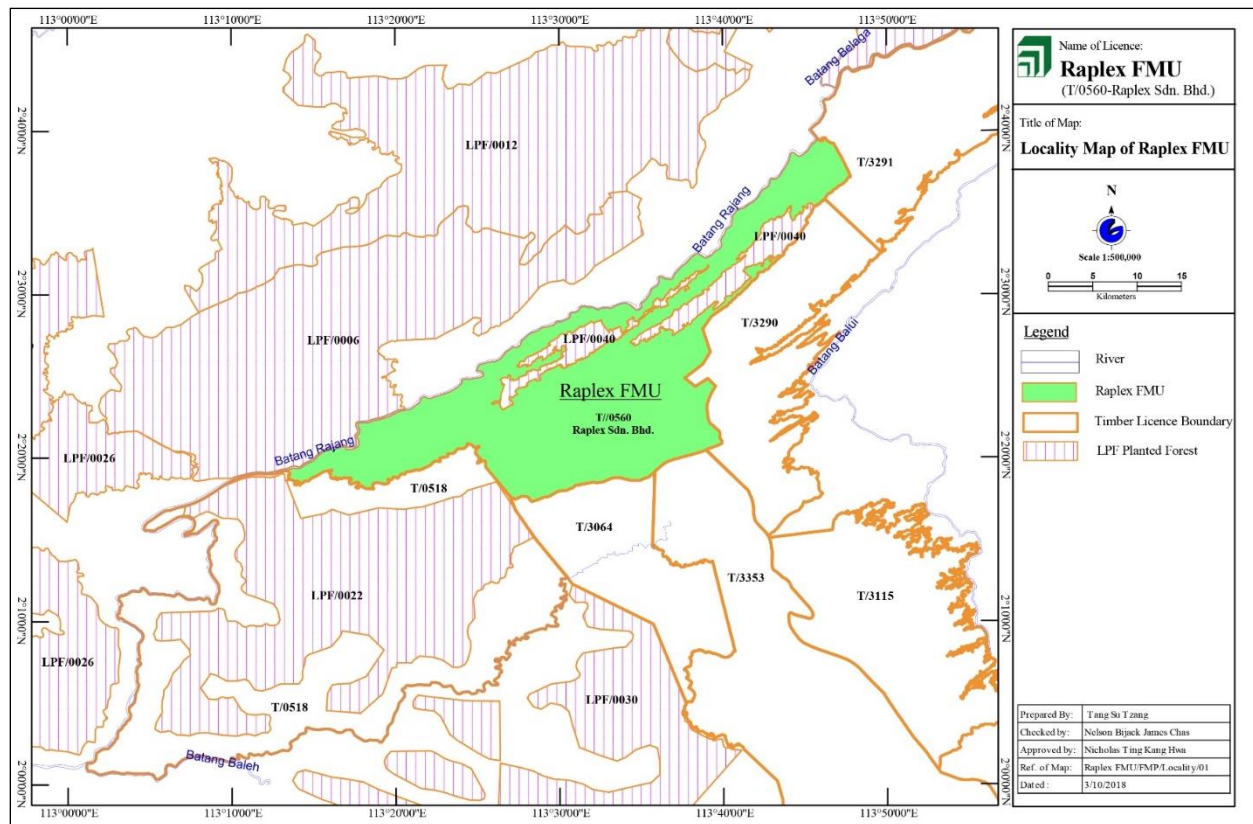
Principle	Strengths	Weaknesses															
	A summary of the primary elements (a) to (i) of the Raplex FMU Forest Management Plan (2018 – 2027) implemented under Indicator 7.1.1 was made publicly available through the website: http://www.raplexfmu.com.my/public-summary/forest-management-plan/																
Principle 8 Monitoring and Assessment	<p>The forest monitoring procedure in the Raplex FMU was found to be in compliance with the guidelines set by the Sarawak Forestry Department. The audit revealed that the FMU followed the prescribed procedures outlined in various documents, including the 'Instructions for the Inspection of Logging Areas 1982' and 'Procedures for the Inspection of Harvesting Areas 1999.'</p> <p>The audit identified relevant monitoring procedures that were in place within the FMU. These included Standard Operating Procedures (SOP) for monitoring social, ecological, environmental, and economic impacts, as well as forest resource assessment. The FMU also adhered to the guidelines listed in the Green Book, 2019, which covered areas such as forest zoning, monitoring of high conservation values, social impact assessment, identification of protected species, fauna conservation, and conflict resolution.</p> <p>The forest manager implemented monitoring activities to assess the social, ecological, environmental, and economic impacts of forest management operations. A comprehensive "Forest Monitoring Report" for the period of January to December 2021 was presented for verification.</p> <p>The Raplex FMU conducted an annual internal audit and management review meeting in June 2022. The audit identified non-compliances, which were addressed through corrective actions. The management review meeting discussed the audit findings, non-compliance issues, and planned activities for continual improvement.</p> <p>The FMU provided all the relevant information necessary for the monitoring criteria listed in the report. Documents and reports were reviewed, including the Forest Management Plan (FMP) related to forest resource base,</p>	<p>Verification of the minutes of CRC's meetings 2021/2022 and operational documents indicated that no pre-harvesting social monitoring was carried out at Coupe 4 (Rh. Tevok, Rh. Tanjong, Rh. Punan Sama and Rh. Sekapan Panjang. Moreover, Social Monitoring for Post Harvesting (Coupe 3) was carried out at RH. Empang on the 22nd April, 2022 but Social Monitoring Report of year 2021/2022 was not available. Furthermore, field visits and consultation with local communities of Rh. Punan Sama, Rh. Tanjong, Rh. Tevok, Rh. Sekapan Piit, Rh. Sekapan Panjang and Uma Badeng, Long Dungan and verification with the operation Manager confirmed that Social Monitoring in accordance with the harvesting plan is yet to be carried out. In addition, written Social Monitoring Procedures was not available to enable Social Engagement planning and to harmonise with the Harvesting Plan of the FMU. Therefore, a Major NCR was raised against Indicator 8.1.2</p> <p>Inspection of two felled trees at feeder trail FT-2-0-1 in Block 18, Coupe 04AR were not marked/tagged with stump tag. From the tree mapping location and log landing, both stumps can be traced the information as below:</p> <table><tr><th>Strip line</th><th>Tree No.</th><th>Tree Code</th><th>DBH</th><th>Height</th></tr><tr><td>S</td><td>22</td><td>Sawih</td><td>53</td><td>18</td></tr><tr><td>U</td><td>4</td><td>Lunx</td><td>60</td><td>15</td></tr></table> <p>Due to incompliance with the procedure of tree tagging, Minor NCR against Indicator 8.3.1 was raised.</p>	Strip line	Tree No.	Tree Code	DBH	Height	S	22	Sawih	53	18	U	4	Lunx	60	15
Strip line	Tree No.	Tree Code	DBH	Height													
S	22	Sawih	53	18													
U	4	Lunx	60	15													

