



**PUBLIC SUMMARY  
4<sup>th</sup> SURVEILLANCE AUDIT (SA4) ON  
RAPLEX FOREST MANAGEMENT UNIT  
FOR FOREST MANAGEMENT CERTIFICATION**

**Certificate Number: FMC 00122  
Date of First Certification: 28 June 2019  
Audit Date: 3 - 6 April 2023  
Date of Public Summary: 5 July 2023**

**Certification Body:  
SIRIM QAS International Sdn. Bhd.  
Block 4, SIRIM Complex  
No. 1, Persiaran Dato' Menteri  
Section 2, 40700 Shah Alam  
Selangor  
MALAYSIA  
Tel : 60-3-5544 6400/5544 6448  
Fax : 60-3 5544 6763  
Website : [www.sirim-qas.com.my](http://www.sirim-qas.com.my)**

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## EXECUTIVE SUMMARY

The Surveillance 4 audit for forest management certification of Raplex Sdn Bhd – Raplex FMU took place from 3 – 6 April 2023. This audit followed the previous assessment conducted from 6 – 9 September 2022, which evaluated the overall forest management system and practices of the FMU against the Malaysian Criteria and Indicators for Forest Management Certification (MC&I SFM) using the verifiers specified for Sarawak, Malaysia.

Conducted by a three-member team comprising Hj. Roslee Jamaludin (Lead Auditor), Ismail Adnan Abdul Malek (Auditor), and Puteri Arlydia Abdul (Auditor), the audit received full cooperation from Raplex FMU.

Raplex FMU encompasses 63,993 ha of Mujong-Merirai Protected Forest (53%) and 30,133 ha of state land (47%), located within the Kapit Division, Sarawak, approximately between Latitudes 20 0' 13" and 20 00' 39" N, and longitudes 1130 00' 07" and 1130 00' 46" E. Accessible by the Batang Rajang and Batang Baleh (Kapit town), it is about 220 km from Sibu to Sg. Pila base camp, requiring approximately 6 hours of travel time from Sibu. The FMU includes two camps, namely Sg. Pila camp and the nursery camp.

The audit involved document verification, field visits, and inspections, including consultations with the Forest Department, Labour Department in Kapit, and relevant indigenous settlements within FMU.

Despite the issuance of four (4) Minor Non-Conformance Reports (NCRs) against the MC&I SFM requirements, the findings of this Surveillance 4 audit indicate that Raplex FMU has largely complied with most MC&I SFM requirements.

The Audit Team Leader after consultation with team members recommends that certification of Raplex FMU against the MC&I SFM for certification conditional upon acceptance of corrective action plans within one (1) month from the date of this surveillance 4 audit.

The FMU submitted proposed corrective action plans via email on 3 May 2023, which were accepted by the audit team leader on 8 May 2023. The effectiveness of these corrective actions will be verified during the next surveillance audit.

## 1.0 INTRODUCTION

### 1.1 Name of FMU

Raplex Forest Management Unit

### 1.2 Contact Person and Address

Name : Mr. Nelson Bijack James Chas  
Designation : General Manager  
Address : Ta Ann Building,  
No. 6, Jalan Rawang,  
96000, Sibu,  
Sarawak.

### 1.3 General Background of the Forest Management Unit

Raplex Forest Management Unit (Raplex FMU) is situated in the central region of Sarawak, within the Kapit Division. Encompassing approximately 63,993 hectares, its coordinates range from latitudes 20° 13' to 20° 39' N and longitudes 113° 00' 07" to 113° 00' 46" E. The terrain comprises logged-over lowland mixed dipterocarp forest (MDF) with elevations ranging from 90 to 750 meters above sea level.

Raplex FMU spans 33,860 hectares of the Mujong-Merirai Protected Forest (53%) and 30,133 hectares of stateland (47%). It is bordered to the north by the south bank of the Batang Rajang and to the south by Sungai Pila. The FMU shares license boundaries with Forest Timber Licences T/0518 (STIDC-Song Logging Company SB), T/3064 (Sut Sawmill SB), T/3353 (Jayatiasa SB), T/3290 (Polymore Timber SB), and T/3291 (Polymore Timber SB). Two camps, namely Sg. Pila camp and the nursery camp are established within the FMU.

