



**PUBLIC SUMMARY
3rd SURVEILLANCE AUDIT (1st CYCLE) ON
PASIN FOREST MANAGEMENT UNIT
FOR FOREST MANAGEMENT CERTIFICATION**

**Certificate Number: FMC-NF 00124
Date of First Certification: 16 March 2020
Audit Date: 7 – 11 March 2023
Date of Public Summary: 9 July 2023**

Certification Body:

**SIRIM QAS International Sdn. Bhd.
Block 4, SIRIM Complex
No. 1, Persiaran Dato' Menteri
Section 2, 40700 Shah Alam
Selangor
MALAYSIA
Tel : 60-3-5544 6400/5544 6448
Fax : 60-3 5544 6763
Website : www.sirim-qas.com.my**

TABLE OF CONTENTS

	Page No.
EXECUTIVE SUMMARY	3
1 INTRODUCTION	4
1.1 Name of FMU	4
1.2 Contact Person and Address.....	4
1.3 General Background on the Pasin FMU.....	4
1.4 Date First Certified.....	4
1.5 Location of the FMU	4
1.6 Forest Management System.....	4
1.7 Annual Allowable Cut/Annual Harvest under the Forest Management Plan	5
1.8 Environmental and Socioeconomic Context.....	5
2 AUDIT PROCESS	5
2.1 Audit Dates	5
2.2 Audit Team.....	5
2.3 Standard Used.....	5
2.4 Stakeholder Consultations.....	6
2.5 Audit Process.....	6
3 SUMMARY OF AUDIT FINDINGS	6
<u>Attachment</u>	
Map of Pasin Forest Management Unit	20
Experiences and Qualifications of Audit Team Members	21
Comments Received from Stakeholders and Responses by Audit Team Leader	24
Surveillance 03 Audit Plan.....	26

EXECUTIVE SUMMARY

The Surveillance 03 audit for forest management certification on the Pasin Sdn Bhd – Pasin FMU was conducted from 7 - 11 March 2023. This was an audit conducted following the previous audit which was conducted 25 – 29 July 2022 on the overall forest management system and practices of the FMU against the requirements of the Malaysian Criteria and Indicators for Forest Management Certification (MC&I SFM) using the verifiers stipulated for Sarawak, Malaysia. Surveillance 1 audit cannot be conducted for the year 2020 due to Movement Control Order (MCO) and pandemic Covid-19.

The audit was conducted with the full cooperation of the Pasin FMU by a three-member team comprising Hj.Roslee Jamaludin (Lead Auditor), Ismail Adnan Abdul Malek (Auditor) and Azrul Ikhsan Mohamed (Auditor).

A total three (3) Minor Non-Conformance Report (NCRs) [Indicator 4.2.3, 4.2.5, and 7.3.2] were raised on the Pasin FMU against the requirements of the MC&I SFM (MTCS ST 1002:2021). The audit team had examined all the proposed corrective action plans to address the NCRs during the audit by mail/email on 5 April 2023 which has been accepted by the audit team leader.

This public summary contains the general information on Pasin FMU, the summary on the findings of the Surveillance 03 Audit, NCRs raised as well as the decision on the certification of the FMU.

1 INTRODUCTION

1.1 Name of FMU

Pasin Forest Management Unit

1.2 Contact Person and Address

Name : Nelson Bijack James Chas
Designation : General Manager – Kapit FMU cum Forest Manager, SFM Department
Address : Ta Ann Building, No. 6,
Jalan Rawang, 96000 Sibu,
Sarawak

1.3 General Background on the Pasin FMU

Pasin FMU is located in the central region of Sarawak, Song District, Kapit Division. The FMU covers 65,625 hectares of Protected Forest (PF) and 66,526 hectares of Stateland Forest. The Protected Forest consists of 35,351 hectares of Baleh PF, 27,804 hectares of Katibas Bangkit PF, and 2,470 hectares of Tekalit PF. Pasin FMU lies between latitudes 10°32'00" and 20°00'00" N, and longitudes 112°22'00" and 112°43'00" E. It consists of logged-over lowland mixed dipterocarp forest (MDF) with elevations ranging from 75 to 880 meters above sea level (Refer to Attachment 1). The camp office and workers' quarters are located at Woodley Camp in Coupe 2.

The FMU is bordered by alienated land along Batang Rajang in the North. The eastern FMU boundary is bordered with Kapit FMU (T/3491 Tanjong Manis Holdings Sdn Bhd). The western area is bounded by Sg. Katibas. For the southern area, it reaches the Malaysia-Indonesia International boundary, LPF0010 Ta Ann Plywood Sdn Bhd, and Lanjak Entimau Wildlife Sanctuary.

The Pasin Sdn Bhd – Pasin FMU is operating under the Forest Timber License (FTL) No. T/3135 issued to Pasin Sdn Bhd, a 100% subsidiary of Ta Ann Holdings Berhad. The FTL was issued on 14 January 1985. The license area has been revised to 132,151 hectares by the Forest Department of Sarawak on 29 July 2019 (WPO.628.345(VI)-19). Based on a letter Ref. No. (96) JHS/WPO.628.345 (VI) dated 9 January 2023, the Forest Timber License No.T/3135- Pasin FMU was renewed from 14 January to 13 January 2024, by Forest Department Sarawak.

The FMU covers 89,554 hectares (68%) as production areas, 37,371 hectares (28%) are set aside for community use areas, and 5,132 hectares (4%) are conservation areas. Conservation areas were identified and protected, and these were confined mostly to areas with steep terrain (≥ 350), river areas, and river buffers. The forested area of the FMU primarily consists of (a) logged-over hill mixed dipterocarp forest and (b) secondary forests.

A map of the FMU showing the significant features of the forest is attached in **Attachment 1**.

1.4 Date First Certified

16 March 2020

1.5 Location of the FMU

The FMU is located between 10 32' 00" and 20 00' 00" N and 112 22' 00" and 112 43' 00" E.

1.6 Forest Management System

Pasin FMU had followed the principles of Sustainable Forest Management (SFM) and the requirements of the Licence Agreement of the state government. A Forest Management Plan for Pasin FMU (2018 – 2027) was presented during this audit.

1.7 Annual Allowable Cut/Annual Harvest under the Forest Management Plan

The Annual Allowable Cut (AAC) was calculated at 102,915.457 m³ /year or not more than 3,582.20 ha/year on average for the 25 years of cutting cycle. The quota of monthly production limit approved by Forest Department Sarawak for August 2022 – May 2023 were 8,576 m³ as verified during the audit.

1.8 Environmental and Socioeconomic Context

An Environmental Impact Assessment (EIA) for the proposed Timber Harvesting Operation in Pasin FMU under Forest Timber Licence (FTL) No. T/3135 was conducted, as required under item 2(i) of the First Schedule of the said Order (Section 11A(1) of the Natural Resources and Environmental Ordinance). The EIA report was acknowledged by the NREB on December 21, 2017. The potential impacts and strategies to mitigate the impacts on-site and at the landscape level were considered.

A social impact evaluation has been conducted, and a report titled "Social Impact Assessment for the Timber Harvesting within the Pasin FMU Under Forest Timber Licence No. T/3135 at Sg Katibas-Batang Rajang Area, Kapit Division, Sarawak, 2019" has been generated. Based on the SIA report, the FMU identified 112 settlements of local communities (53 settlements living inside the FMU and 59 settlements located within 3 kilometers from the FMU boundaries). Some of them have legal Native Customary Right (NCR) land (based on Sarawak Land Code, Chapter 81), including shifting agriculture that was officially gazetted by the Land and Survey Department under Land Code Ordinance (1958). The report outlined the socioeconomic environment, social impact and mitigation, land ownership and land issues, and mitigation measures and monitoring on these communities during forest operations.