Principle	Strengths	Weaknesses
	<p>forest research, wildlife management, identification and management of protected areas, and high conservation values. Other documents included growth monitoring reports, wildlife surveys, environmental compliance audits, and evaluation reports.</p> <p>Field verification was conducted at specific locations, including PSP plot PSP7-SU27 in Coupe 13AR, post-harvest and enrichment planting areas in Block 3 and Block 17A of Coupe 04AR, and pre-harvest and fauna monitoring areas in Block 19 of Coupe 04AR. The boundaries of these areas were properly established, and boundary trees were appropriately tagged and measured.</p> <p>The report also described the chain of custody process for tracking forest products (logs) from their origin to the certified area. Relevant records and documents were sampled, including felling records, consignment notes, production returns, log specifications, and inspection reports, among others.</p> <p>The FMP is to be reviewed after five years of implementation, considering various factors such as reassessment of permanent sample plots, updated baseline data, monitoring results, new logging techniques, and changes in environmental, social, and economic factors. The forest manager confirmed that the monitoring results would be included in the FMP review.</p> <p>The summary of the FMP provided background information about the management area, forest resource assessment, management plan prescriptions, harvesting systems, pre-harvesting, harvesting operations, environmental and social impact assessment, forest research, silviculture, wildlife management, protected areas, high conservation values, community relations, occupational safety and health, forest fire, climate change, and annual forest monitoring. Additionally, the Raplex FMU website offers a public summary of forest monitoring indicators, including the yield of harvested forest products, forest growth rates and conditions, composition</p>	

Principle	Strengths	Weaknesses
	<p>and observed changes in flora and fauna, and environmental and social impacts of forest operations. The website also provides information on the costs, productivity, and efficiency of forest management.</p> <p>For more detailed information, you can visit the website http://www.raplexfmu.com.my/public-summary.</p>	
<p>Principle 9 Maintenance of High Conservation Values</p>	<p>Assessment of the presence of the attributes consistent with HCV areas was conducted by the Sarawak Forestry Corporation for Raplex FMU T/0560 on 14th – 26 March 2017 and the report entitled “High Conservation Value Assessment Report T/0560 - Raplex Sdn Bhd; dated June 2017 was available.</p> <p>The FMU held consultations on options to maintain or enhance the identified HCV areas. The stakeholders consulted on 4 November 2020 included the Forest Department of Sarawak (FDS), Land Survey Department and the Raplex Community Representative Committee (CRC). The FMU sent the HCV monitoring report to the WWF-Malaysia, FDS, SFC and UNIMAS on 29 March 2021 for comments on the latest HCV status. The forest managers have also demonstrated that the HCVs were included in their forest operation and are protected as provisioned in the FMP.</p> <p>Specific measures were outlined in the Raplex FMP for recognizing HCV areas which are specifically shown in the “Map indicating location of HCV within Raplex FMU” dated 24 January 2018. This was also stated in the FMU public summary at http://www.raplexfmu.com.my/hcv/. Site visits (fauna monitoring for HCV 4.2 Terrain IV in Coupe 04AR) verified the proper demarcation and maintenance of HCV areas. The results and findings from these monitoring activities were incorporated into the revised Forest Management Plan (2018-2027) Revision 02, issued in May 2021.</p> <p>Monitoring results for 2020/2021 were presented to the audit. Reports from periodic HCV monitoring in the FMU were also available and verified. Results and findings of the HCV</p>	