Raplex FMU operates under Forest Timber Licence No. T/0560, issued to Raplex Sdn. Bhd. for Natural Forest Management (SFM). The license for this FMU has recently been renewed for a duration of 30 years, effective from 2 February 2023 to 1 February 2053.

The FMU comprises 45,150 hectares (70%) designated as production areas, 16,962 hectares (27%) allocated for community use, and 1,881 hectares (3%) preserved for conservation. Conservation areas were specifically identified and protected, primarily situated in regions with steep terrain exceeding 350 meters, along riverbanks, and within river buffers. The forested areas of the FMU predominantly consist of:

- a. logged-over hill mixed dipterocarp forest and
- b. secondary forests resulting from historical shifting agriculture activities, particularly along the banks of Batang Rajang, Sungai Pila, and Sungai Ebon.

### 1.4 Date First Certified

28 June 2019

### 1.5 Location of the FMU

The FMU is located between 20 0' 13"N and 20 00' 39" N, 113 00' 07"E and 113 00' 46" E. The map of the FMU is attached in **Appendix 1**.

### 1.6 Forest Management System

The Ten-Year Forest Management Plan (FMP) for Forest Timber Licence No. T/0560, held by Raplex Sdn Bhd (2018-2027), was presented during the audit. Upon review, it was observed that the plan effectively addressed all issues and requirements outlined in Criterion 7.1. The FMP includes detailed maps specific to planned management activities, along with references to supporting documents such as tables, figures, charts, graphs, schedules, budgets, standard operating procedures (SOPs), and operational guidelines, presented either as annexes or appendices. The Forest Department Sarawak (FDS) officially approved the FMP on 6 September 2021.

## 1.7 Annual Allowable Cut/Annual Harvest under the Forest Management Plan

The Annual Allowable Cut (AAC) stands at an average of 63,989 m<sup>3</sup> per year, corresponding to a maximum of 2,257.5 ha per year over the 25-year cutting cycle (comprising 20 annual coupes and a 5-year idle period).

As outlined in the Raplex FMU FMP, the harvest rate was calculated not to exceed the estimated regrowth of the residual stand, determined through a permanent sample plots assessment within the predefined 25-year cutting cycle. With a total productive area of 45,150 ha, the Annual Coupe for Raplex FMU is capped at 63,989 m<sup>3</sup> per year, with an average annual coupe production area of 2,257.5 ha per year (Chapter 3.1.61 - Annual Allowable Cut (AAC)).

## 1.8 Environmental and Socioeconomic Context

Generally, five local communities reside within the FMU, and an additional twenty communities are categorized as Project-Affected Communities (PACs) due to their proximity to the FMU boundaries. The predominant ethnic groups include Iban, Punan, and Sekapan, while other races represented are Kenyah, Tanjong, and Kayan.

Identified through the Social Impact Assessment report in March 2017 (SIA), the 25 communities/settlements established the Community Representative Committee (CRC) in May 2018. This committee serves as a consultation forum for members and addresses social grievances impacting the FMU.

The Raplex FMU Forest Management Plan integrates insights from the environmental impact assessment detailed in Chapter 7 - Environmental and Social Impact Assessment. An Environmental Impact Assessment (EIA) report, titled 'Environmental Impact Assessment for the Proposed Timber Harvesting Project in the Raplex FMU under Forest Timber Licence (FTL) No. T/0560 in the Upper Batang Rajang area, Kapit Division, Sarawak – August 2017', was conducted as mandated by item 2(i) of the First Schedule of the said Order (Section 11A (1) of the Natural Resources and Environmental Ordinance). The EIA report received approval from the Natural Resources and Environment Board (NREB) on 8 December 2017.

## 2.0 AUDIT PROCESS

### 2.1 Audit Dates

The Surveillance 04 audit for forest management certification on the Raplex Sdn. Bhd. – Raplex FMU was conducted from 3 – 6 April 2023. This was an audit conducted following the previous audit which was conducted on 6 – 9 September 2022. The Surveillance 04 audit plan is attached in **Appendix 4**.

### 2.2 Audit Team

Hj Roslee Jamaludin (Lead Auditor)  
Ismail Adnan Abdul Malek (Forester)  
Puteri Arlydia Abdul (Sociologist)

The details on the experiences and qualifications of the audit team members are as in **Attachment 2**.