Pasin FMU also acknowledged and identified the local communities' settlements in the FMU area, which was called 'Shifting Agriculture (SA) land' as directed by the Sarawak Forest Department. This area has been demarcated on the map titled 'Forest Zoning Map of Pasin FMU' and General Harvesting Plan (GP), which were attached in the FMP. On-site demarcation between FMU areas and "Shifting agriculture" areas has been continually implemented. The total area of Shifting Agriculture (SA) in Kapit FMU area is 37,465 hectares.

Forest managers have recognized, respected, and collaborated with holders of duly recognized legal or customary tenure or use rights of the local communities. This was verified from the minutes of the meeting with the Community Representative Committee (CRC). During the meeting, the FMU consulted with the local communities to identify, document, and protect sites of significant importance to them (e.g., burial ground and water catchments). Mitigation measures on local communities were explained in the FMP at Chapter 7.3.5 Socio-economic management recommendations.

No traditional forest-related knowledge and practices of indigenous peoples in the use of forest species or management systems in forest operations.

2 AUDIT PROCESS

2.1 Audit Dates

7 – 11 March 2023 (15 man-days)

2.2 Audit Team

Hj. Roslee Jamaludin	(Lead Auditor)
Ismail Adnan Abdul Malek	(Forester)
Azrul Ikhsan Mohamed	(Forester)

The details on the experiences and qualifications of the audit team members are as in **Attachment 2**.

2.3 Standard Used

Malaysian Criteria and Indicators for Forest Management Certification [MC&I SFM] using the verifiers stipulated for Sarawak, Malaysia.

2.4 Stakeholder Consultations

A one-month stakeholder consultation was conducted beginning February 2023 to solicit feedback from stakeholders on the compliance of the Pasin FMU against the requirements of the MC&I SFM. The comments by the stakeholders and responses by the audit team are shown in **Attachment 3**.

2.5 Audit Process

The audit was primarily conducted to evaluate the level of continued compliance of the Pasin FMU's current documentation and field practices in forest management with the detailed standard of performances (SOPs) listed in the MC&I SFM, using the verifiers stipulated for Sarawak.

For each indicator, the auditors conducted either a documentation review, consultation with the relevant personnel of the FMU, local community, or stakeholders, or a field audit or a combination of these methods. Depending on the compliance with the verifiers for a particular indicator, the auditors then decided on the degree of the FMU's overall compliance with the indicator and decided whether to issue a major or minor NCR or an OFI, which is defined as follows:

- (i) a major NCR is non-compliance with the requirements of the MC&I SFM.
- (ii) a minor NCR is a deviation or a lapse in complying with the requirements of the MC&I SFM; and
- (iii) an OFI is a situation where the auditor has noted an area of concern on the capability of the forest management system to achieve conformance to the requirements of the MC&I SFM but without sufficient objective evidence to support a non-conformance.

Consultations were held with the local communities in Rh. Gilbert, Rh. Munting, Rh. Rantai, Rh. Akang, Rh. Ngelambung, Rh. Enggong, Rh. Melayu, Rh. Kana Langgai, Rh. Achau, Rh. Philip, Rh. Kaya, and Rh. Landun. The audit team also held meetings with the officers and the uniformed field staff of the FMU, Jabatan Tenaga Kerja, and the Land & Survey Department.

The coverage of this surveillance 03 audit is shown in the surveillance 03 Audit Plan in **Attachment 4**.

This surveillance 03 audit resulted in the issuance of three (3) Minor NCRs. The audit team prepared an interim surveillance 03 audit report and sent it to the Pasin FMU for comment. A final report of the surveillance 03 audit, which incorporated the comments received from the Pasin FMU, was then prepared.

3 SUMMARY OF AUDIT FINDINGS

Based on the findings of this surveillance 03 audit, it was found that Pasin Sdn Bhd had implemented the forest management certification effectively and in compliance with the requirements of the MC&I SFM standard. This surveillance 03 audit resulted in the issuance of three (3) NCRs.

The audit team also verified the corrective actions taken by Pasin to address seven (7) Minor NCRs and two (2) OFIs that were raised during the previous audit.

On indigenous peoples' rights, mechanisms were in place to resolve disputes over tenure and use rights through the SOPs 'Communication with Natives' and 'Native Claims and Compensation' established by Pasin FMU. It was observed that there were no recorded civil court cases pertaining to legal or customary tenure or use rights filed against Pasin FMU.

Regarding Criterion 6.10, there was no plan for converting the forest area to plantations in Pasin FMU.

Since all proposed corrective action plans to address the raised NCRs have been accepted, the audit team recommended maintaining the Certificate for Forest Management for Pasin Sdn Bhd – Pasin FMU.

The summary of the findings of the surveillance 03 audit on Pasin FMU against the requirements of the MC&I SFM is as follows:

Principle	Strengths	Weaknesses
Principle 1 Compliance With Laws and Principles	<p>Pasin FMU maintains a comprehensive legal register that documents all pertinent national and local laws, regulations, and policies associated with forest management. Copies of these legal documents are stored at the Woodley base camp, under the oversight of the office staff, ensuring regular updates. The most recent legal was updated in May 2021, encompassing the 'Minimum Wage Order 2020,' Immigration Act 1959/1936, and the 'Sarawak Forestry Policy 2019.' The FMU was also verified adhering to the latest Department of Forestry (DF) Circulars.</p> <p>Verification of permits for diesel storage at Pasin Logpond (72,000 L) and Pasin Camp (35,000 L) shows that they are valid from 4 May 2022 to 3 May 2025. Both Pasin FMU and contractor Hariwood Sdn Bhd have received approvals and permits from the Labour Department for the accumulation of rest days.</p> <p>Forest managers interviewed demonstrated awareness of federal, state, and local laws, as well as the regulatory framework.</p> <p>The Woodley base camp office maintains an updated list of all legally prescribed fees, royalties, taxes, and other charges, referencing the Fourth Schedule (Rates of Royalty and Premium), Forest Ordinance, 2015 – Chapter 71, and other relevant circulars. Records of payments were verified accurate and are in order.</p> <p>Forest manager interviewed confirms that he is knowledgeable on various international agreements relevant to forest management, including the International Labour Organization Conventions (ILO), Convention on Biological Diversity (CBD), International Tropical Timber Agreement 1994, Convention on Wetlands of International Importance Especially as Waterfowl Habitat 1971, United Nations Framework Convention on Climate Change (UNFCCC), and the Convention on International Trade in Endangered Species (CITES).</p> <p>Pasin FMU has identified conflicts between its Principles and Criteria and laws and regulations, specifically ILO Conventions No.87, 105, and 111. This was evident in a discussion record during the “Forest Management Certification Technical Meeting” on 17 December 2020. All forest managers under Ta Ann Holdings demonstrated their willingness to participate in resolving such conflicts, as verified through the “Declaration of Willingness to Participate” signed by the Forest Managers of the Ta Ann Group on 9 November 2021.</p> <p>The Forest Timber License (FTL) No. T/3135 for Pasin FMU has been renewed by the Forest Department Sarawak (FDS) from 14 January 2023 to 13 January 2024. The renewal was verified through the approval letter from FDS dated 9 January 2023.</p>	