Principle	Strengths	Weaknesses
	monitoring activities had been incorporated into the revised Forest Management Plan (2018 – 2027) Rev 02.	

Map of Raplex Forest Management Unit



Details of Auditors and Qualification

Names of Audit Team	Role	Qualification and Experience
Mohd Annas Amin Bin Haji Omar	Auditor/ Forester	<p>Academic Qualification: Diploma in Forestry, UPM B. Sc. In Forestry, UPM</p> <p>Work Experience: Six years as Assistant Forest Officer at Perak Forestry State Department in the year from 2013-2018. Main responsibility is Assisting District Forest Officers in administrative work, forest development and forest operations. Conduct forest Enforcement Team Activities such as The Prevention of illegal logging. Also appointed to be Investigative Officer</p> <p>Attended and pass the following training programmes:</p> <ul style="list-style-type: none"> • Program of MTCS Training Course (MC&I) in Kuantan (9-12 July 2018) • Lead Auditor ISO 9001, ISO 14001 & ISO 45001 Exemplar Global Certified (13-18 August 2018)
Mohd Razman Salim	Auditor / Forester	<p>Academic Qualification: B.Sc of Forestry (Forest Production), University Putra Malaysia.</p> <p>Work Experience: Five years experienced as Research Officer at the Forest Research Institute Malaysia (FRIM) since 2007 in a various area such as ecological research for lowland and hill dipterocarp forest, Geographic Information Systems, forest inventories, forest harvesting and forest management system (SMS). Participate in organizing committee member, division level activities and projects. Coordinate and collaborate a long term ecological plot and inventory data about 25 years at the Pasoh, Negeri Sembilan with Negeri Sembilan Forestry Department, universities (local & international) and NGOs. Published and presented research findings at the seminars and conferences. Currently as Auditor at the Food, Agriculture and Forestry Section (FAF), SIRIM QAS International Sdn Bhd, since 2013. Involved in conducting assessments on forest management certification [MC&I (Natural Forest)] & [MC&I (Plantations)], MYNI of RSPO P&C and other management systems on ISO 9001, 14001 and OHSAS 18001</p> <p>Training / Research Areas: Has attended and passed in the following training programmes:</p>

		<ul style="list-style-type: none"> • Auditor Training Course on Malaysian Criteria and Indicators for Forest Management Certification [MC&I (Natural Forest)] & [MC&I (Plantations)] organized by MTCC, 1-4 December 2013. • EMS 14001: 2004 Lead Auditor Course organized by SIRIM Training Services Sdn Bhd, 18-22 March 2013. • OHSAS 18001: 2007 Lead Auditor Course organized by SIRIM Training Services Sdn Bhd, 11-15 March 2013. • QMS 9001: 2008 Lead Auditor Course organized by SIRIM Training Services Sdn Bhd, 4-8 March 2013.
Angelica Sinimis Suimin	Auditor/ Sociology	<p>Academic Qualification: She has a Bachelor of Arts in Social Science and M.Sc. in Environmental Management and Social Development. Has a certificate in Paralegal Studies and Diploma in Emergency Medical Technician (UK) and Human Resources Management (UM).</p> <p>Work Experience: A competent One to One Competency Based and Education Trainer from De Taffe University, Australia. Independent Auditor under SIRIM QAS Malaysia and Technical Expert for FSC audit under SCS Global services. Has worked as Rural Sociologist under the World Bank project under the Japanese Trust Fund for Community Forestry Project in Sabah for 3 years. She has over 30 years of working experience and was working in the Forestry Related Industries both in Sabah and Sarawak for 28 years in different capacities (e.g independent Researcher, Rural Sociologist, Sr.Training and Administrator, Human Resources Executive, Emergency Medical Technician, Training Officer, CSR Manager, Chief Operation Officer for Avantha Foundation Malaysia).</p> <p>Attended training programmes: Has attended the following courses:</p> <ol style="list-style-type: none"> 1) MTCC Forest certification Standards for Forest Management and Plantations, 2013, Sirim QAS 2) FSC Forest Certification standards for Forest Management and Control Wood, 2015; Nepcon 3) LEAD ASSESSOR COURSE ISO 14001 (EXEMPLAR GLOBAL CERTIFIED LEMS01), 2016; Sirim QAS 4) DIPLOMACY TRAINING (Human Rights, Indigenous People, the Private Sector and Development), 2017; Faculty of law, Uni New South Wales 5) MC&I Natural Forests and Plantation v.2 standards, 2017 – MTCC