Principle	Strengths	Weaknesses
	<p>Efforts to prevent encroachment, illegal activities, and unauthorized actions were evident through air and ground surveillance, as outlined in the 'Boundary Monitoring Schedule for PFE/Licence Boundary for 2023 dated 12th December 2022' and the 'Report on Pasin FMU (T3135) Boundary monitoring by Helicopter survey on 14th Feb. 2023'. A helicopter boundary monitoring and Ground Patrolling conducted from October 2022 to February 2023 revealed no illegal activities inside and along the FMU boundary. Site inspection on the licence boundary in Coupe 05 confirmed that the boundaries are clearly demarcated with orange paint.</p> <p>A Sustainable Forest Management policy, signed by the CEO on 1 February 2021, expressing dedication to the sustainable management of natural resources through the implementation of the Malaysian Criteria and Indicators for Sustainable Forest Management (MC&I SFM) was presented and verified. Interviews and consultations with FMU personnel confirmed that this policy have been effectively communicated throughout the organization.</p> <p>The statement of commitment to these practices can be accessed on the Pasin FMU website: (http://www.pasinfmu.com.my/about-us/).</p>	
Principle 2 Tenure and Use Rights and Responsibilities	<p>Documentation of the legal status and established forest use rights for Pasin FMU was sighted at Woodley Base Camp office. Among the legal instruments verified are the Adat Iban 1993, FTL T/3135 with an approved renewal letter until 13 January 2024, Forests Ordinance 2015 (Cap. 71), Interpretation Ordinance 2005 (Cap. 61), Land Code (Cap. 81, 1958 Ed.), Native Courts Ordinance 1992, Native Courts Rules 1993, and the Native Customs (Declaration) Ordinance 1996 (Cap. 22).</p> <p>The forest manager continues to support legally recognized mechanisms for resolving land claims, as demonstrated by the maintenance of a file labeled 'Records/Principle 2 (01)'. This file documents the manager's involvement in the resolution of land claims. Verified records within the file include meeting minutes with CRC members dated 24 February 2022 and 24 March 2022, during which no issues were raised concerning land claims.</p> <p>Documentation of local communities' legal or customary tenure within relevant laws in the FMU was verified at the Woodley base camp office. The documents verified include the recognition of customary laws enforceable by Native Courts and contractual agreements with communities, such as those with Tr Anthony Anak Selat, Tr Enggong Ak Imba, and Tr Akang Ak Jebeng. Forest managers continue to recognize, respect, and collaborate with local communities' legal or customary</p>	

Principle	Strengths	Weaknesses
	<p>tenure, evident in Chapter 1.6 'Socio-cultural Environment Map Locality of Settlement and Shifting Agricultural (SA)' of the FMP. This chapter describes the locality of about 112 villages within and adjacent to Pasin FMU.</p> <p>The FMU continues to implement its dispute resolution mechanism over tenure claims and use rights, as evident by their "TA-SOP-COM-01 SOP Communication with Local Communities and Native" and through their website: http://www.pasinfmu.com.my/tommy/process-of-conflict-resolution/.</p> <p>The FMU has no disputes, legal cases, or conflicts over tenure claims and use rights since the last Surveillance 02 audit, which was verified through interviews and stakeholder consultations with the CRC and other local communities.</p>	
<p>Principle 3 Indigenous People's Rights</p>	<p>Documentation on customary rights of indigenous peoples' lands within relevant federal, state, and local laws was presented at the Woodley base camp office. Among those confirmed to be maintained are the 'Permanent Forest Gazette notification - Katibas Bangkit Protected Forest – gazettelement no 31 dated 18/07/2002', 'Sarawak Biodiversity Centre Ordinance, 1997 (Cap. 24)', and 'United Nations Declaration on Rights of Indigenous Peoples, 2007'.</p> <p>Delegation of control on the land with free, prior, and informed consent was verified through minutes of the meeting with CRC on 24 February 2022 and with FMCLC on 24 March 2022. Furthermore, such delegation of control was confirmed through interviews and stakeholder consultation with local communities involved. Interviews with CRC members and other local communities confirmed that there was no dispute regarding delegation of control raised since the last Surveillance 02 audit. The dispute resolution mechanism on delegation of control, the "TA-SOP-COM-01 SOP Communication with Local Communities and Native," was confirmed to be in use and is available through: http://www.pasinfmu.com.my/tommy/process-of-conflict-resolution/.</p> <p>Forest management practices within Pasin FMU were confirmed not to negatively impact their resources or tenure rights. Supporting documents sampled at Woodley base camp include the Environmental Impact Assessment for Timber Harvesting dated July 2017 and Social Impact Assessment dated 2019. Observations and interviews reveal that indigenous people in Pasin FMU utilize various species/resources such as rattan, bamboo, wild fruits, wild animals, and timber for cultural practices, livelihoods, and income. Verified in the FMP, the FMU implements measures such as impact assessments, wildlife management, and protected areas identification to conserve these resources.</p>	

Principle	Strengths	Weaknesses
	<p>Preventive and mitigation measures are integrated into FMP, specifically outlined in Chapter 11.1 and Chapter 11.2, focusing on the identification, demarcation, and monitoring of areas for protection.</p> <p>Pasin FMU's procedure TA-SOP-CON-06 SOP Management and Monitoring of Native Forest-Related Traditional Knowledge and Special Sites were sighted and confirmed that it is still used for the identification and protection of sights of special cultural, ecological, economic, and/or religious significance to local communities.</p> <p>A Minor NCR on Indicator 3.3.1 was raised in the last audit due to the absence of ground demarcation of sites of significant interest in Coupe 03 and Coupe 04 as per the TA-SOP-CON-06 SOP. Interviews and consultation with local communities confirmed that the FMU has conducted consultation with the local communities regarding these sites, and the local communities forbade them from demarcating these sites on the ground. Due to these findings, the Minor NCR raised was satisfactorily closed.</p> <p>Since the last audit, it was confirmed through stakeholder consultation with CRC members and local communities that there were no disputes regarding the rights of local communities' access to sites of significant interest in the FMU.</p> <p>Effective implementation of TA-SOP-CON-06 SOP Management and Monitoring of Native Forest-Related Traditional Knowledge and Special Sites was confirmed in Pasin FMU. This SOP, aligned with the Sarawak Biodiversity Centre Ordinance, regulates the consultation with indigenous peoples, identification and protection of culturally significant sites, and fair compensation for commercial knowledge use. Forest workers, trained on these procedures, demonstrated awareness and understanding during interviews. The records of consultations with local communities revealed that no traditional forest-related knowledge or practices were identified or utilized in the current forest operations.</p>	
Principle 4 Community Relations and Workers' Rights	<p>Forest managers have demonstrated substantial support for training, infrastructure, and socio-economic programs aligned with the FMP. The FMP, specifically Chapter 3.2.8, details training objectives, methods, and indicators for various worker categories, emphasizing commitment to human and social capital development.</p> <p>Records of consultations with 17 local communities between the years 2020 and 2022, on various subjects such as SFM policies, CRC, FMCLC, forest operation, and grievance mechanisms, were made available and verified. Fourteen training records for the year 2022, covering safety, biodiversity, and forest management practices, were reviewed and confirmed to be aligned</p>	<p>Site inspection on Workshop and the store at Woodley Nursery revealed two Chain Blocks used were without safety latch. Therefore, a Minor NCR was re-issued on Indicator 4.2.3</p> <p>Site inspection at the Workshop in Woodley Camp uncovered two issues. Firstly, twelve</p>

Principle	Strengths	Weaknesses
	<p>with the training program outlined in the FMP.</p> <p>Interviews with CRC members confirmed that Pasin FMU gives employment preferences to local communities. Commitment against the employment of illegal migrant workers, child labor, and forced labor was confirmed through stakeholder consultations with the Labour Department of Kapit division. Employment records sampled confirmed that the employees hired align with all relevant international and local laws.</p> <p>Interviews with forest workers confirmed that they have been provided with updated information on all pertinent laws and regulations related to occupational safety and health in both Bahasa Malaysia and English. The Health, Safety, and Environment Policy were seen to be displayed in key locations such as the main office, workers' camp, and the workshop. Interviews with workers further confirmed that the policy has been frequently briefed during the morning briefing sessions.</p> <p>As required under the Occupational Safety and Health Act 1994, Pasin FMU has hired a Safety and Health Manager since 2016. Safety and Health Committee meetings are confirmed to be conducted at least four times a year, as evidenced through the meeting minutes sighted. Operational procedures such as the TA-SOP-SH-04 Safety and Health Procedure were confirmed to have been made available to the forest workers, as evidenced through interviews with the workers. Operational equipment maintenance records were verified to be properly maintained, while other appropriate safety equipment was confirmed to be properly maintained.</p> <p>Verification made on the JKKP 6 Form 'Report For Occupational Accident / Dangerous Occurrence' in April 2022 and JKKP 8 Form 'Register of Accident, Dangerous Occurrence, Occupational Poisoning and Occupational Disease' in January 2023 confirms that the safety and health records of forest workers are properly maintained, in line with all applicable laws and/or regulations.</p> <p>The guidelines TA-SOP-EMS-01 'Guidelines on Disposal and Storage of Scheduled Waste' and the TAP-SOP-CHL '(Handling of Agrochemical) Usage and Disposal of Hazardous Goods' were made available and verified to be in line with relevant laws and/or regulations. Site inspections on the Scheduled Waste Store, chemical store, and Fuel Oil Lubricant (FOL) Depot confirmed adequate warning signages and adherence to the SOPs on storage and handling of hazardous materials.</p> <p>During the previous audit, a Minor NCR was raised on Indicator 4.2.5 due to storage for spent lubricant oil not being properly labeled. To close the NCR, a site inspection in the active harvesting area in Coupe 05 was conducted where storages for spent lubricant oil,</p>	<p>used carbon filters (SW 410) were found stored without a date of first generation and lacked proper labelling. Secondly, a drum of SW 408 contaminants, generated on 5 March 2023, was not registered in the eSWIS system (Inventory of Scheduled Wastes) for March 2023. As a result of these findings, a Minor NCR was re-issued on Indicator 4.2.5</p>

Principle	Strengths	Weaknesses
	<p>benzene, and petrol were verified to be properly labeled. This led to the closure of the Minor NCR raised on Indicator 4.2.5 during the previous audit.</p> <p>Pasin FMU complies with ILO Convention No. 87, safeguarding workers' union rights via the elected Employee Representative Committee (ERC). The ERC functions as the collective bargaining representative, chosen and elected by the workers. Worker interviews affirm that their employment benefits and social protection are ensured by relevant laws. The TA-SOP-HR-01 procedure efficiently handles worker complaints and grievances, with interviews confirming workers' awareness of the procedure.</p> <p>Pasin FMU has completed its SIA in October 2019. The evaluation of the SIA was confirmed to have considered the feedback from local communities and other relevant stakeholders, as well as the monitoring results of the social and environmental impacts of forest operation. The evaluation will be considered to be incorporated into the FMP during the mid-term review, which will be conducted in 2023. Consultations on the results of the SIA were confirmed to be conducted through various means such as CRC and FMCLC meetings.</p> <p>Pasin FMU's FMP addresses federal, state, and local laws to prevent harm to communities' rights and resources during forest harvesting. The TA-SOP-CON-06 SOP expedites grievance resolution and ensures fair compensation, with records confirming proper compensation practices. No direct negotiations or legal cases have occurred, and stakeholder consultations conducted with the local communities indicate the absence of unresolved grievances.</p>	
Principle 5 Benefits From the Forest	<p>The investments and reinvestments in Pasin FMU encompass a comprehensive range of aspects, such as forest administration, research, conservation, and social considerations. The investments are detailed in the "Expenditure for Pasin FMU 2022" and "2023 Annual Budget for Pasin FMU" statements. Verification of the management objectives in the FMP in Chapter 3, as well as site inspection in Coupe 05 on enrichment planting of 230 trees, confirms the FMU's commitment to ensuring long-term economic viability.</p> <p>Optimal use of forest resources is determined through the calculation of Annual Allowable Cut (AAC), which was calculated based on the 19 permanent sample plots (PSPs) and through the FORMIX3 and FORMIND simulation. The calculated and approved AAC was 102,925.48m³/year, and record verification indicates that the FMU did not exceed the AAC. The report 'Quantity & Percentage of Timber Forest Products Processed Locally and Exported' also confirms that the FMU encourages local processing of forest resources by supplying 24,430 m³ of harvestable trees to local processors.</p>	

Principle	Strengths	Weaknesses
	<p>Guidelines on reduced/low-impact logging (RIL) to minimize damage to residual trees and wastage were made available through 'The Implementation of Guidelines for Reduced and Low Impact Logging Systems in the Forest Management Certification (Natural Forest)' and 'Reduced Impact Logging Guideline for Ground-Based Timber Harvesting in the State of Sarawak-FDS (2021)'. Site inspection in Coupe 05, in pre-harvesting (Block 11), active harvesting (Block 5B & 6B), and post-harvesting (Block 6A, 03 & 5A) areas confirm that the SOPs for reduced/low-impact logging are being effectively implemented. Six training sessions on RIL techniques were confirmed (through training records) to have been conducted in 2022.</p> <p>Pasin FMU currently does not have plans to produce mixed commercial forest products, apart from logs. Non-timber forest products in the FMU are only utilized by local communities for their personal use.</p> <p>The SOP to identify and demarcate sensitive areas was made available through the TA-SOP-CON-04 'Identification and Demarcation of Buffer Zones for the Protection of Permanent Waterway.' Site inspection at Sg Jepelak/Mukoh confirmed the FMU's adherence to this SOP, where the buffer zone was clearly marked with blue paint and had adequate signage.</p> <p>Chapter 16 'Risk and Mitigation Measures to Climate Change' of the FMP outlines comprehensive strategies and measures to maintain/enhance forest resources and mitigate climate change. These include guidelines for timber extraction, pollution control of watercourses, prevention of forest fires, and reduction of fossil fuel usage.</p> <p>As outlined in the FMP, the harvest rate for Pasin FMU was meticulously calculated to align with the estimated regrowth of the residual stand, determined through Forest Resource Assessments (FRAs) over a defined 25-year cutting cycle. The calculated and approved AAC was determined at 102,925.48m³/year and was verified through Chapter 3.1.6.1 and Chapter 3.1.6.2 of the FMP. The record of log production from 2019 to 2023 shows that the volume harvested never exceeded the AAC. The highest log production volume was in 2019, where only 55,633 m³ of logs were harvested.</p>	
Principle 6 Environmental Impact	<p>The Environmental Impact Assessment (EIA) titled 'Environmental Impact Assessment for Timber Harvesting Within Pasin FMU Under the Forest Timber Licence (FTL) No. T/3135 at the Sg. Katibas-Batang Rajang Area Kapit Division, Sarawak,' dated July 2017, was made available and verified. The FMP, confirmed in Chapters 10 and 11, provided detailed measures for identifying and safeguarding special biological features, implementing guidelines for rare species protection, and ensuring the protection of water</p>	