Comments by Stakeholders and Responses from Audit Team

No.	Stakeholder	Comments/Issues Raised	Response by Audit Team
-	-	None	-

Surveillance 1 Audit Plan (2021)

DAY	TIME	PROGRAM		
		AUDITOR 1 (Annas)	AUDITOR 2 (Razman)	AUDITOR 3 (Angelica)
Day 0 Monday 5/9/2022		Travel from KLIA to Sibu (MH 2712- 0830-1030) Travel to Basecamp		<ul style="list-style-type: none"> • Travel day from KK to Bintulu on AK6340 1125-1235 • Travel from Sibu to Belaga • Overnight at belaga Town
Day 1 Tuesday 6/9/2022	8.00 am – 12.30 pm	AUDITOR 1 (Annas)	AUDITOR 2 (Razman)	AUDITOR 3 (Angelica)
		<ul style="list-style-type: none"> • Opening Meeting with representatives of FMU • Briefing session by Forest Manager of the FMU • Follow up on NCR from previous audit • Check on complaints, stakeholder comments and follow-up actions (if any) 		
	2.00 – 5.00 pm	<u>Documentation and records review</u> <ul style="list-style-type: none"> • Principle 1 – Compliance with Laws • Principle 6 – Environmental Impact • Principle 7 – Management Plan 	<u>Documentation and records review</u> <ul style="list-style-type: none"> • Principle 5 – Benefits from the forest • Principle 8 – Monitoring and Assessment • Principle 9 – Maintenance of High Conservation Value Areas 	<ul style="list-style-type: none"> • Consultation with CRC representative • Consultation with local community <ul style="list-style-type: none"> • RH Tanjong • Punan Sama • Sekapan Panjang • Uma Tevok • Sekapan PIIT • Uma Badang
		<ul style="list-style-type: none"> • Review of Day 1 Findings by Audit Team Leader 		

Day 2 Wednesday 7/9/2022	8.00 am – 5.00 pm	AUDITOR 1	AUDITOR 2	AUDITOR 3
		<ul style="list-style-type: none"> • Inspection of active harvesting area Coupe 4AR BLOCK 17 B • Inspection of post-harvesting (Enrichment planting) Coupe 4AR block 17 A • Inspection of Pre-harvesting Coupe 4AR block 19 • Inspection of riparian buffer Sg Talah • Camera Trap 	<ul style="list-style-type: none"> • Inspection of active harvesting area Coupe 4AR block 18 • Inspection of PSP (PSP7-SU27) • Post-F assessment Coupe 4AR Block 3 • Inspection of riparian buffer • External boundary Coupe4AR and LPF 	<ul style="list-style-type: none"> • Travel back to Camp • Visit JTK
		<ul style="list-style-type: none"> • Review of Day 2 Findings by Audit Team Leader 		
Day 3 Thursday 8/9/2022	8.00 am – 5.00 pm	AUDITOR 1	AUDITOR 2	AUDITOR 3
		<ul style="list-style-type: none"> • Camp inspection - diesel storage, lubricant store, SW store, workshop, housing, genset, nursery and chemical store. • Inspection of CoC at Point of Royalty Marking (PoRM) • Nursery • External boundary • Fire management 	Consultation Rh Gendang And Rh Empang	<ul style="list-style-type: none"> • Consultation with Workers • <u>Documentation and records review</u> • Principle 2 – Tenure and Use Rights and Responsibilities • Principle 3 – Indigenous Peoples’ Right • Principle 4 - Community Relations and Worker’s Right
		<ul style="list-style-type: none"> • Review of Day 3 Findings by Audit Team Leader 		

Day 4		AUDITOR 1	AUDITOR 2	AUDITOR 3
Friday 9/9/2022	8.00 am – 5.00 pm	<ul style="list-style-type: none"> Continue documentation and records review. 	<ul style="list-style-type: none"> Continue documentation and records review. 	<ul style="list-style-type: none"> Continue documentation and records review.
		<ul style="list-style-type: none"> Closing Meeting Travel down to Sibu and overnight in Sibu		
		<ul style="list-style-type: none"> Annas & Razman travel from Sibu to Kuala Lumpur (Saturday; 10/9/2022) MH2715 SIBU - KLIA Angelica travel from Sibu to Kota Kinabalu (Saturday; 10/9/2022) – AK6091- SIBU-BKI 		

- END OF REPORT -