Principle	Strengths	Weaknesses
	<p>resources and wetlands. The revised FMP Rev 02 (2018-2027) was confirmed to have incorporated the mitigation measures during harvesting, road construction, and other prescribed actions highlighted in the EIA report.</p> <p>Regarding the requirement for the availability of guidelines to identify and safeguard endangered, rare, and threatened species of forest flora and fauna, including special biological interest in their habitats, several guidelines such as 'A Guideline to Identify Endangered, Rare and Threatened or Protected Forest Trees Species 2013,' 'Guidelines for Fauna Conservation and Ecosystem,' and the 'Master Plan for Wildlife in Sarawak and Wildlife Protection Ordinance 1998' were made available and verified.</p> <p>Interviews with the workers in Coupe 05A confirm that they are aware of the need to protect ERT species of flora and fauna. Site inspection in the post-harvesting block in the same coupe confirms that protected trees are clearly marked and are found to be intact and undamaged during felling operations.</p> <p>An SOP titled 'Identification and Demarcation of Block Boundary and Area for Protection and Conservation' was sighted and confirmed to be adequately implemented through site verification in Coupe 05A where riparian buffers were clearly demarcated with blue paint. The riparian buffers were also confirmed undamaged by the active harvesting operation in the area.</p> <p>A collaborative research project with UNIMAS, involving wildlife monitoring around salt licks using camera traps, was confirmed to have been concluded, and the report of the project was made available and verified. Interviews with contractors and workers confirmed that they are aware that they are not allowed to conduct any hunting activities in the FMU. Verification of the records of social engagement programs confirmed that several awareness programs involving 15 local communities on RTE species in the FMU have been conducted between December 2022 and March 2023.</p> <p>SOP to access post-harvest and enrichment planting TA-SOP-EP-01 is made available and verified. Site verification in Coupe 05A confirms that enrichment planting has been conducted on several degraded areas in the FMU. Steps taken to maintain species composition were confirmed where it is verified that the FMU would only harvest trees above a certain cutting limit and through a 25-year cutting cycle. Site inspection in Coupe 05A also confirms the proper marking of protected trees to ensure they are not damaged during harvesting operations.</p> <p>Verification of the Comprehensive Harvesting Plan (CHP) of Coupe 05A, as well as evidence of proper</p>	

Principle	Strengths	Weaknesses
	<p>demarcation of the buffer zone during site inspection in the same coupe, shows that harvesting activity in the FMU is designed taking into consideration the need for the conservation of biological corridors and buffer zones.</p> <p>Representative areas of existing forest ecosystems (RoEFE) are located near the Woodley base camp, as verified in Chapter 11 in the FMP. Site inspection of the RoEFE site shows adequate signage and proper boundary marking.</p> <p>Several SOPs and guidelines to protect the soil from compaction and erosion during harvesting operations, such as 'Guidelines for Forest Road Layout and Construction' and the 'SOP Planning and Marking of Log Landing and Skid Trails,' were sighted and verified during field verifications.</p> <p>RIL implementations are verified through the FMU's adherence to the Green Book procedure and through the CHP where tree tagging of harvestable trees, protection trees, mother trees, and Potential Crop Trees (PCT) are required. Site inspection on Block 11 Coupe 05A shows that RIL implementation and harvesting techniques follow applicable SOPs that are in place.</p> <p>Field inspection in Block 5A, 5B, and 6B, located in Coupe 05A shows that the construction of forest roads and bridges were effective as there is no clogging and no disturbance in the buffer zones. Due to these findings, a Minor NCR that was raised on Indicator 6.5.3 during the previous audit was satisfactorily closed.</p> <p>A fire prevention and control plan, titled 'Forest Fire Management Plan for Natural Timber Harvesting within Pasin Forest Management Unit (FMU) under the Forest Timber Licence No. T/3135 Pasin Sdn Bhd,' was sighted and verified. Inspection at the nursery confirms that fire equipment is housed in a centralized Fire Depot and that the equipment is maintained and recorded accordingly. A Fire and Drill training was also conducted on December 13, 2022. Because of this, a Minor NCR that was raised on Indicator 6.5.5 during the previous audit was satisfactorily closed.</p> <p>Pasin FMU's environmental policy, signed by the CEO on February 1, 2021, emphasizes the use of environmentally friendly, non-chemical pest management methods and discourages the use of chemical pesticides. Interviews with forest managers and nursery workers confirm awareness of this policy.</p> <p>An SOP titled 'Handling of Agrochemicals' (TAP-SOP-CHL) was made available, and it was observed during site inspection at Woodley nursery that the handling of pesticides by FMU staff and field workers adheres to this procedure. It was also verified that a sump and eye</p>	

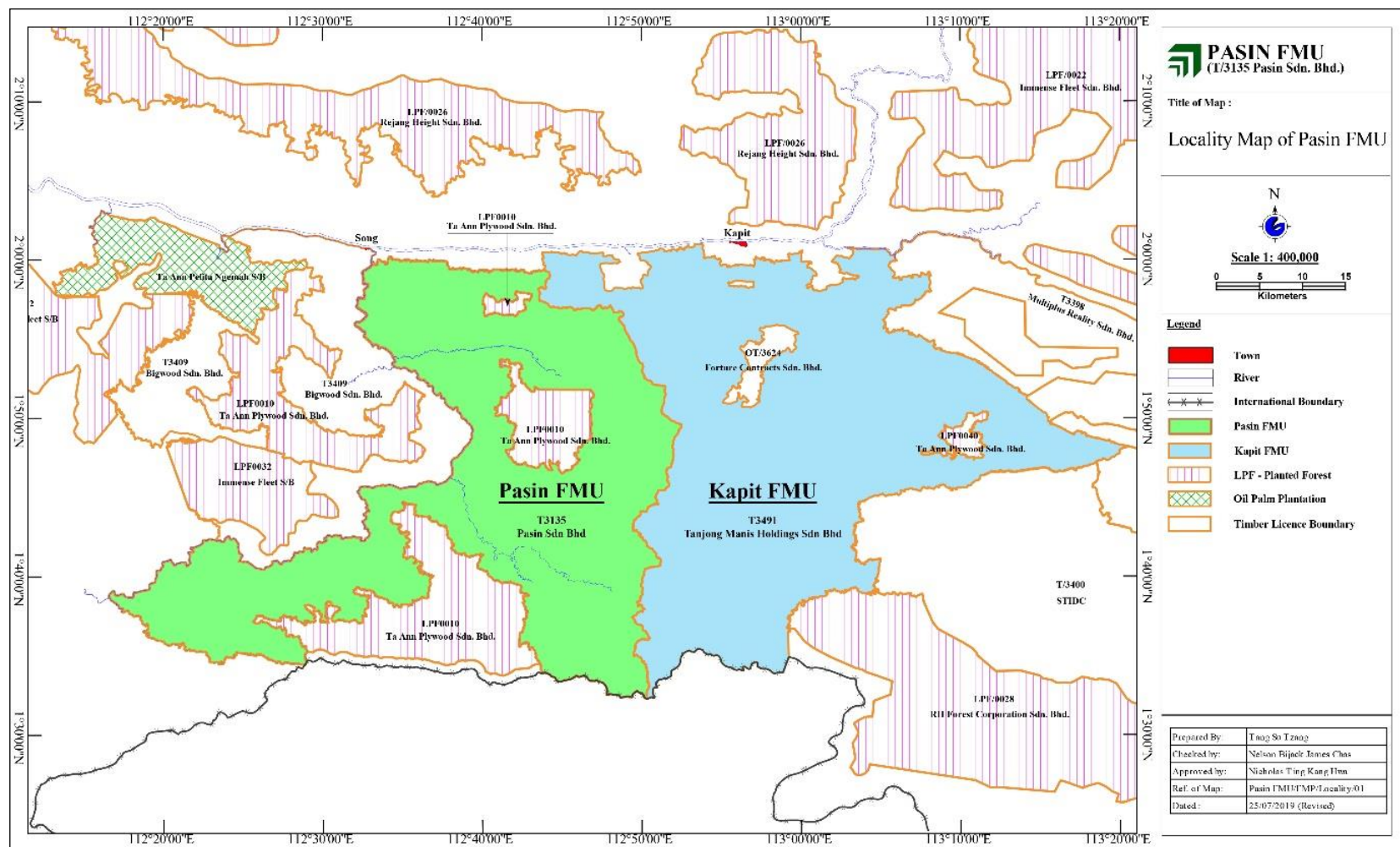
Principle	Strengths	Weaknesses
	<p>wash have been constructed in the Nursery. These new constructions have consequently closed a Minor NCR on Indicator 6.2.2 that was raised during the previous audit.</p> <p>The plan for the reduction of the use of chemical pesticides, titled 'Ta Ann Group Forest Management Unit (FMU) Plan for the Reduction of Use of Chemical Pesticides,' was made available and verified.</p> <p>SOP on the management of waste, TA-SOP-EMS-01, was made available and verified. Site inspection shows proper implementation of containments for chemical spillage, as well as proper storage of scheduled waste. The labeling and other information that are required to be generated on each schedule waste follow applicable SOP and legal requirements. It was also confirmed that all scheduled waste generated has been adequately notified through the eSWIS system.</p> <p>The FMU effectively manages waste disposal and recycling in accordance with the "Waste Management" SOP (TA-SOP-EMS-01) Version 02, dated March 18, 2017.</p> <p>During site inspections, steel containments were strategically placed under fuel drums in the field to prevent fuel spillage into the ground. At the main camp, dedicated areas were constructed for the storage of scheduled waste, including concrete containment for genset houses, diesel, and petrol drums.</p> <p>There was no application of biological control agents in the FMU.</p> <p>Field inspection in Coupe 04 and 05 confirms that the selection of species in any silvicultural treatments uses native species such as Kelampayan (Neolamarckia cadamba).</p> <p>There is no conversion of natural forest to plantation or other land use in the FMU.</p> <p>There was no conversion of severely degraded forests to forest plantations, as verified during this audit.</p> <p>There was no afforestation of ecologically important non-forest ecosystems occurring, as verified during this audit.</p>	
Principle 7 Management Plan	<p>The Forest Management Plan for Pasin Forest Management Unit for the period of 10 years (1st January 2018 – 31st December 2027) Rev.02, April 2021, was approved by FDS on 12th May 2021. It was confirmed that all chapters of the FMP adequately address all the requirements (a) to (i) stipulated in Criterion 7.1. A mid-term review of the FMP is confirmed to be conducted as scheduled in 2023. Forest managers interviewed also show that they are</p>	<p>Site verification in Coupe 05 revealed inadequate training of forest workers on tree identification, leading to error in identification of tree species as per the SOP TA-SOP-RIL-02 -</p>

Principle	Strengths	Weaknesses
	<p>aware of new scientific and technical information pertinent to the management of natural forests.</p> <p>Pasin FMU has compiled job descriptions for various positions, encompassing managerial, executive, foreman, GIS, administrative, storekeeping, accounting, chief surveyor, survey team leader, and surveyor roles. The scope of work or responsibilities for each staff member is confirmed to be outlined in their Letter of Employment.</p> <p>The annual training program for 2023, titled “2023 Briefing and Training Programme TAG FMU’s,” has been confirmed and verified. The training, starting in April 2023, covers topics such as Safety and Health Aspects of Forest Operation, waste management, Reduced Impact Logging (RIL), conservation, ecosystem management, field manual for PSP, and vehicle maintenance and logging road safety.</p> <p>The public summary of Pasin FMU’s FMP (2018–2027) has been confirmed to be accessible through the website: http://www.pasinfmu.com.my/fmp/.</p>	<p>Implementation of Pre-Harvest Inventory.</p> <p>Therefore, a Minor NCR was issued on Indicator 7.3.2.</p>
<p>Principle 8 Monitoring and Assessment</p>	<p>Pasin FMU uses the Environmental Monitoring Report (EMR) as their medium of monitoring report, which is reported back to The Natural Resources and Environment Board (NREB) every three months. The EMRs verified in 2022 show that erosion rates were within acceptable limits.</p> <p>Through document verification, improvements are observed on the harvesting assessment form and PSPs inventory scheduling compared to the last audit. Because of this, an OFI that was previously raised on Indicator 8.1.2 during the last audit was satisfactorily closed.</p> <p>Through a documentation review, it has been verified that Raplex FMU underwent an internal audit on January 30 – February 04, 2023, and a management review on February 9, 2023. The internal audit and management review programs cited are confirmed to align with APPENDIX A of MC&I SFM. The internal audit identified two Major and three Minor NCRs, which were addressed and resolved through corrective action plans. It was also observed that a root cause section has been added to the NCR form, which has led to the closure of an OFI raised on Indicator 8.1.3 during the last audit. The management review discussed findings, NCRs, corrective actions, and activities for continual improvement in FMU operations.</p> <p>All monitoring items from Criterion 8.2 (a) through (e1) have been appropriately included in the FMU’s management. Verification of compliance with this requirement was based on the FMU’s adherence to the AAC limit, monitoring of flora composition change through PSP plots and fauna composition change</p>	

Principle	Strengths	Weaknesses
	<p>through camera traps, EMR reporting, as well as annual budgets and expenditures.</p> <p>Record verification shows that a series of awareness programs and social visits to 11 potentially affected longhouses in post-harvesting, pre-harvesting, and active harvesting areas have been conducted. It was also confirmed that the FMU has planned to inform CRC members to discuss the implementation of a mitigation plan for the identified protected and conservation area before the operations are started. Based on these findings, a Minor NCR raised on Indicator 8.2.1 during the last audit was satisfactorily closed.</p> <p>Field and documentation verification confirm that Pasin FMU provided all the necessary documentation to ensure any products leaving the certified area can be identified, allowing for their origin to be determined. Documents verified include the Daily Felling Record dated February 21, 2023, the Transit Removal Pass from CDC to Pasin Logpond dated February 16, 2023, and the Log Specification Summary (Transit) dated February 16, 2023.</p> <p>The FMP for the FMU was revised in April 2021 (Revision 02) with a validity period from January 1, 2018, to December 31, 2027. The review of the FMP will be undertaken during the mid-term review in 2023.</p> <p>The results of monitoring for the FMU have been made publicly available. The public summary that can be accessed from the Pasin FMU website - http://www.pasinfmu.com.my/public-summary/monitoring.</p>	
Principle 9 Maintenance of High Conservation Values	<p>The assessment of attributes consistent with High Conservation Value (HCV) areas for Pasin FMU, as reported in the HCV report titled 'Assessment of High Conservation Value (HCV) Site within Pasin Forest Management Unit (FMU), Song,' dated June 2019, was made available and verified. Multiple HCVs were identified and demarcated on the map titled 'HCV within Pasin FMU,' dated 26 February 2022. These HCVs were identified in consultation with government agencies, NGOs, universities, and local communities.</p> <p>The FMU engaged in consultations on 6 November 2020 with FDS, Land Survey Department, District Office, WWF, and CRC members to explore options for maintaining or enhancing the identified HCV areas. Measures to demarcate, maintain, and/or enhance the HCV attributes are outlined in Chapter 12 of the FMP. The inclusion of these measures in the FMP has also confirmed that the established HCVs are protected and considered in forest operations.</p> <p>Specific measures outlined in the FMP are in the form of maps titled 'HCV within Pasin FMU.' These</p>	

Principle	Strengths	Weaknesses
	<p>measures can be accessed publicly through http://www.raplexfmu.com.my/hcv/.</p> <p>From the verification of the report 'High Conservation Value Monitoring Report 2022,' dated January 2022, it was confirmed that Pasin FMU has conducted annual monitoring of the identified HCVs. The monitoring covers various HCV areas, including the water catchment area, Salt Lick, and the RoEFE area. The findings are set to be integrated into the Forest Management Plan during the mid-term review, scheduled to start in 2023.</p> <p>Due to the successful annual monitoring of the HCVs in 2022, the minor NCR that was raised on Indicator 9.4.1 in the previous audit was satisfactorily closed.</p>	

Map of Pasin Forest Management Unit



Experiences and Qualifications of Audit Team Members

Assessment Team	Role/Area of MC&I Requirement	Qualification and Experience
Hj.Roslee Jamaludin	Assessment Team Leader / Forester	<p><u>Academic Qualification:</u> B.Sc. of Forestry (Forest Management), University Putra Malaysia. Diploma in Forestry, Mara Institute of Technology, Malaysia.</p> <p><u>Work Experience:</u> A retiree of Forest Conservator from Forestry Department of Peninsular Malaysia, with 36 years of experience in forest management, operation and administration. Has been working in various position since appointment in 1977, which includes Assistant Forest Management officer in Kuantan, Forestry Department of Pahang, Assistant District Forest Officer in Kuala Lipis Pahang and Segamat, Johor. Forest Plantation Officer in Johor and Terengganu, Forestry Officer for the State of Malacca. Assistant Director for Forest Harvesting and Industries in Selangor, District Forest Officer in Dungun Terengganu, Assistant Director for Forest Management in Negeri Sembilan and the State Director of Forestry Penang before retirement in April 2015. Has involved in several Working Committee form by the Forestry Department of Peninsular Malaysia to further improve the existing procedures, such as, Forestry Manual, Forest Road Guidelines, Forestry Rules, other guidelines regarding the MC&I for forest certification. A member of MAJURUS was appointed as an Internal Auditor for the MC&I. Has attended several forestry Conference overseas and local. A member of IRIM (Institut Rimbawan Malaysia). Appointed as a facilitator and trainer for Forest Road Guidelines by Forestry Department Peninsular Malaysia.</p> <p><u>Training / Research Areas:</u></p> <ul style="list-style-type: none"> • Had Attended and Passed the following Training programmes: • Auditor Training Course on Malaysian Criteria and Indicators for Forest Management Certification [MC&I (Natural Forest)] & [MC&I (Plantations)] organised by MTCC, 9 – 10 July 2015 • EMS 14001: 2004 Lead Assessor Training Course organised by SIRIM Training Services Sdn Bhd, 23 Nov. – 27 Nov. 2015.

		<ul style="list-style-type: none"> • Briefing on RSPO Principle & Criteria (HCV) organised by SIRIM QAS (Food ,Agri & Forestry Section) 21 August 2015. • Workshop on Auditing Technique for FMC (2) organised by SIRIM QAS (Food , Agri & Forestry Section) 26 Jan 2016. • FMC Workshop 28th -29th November 2017 • FMC Workshop for 2018 organised by SIRIM (Food,Agr. &Forestry) 22 November 2018 • COC Workshop 2018 organised by SIRIM 26 November 2018 • Auditor Training Course on PEFC Chain of Custody Certification organized by MTCC on 19th -20th December 2018. • MSPO Training Course 16-17 Feb 2019 organised by SIRIM • Forest Management Certification (FMC) Workshop' for 2019 on 25 & 26 June 2019 organised by SIRIM QAS (Food,Agri &Forestry Section). • CoC Workshop for 2019 on 27/6/2019 organised by SIRIM QAS (Food,Agri &Forestry Section).
Ismail Adnan Abdul Malek	Assessor/ Forester	<p><u>Academic Qualification:</u></p> <p>Master of Forestry, University of British Columbia, Canada</p> <p><u>Work Experience:</u></p> <p>One year (1974-1975) experience as Sub Assistant Conservator of Forest at the Pahang Forest Department, involved with Forest Administration/Management and Enforcement. Next, seven years (1979-1986) experience as Forest Officer/Logging Superintendent at Syarikat Jengka Sdn. Bhd (SJSB), an integrated timber complex in Pahang. Responsible for Forest Licensing/ Administration, Forest Mapping, Road Construction and Logging Operations. Senior Lecturer at the Forestry Faculty, Universiti Putra Malaysia (UPM) from 1986 to 2014. Responsible for teaching and research in Forest Mensuration, Forest Survey, Forest Road, GIS/Remote Sensing and Forest Mapping. Responsible for academic supervision of more than 100 Bachelor/Master/Phd students in their research and thesis writing. Own research at UPM include various areas such as forest mapping using geo spatial tools, forest inventories, forest harvesting and forest management system (SMS). Also involved with consultancy works which include RPH development and Forest Mapping. Participate in organizing local/international seminars on Forestry areas. Published and presented research findings at seminars/conferences and journals. Currently as Auditor at the Food, Agriculture and Forestry /ion (FAF), SIRIM QAS International Sdn Bhd, since 2016. Involved in conducting assessments on forest management certification MC&I (Natural Forest) & MC&I (Forest Plantations).</p>

		<p><u>Training / Research Areas:</u></p> <ul style="list-style-type: none"> • Auditor Training Course on MC& I (Natural Forest) and MC&I (Forest Plantation V2), 9th-10th July 2015, SIRIM QAS International Sdn Bhd • ISO 14001:2004 Lead Assessor Training, 23rd-27th Nov 2015, SIRIM Training Services Sdn. Bhd • Training on Auditing Techniques, 26th January 2016, SIRIM QAS International Sdn Bhd
Azrul Ikhsan Mohamed	Assessor/For ester	<p><u>Academic Qualification:</u></p> <p>Diploma in Agriculture, Universiti Putra Malaysia Kampus Bintulu</p> <p>Bachelor of Science with Honours (Plant Resource Science and Management), Universiti Malaysia Sarawak.</p> <p><u>Work Experience:</u></p> <p>Five years of Experience in the field of Forestry, 2 Years of Forest Plantation as Assistant Plantation Manager in Forestry Assets SDN BHD in the year from 2015 to 2017, and 4 Years in Natural Forest as Forest Manager in RELIWOOD SDN BHD in the year 2018 – 2021. Main responsibility is Assisting and Manage in the administrative work, forest development, and forest operations based on the MC&I SFM standard. Work closely with relevant stakeholders on the development of the Forest such as Enrichment planting, HCV collaboration, Improvement of the Reduced impact logging, and developing procedures.</p> <p><u>Training / Research Areas:</u></p> <ul style="list-style-type: none"> • Auditor Training Course on Forest Management Certification Under the Malaysian Timber Certification Scheme (MTCS) – (13th – 15th October 2021) • SIRIM LEAD AUDITOR COURSE INTEGRATED MANAGEMENT SYSTEMS (IMS) ISO 9001, ISO 14001 & ISO 45001 (LIMS02) – (25th – 29th October 2021)

Comments Received from Stakeholders and Responses by Audit Team Leader

No.	Stakeholder	Comments/Issues Raised	Response by Audit Team
1	Undisclosed 25 th February 2023.	<ol style="list-style-type: none"> Indirectly, there is a change in river water flow (in the ground) which may contribute to flash flooding (Have efficiency tests/water flow been tested regularly?) because "prevention" in the affected area must be done actively to avoid any casualty of ecosystem. Is there any program (SCR) in the effort to clean the river and sustain villages communities are carried out together with other agencies. 	<p>Through tele conversation with Tuan Rumah Joshua Anak Dominic Runggom</p> <ol style="list-style-type: none"> It was acknowledged by Tr. Joshua that the FMU is doing a good job obtaining the Forest Management Certification to prevent and reduce the impact on the environment. The programs are mainly towards the social economic programs that could benefit the communities living in and adjacent to the FMU.
	Undisclosed 9 th February 2023	<p>Comments are as follows:</p> <p>For your information, we generally have no issues with forest management by Pasin FMU. However, the re-entry of logging activities to our area will certainly have a significant impact on ecosystems and habitats as well as environmental pollution that is unlikely to be as well controlled as before. We understand that the company still have an interest in logging activities in our area as they have replanted timber and of course the timber extraction activity is being carried out again in our area. Therefore, the management and procedures of this activity should be clarified by the Company concerned so that the "win win situation" between the company and the stakeholders can be sealed with a clear MOU tau Agreement. Due to our interior (remote) area, of course we require infrastructure such as road access, constructing better settlement and as well other basic needs.</p>	<p>As interviewed during the stakeholder meeting on 8th March 2023 with the CRC members.</p> <p>It was found that there was confusion between FMU and LPF areas as concern by Tr. Ngelambong. He resides near the LPF/0010 - Forest Plantation area. The comments were referring to plantation areas not the FMU areas. He did mention that the company still has an interest in logging activities as there is replanting activity going on and the harvesting will be conducted again later.</p> <p>However, this issue was resolved by the clarification from the auditor during the consultation, as the area that was concerned was not within the scope of the audit.</p>

3	Sarawak Forestry Corporation 15 th Feb. 2023	<p>Please note that some parts of Pasin Forest Management Unit (FMU) are adjacent to Lanjak Entimau Wildlife Sanctuary. Please refer map.</p> <p>We require the Pasin Forest Management Unit (FMU) to keep at least 500m buffer zone from the park boundary. All measures must be taken to ensure that it goes NO closer and there is no damage to the park. Any encroachment into the park is an offence under the National Parks and Nature Reserve Ordinance, 1998. Those Protected and Totally Protected species should be reported to Sarawak Forestry Corporation if found wounded or harmed during the establishment, operation, and maintenance stages.</p>	<p>Noted.</p> <p>The FMU had demarcated in the buffer zone for International Boundary and Totally Protected Areas (TPA) in the Map, as evidence in “General Harvesting Plan (DP) for Pasin FMU- Revision 03/2022”. Approved on 11th April 2022 by Forest Department Sarawak. Based on the DP the boundary is reserve for 1 KM.</p>
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Surveillance 03 Audit Plan

DAY	TIME	PROGRAM		
		AUDITOR 1 (Hj.Roslee)	AUDITOR 2 (Ismail)	AUDITOR 3 (Azrul)
Day 0 (Monday) 06/03/2023	6.00 am- 5.00pm	All Auditors travel from Kuala Lumpur to Sibu by flight MH 2716 (ETD: 8.25 - ETD 10.30). Travel to Woodley Base Camp, Pasin FMU.		
Day 1 Tuesday 07/03/2023	8.00 am – 12.30 pm	<ul style="list-style-type: none"> • Opening Meeting with representatives of FMU • Briefing session by Forest Manager of the FMU • Follow up on NCR from previous audit. • Check on complaints, stakeholder comments and follow-up actions (if any) 		
	2.00 – 5.00 pm	<u>Documentation and records review</u> <ul style="list-style-type: none"> • Principle 1 – Compliance with Laws Areas • Principle 5 – Benefits from the forest • Principle 7 – Management Plan • Principle 4 - Community Relations and Worker's Right. (Indicator 4.2.5) 	<u>Documentation and records review</u> <ul style="list-style-type: none"> • Principle 6 – Environmental Impact • Principle 8 – Monitoring and Assessment • Principle 9 – Maintenance of High Conservation Value 	<u>Documentation and records review.</u> <ul style="list-style-type: none"> • Principle 2 – Tenure and Use Rights and Responsibilities • Principle 3 – Indigenous Peoples' Right • Principle 4 - Community Relations and Worker's Right • Consultation with staff and workers at camp
		<ul style="list-style-type: none"> • Review of Day 1 Findings by Audit Team Leader 		

Day 2		AUDITOR 1 (Hj.Roslee)	AUDITOR 2 (Ismail)	AUDITOR 3 (Azrul)
Wednesday 08/03/2023	8.00 am – 5.00 pm	<ul style="list-style-type: none"> • Inspection of active harvesting area: Block 5B Coupe 05. • Inspection of riparian buffer: Sg. Jepelak/Sg.Mukoh • Inspection of post harvesting - Enrichment planting : Block 3, Coupe 05 • Inspection of Coupe Boundary: Coupe 05 &06 along S-1-1 to S-1-2 • Interview with workers in the field. 	<ul style="list-style-type: none"> • Inspection of PSP: PSP12-SU45, Coupe 02 • Inspection of Coupe Boundary: Coupe 01,02,and 03 • Inspection o Riparian Buffer: Ulu Sg. Prak in Coupe 02 	<ul style="list-style-type: none"> • Consultation with community near active harvesting area in Coupe 05: <ul style="list-style-type: none"> - Rh. Gilbert - Rh. Munting - Rh Rantai Location: Rh.Rantai,Tekalit.(By Boat) Overnight in Song
Review of Day 2 Findings by Audit Team Leader				
Day 3		AUDITOR 1 (Hj.Roslee)	AUDITOR 2 (Ismail)	AUDITOR 3 (Azrul)
Thursday 09/03/2023	8.00 am – 5.00 pm	<ul style="list-style-type: none"> • Inspection of PSP: PSP17-SU61, Coupe 08 • Inspection of Conservation area/ HCVF: Song Water Catchment in Coupe 012. • Inspection of External Boundary: Northern LPF&Pasin, and Pasin &Kapit FMU 	<ul style="list-style-type: none"> • Inspection of External Boundary: Southern LPF &Pasin FMU (M-1 to Coupe 05) • Inspection Pre-Harvest area: Block 11, Coupe 05 • Inspection of Active Harvesting area: Block 6B, Coupe 05 • Inspection of Post Harvest area (Closing): Block 6A, Coupe 05 • Inspection of Post harvest Assessment: Block 5A, Coupe 05. • Interview with workers in the field. 	<ul style="list-style-type: none"> - Consultation with CRC members(9.30am-12.30 pm). - Consultation with community from pre, active and post-harvest areas in Coupe 03 and 04.: <ul style="list-style-type: none"> • Rh. Akang (Coupe 3) • Rh.Ngelambung (Coupe 3) • Rh Enggong (Coupe 4) • Rh. Melayu (Coupe 5) • Rh.Kana Lenggai (Coupe 5) • Rh.Gilbert (Coupe 5) • Rh. Achau(Coupe 6,7) • Rh.Philip (Coupe 8) • Rh.Kaya (Coupe 8) • Rh. Landun (Coupe 8) Overnight in Song
• Review of Day 3 Findings by Audit Team Leader				

Day 4 Friday 10/03/2023	8.00 am – 5.00 pm	AUDITOR 1 (Hj.Roslee)	AUDITOR 2 (Ismail)	AUDITOR 3 (Azrul)
		<ul style="list-style-type: none"> • Inspection of TAP-Woodley Nursery area and Chemical Store. • Camp inspection - diesel storage, lubricant store, SW store, workshop, housing & genset 	<ul style="list-style-type: none"> • Inspection of CoC at PoRM: PoRM at Batu 8 • Inspection of CoC at CDC: at Ng. Lajan Logpond • Inspection of RoEFE • Inspection of Quaters • Dumping site 	<ul style="list-style-type: none"> • Visit to JTK/Land and Survey Office in Kapit. • Consultation with workers.
		• Review of Day 4 Findings by Audit Team Leader		
Day 5 Saturday 11/03/2023	8.00 am - 1.00 pm	AUDITOR 1 (Hj.Roslee)	AUDITOR 2 (Ismail)	AUDITOR 3 (Azrul)
		<ul style="list-style-type: none"> • Continue documentation and record review. • Audit team discussion and preparation of audit finding and reports • Briefing to representatives of FMU on the findings of audit 		
	2.00 - 5.00 pm	<ul style="list-style-type: none"> • Closing Meeting and presentation of findings of audit • Travel back to Sibu and Overnight in Tanah Mas Hotel. 		
(Sunday) 12/03/2023	9.00am	All Auditors travel back to Kuala Lumpur by flight MH 2715 (ETD: 11.15- ETA: 13.20)		

-END OF REPORT-