### 2.3 Standard Used

Malaysian Criteria and Indicators for Forest Management Certification [MC&I SFM] using the verifiers stipulated for Sarawak Malaysia.

### 2.4 Stakeholder Consultations

A stakeholder notification was issued in March 2023 for a period of one month inviting relevant stakeholders to give comments on the FMU. The comment of stakeholder as in **Attachment 3**.

## 2.5 Audit Process

The assessment was conducted as planned using the methodology described in Section 2.0. Findings against each of the MC&I SFM are reported below.

The audit was conducted primarily to evaluate the level of compliance of the FMU, current documentation, Standard Operating Procedures (SOPs) and field practices in forest management with the requirements listed in the MC&I SFM, using the verifiers stipulated for Sarawak, Malaysia.

For each Indicator, the auditors conducted either a documentation review, consultation with the relevant personnel of the FMU or stakeholders or field audit or a combination of these methods.

Depending on the level of compliance with the verifiers on a particular indicator, the auditors had reviewed and verified the degree of the overall compliance in the indicator before a finding was raised either a non-conformity report (NCR) (minor or major) or opportunities for improvement (OFI).

An NCR raised during the audit and categorized by the audit team as either major or minor is defined as follows:

- (i) A major NCR is a non-compliance deemed by the Auditor to be critical and is likely to result in an immediate hazard to the quality or standard of the forest management systems and practices in the FMU.

The FMU is requested to notify SIRIM QAS International Sdn Bhd (SIRIM QAS International) of the proposed corrective actions taken within one month from the last date of the audit. The corrective actions as notified by the FMU shall be verified by the Audit Team Leader or a member of the audit team within three months from the last date of the audit.

- (ii) A minor NCR is a single observed lapse in compliance by the FMU with the MC&I

The FMU shall respond in writing to SIRIM QAS International within one month from the last date of the audit detailing the actions to be taken to address all minor NCRs. The effectiveness of the resulting actions taken by the FMU must be verified at the next surveillance visit.

- (iii) An OFI is a situation where the auditor has noted an area of concern on the capability of the forest management system to achieve conformance to the requirements of the MC&I but without sufficient objective evidence to support a non-conformity. The FMU shall submit a corrective action plan within three months from the last date of the audit. The closing of an OFI shall be made during the next surveillance audit.

## 3.0 SUMMARY OF AUDIT FINDINGS

A total of four (4) Minor [Indicator 4.1.2, 4.2.3, 4.2.5, and 5.2.1] Non-Conformance Report were raised on the Raplex FMU against the requirements of the MC&I SFM (MTCS ST 1002:2021). The audit team had examined all the proposed corrective action plans to address the NCRs raised during the audit by mail/email on 3 May 2023 which has been accepted by the audit team leader.

The effectiveness of the corrective actions taken by the FMU to address the Minor NCRs shall be verified by the audit team during the next surveillance audit.

The MC&I Standard has been designed and structured throughout nine (9) Principles which is covers requirements to support sustainable forest management with compliance of legislation, social, environmental and economic aspects. The table below shows the overall audit coverage of the FMU's activities and general audit findings for compliance (strength) and noncompliance (weaknesses) of the Raplex FMU against the MC&I requirements:

| Principle                                                                | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Weaknesses |
|--------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| <p><b>Principle 1</b><br/><b>Compliance With Laws and Principles</b></p> | <p>Raplex FMU maintains a comprehensive legal register documenting all relevant national and local laws, regulations, and policies related to forest management. Copies of these legal documents are housed at the Sg Pila base camp office, overseen by a designated staff member responsible for regular updates. The most recent legal update, the 'Minimum Wage Order 2020,' was recorded on 24 March 2021. The FMU also adheres to the latest Department of Forestry (DF) Circulars. Forest managers interviewed demonstrated awareness and knowledge of federal, state, and local laws and the regulatory framework.</p> <p>Four violations by Raplex FMU regarding liquidated damages were identified, raised by the Forest Department of Sarawak (FDS) in 2022 and 2023. Documentation reviews confirm accurate recording of these violations, with appropriate actions taken to address and resolve them. Consultations with the FDS affirm Raplex FMU's adherence to relevant regulations.</p> <p>The Sg Pila base camp office maintains a current list of all legally prescribed fees, royalties, taxes, and other charges, referencing the Fourth Schedule (Rates of Royalty and Premium), Forest Ordinance, 2015 – Chapter 71, and other relevant schedules and circulars.</p> <p>Forest managers interviewed confirms that they are knowledgeable on various international agreements relevant to forest management. These agreements include the International Labour Organization Conventions (ILO), Convention on Biological Diversity (CBD), International Tropical Timber Agreement 1994, Convention on Wetlands of International Importance Especially as Waterfowl Habitat 1971, United Nations Framework Convention on Climate Change (UNFCCC), and the Convention on International Trade in Endangered Species (CITES).</p> <p>Raplex FMU has identified conflicts between its Principles and Criteria and laws and regulations, specifically ILO Conventions No.87, 105, and 111. This was evident in a discussion record during the “Forest Management Certification Technical Meeting” on 17 December 2020. All forest managers under Ta Ann Holdings demonstrated their willingness to participate in resolving such conflicts, as verified through the “Declaration of Willingness to Participate” signed by the Forest Managers of the Ta Ann Group on 9 November</p> |            |

| Principle                                                                 | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Weaknesses |
|---------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
|                                                                           | <p>2021.</p> <p>Legal provisions safeguarding Raplex FMU are governed by Forest Timber License (FTL) No. T/0560, issued to Raplex Sdn Bhd, a Ta Ann Holdings Berhad subsidiary, by the Sarawak State Government on 2 February 1977. The FMU's boundaries, verified during a site visit, are clearly marked with orange paint and signposted.</p> <p>Efforts to prevent encroachment, illegal activities, and unauthorized actions were evident through air and ground surveillance, as outlined in the Forest Patrolling and Monitoring Schedule for 2023. A helicopter boundary monitoring on 15 February 2023, revealed no illegal logging along the FMU boundary, with ground verification on 20 – 21 February 2023, confirming no encroachment by adjacent licensees.</p> <p>Raplex FMU has a Sustainable Forest Management policy, signed by the CEO on 1 February 2021, expressing dedication to the sustainable management of natural resources through the implementation of the Malaysian Criteria and Indicators for Sustainable Forest Management (MC&amp;I SFM). Records indicate widespread communication of this policy within the organization, extending to stakeholders and forest contractors through briefings and meetings, such as the Company Policies briefing for Ironwall SB contractors and workers on 13 June 2022, and meetings with Rh. Gendang &amp; Rh. Empang involving 70 villagers on 22 April 2022. This policy is also publicly available on <a href="http://www.raplexfmu.com.my/policy/">http://www.raplexfmu.com.my/policy/</a>.</p> |            |
| <b>Principle 2<br/>Tenure and Use<br/>Rights and<br/>Responsibilities</b> | <p>Raplex FMU maintains comprehensive documentation of its legal status and established forest use rights at Sg. Pila Base Camp office. Among the legal instruments verified are the Majlis Adat Istiadat Sarawak Ordinance 1997, Adat Iban 1993, Adat Kayan Kenyah 1994, FTL T/0560 issued on 2 February 1977 (renewed until 1 February 2053), Forests Ordinance 2015 (Cap. 71), Interpretation Ordinance 2005 (Cap. 61), Land Code (Cap. 81, 1958 Ed.), Native Courts Ordinance 1992, Native Courts Rules 1993, and the Native Customs (Declaration) Ordinance 1996 (Cap. 22).</p> <p>The FMU's conflict resolution procedure, the "TA-SOP-COM-01 SOP Communication with Local Communities and Native" was verified and found to be compliant with MC&amp;I SFM requirements. Stakeholder consultations,</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |            |



| Principle                                             | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Weaknesses |
|-------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
|                                                       | <p>including discussions with the Communities Relation Committee (CRC) and local communities, confirmed that there are no legally contested land claims against Raplex FMU in 2022 and 2023. Additionally, records of CRC meetings, the last held in February 2023, showed no issues or disputes related to land claims.</p> <p>The FMU maintains documentation on the legal and customary tenure of local communities, storing records at Sg. Pila Base Camp office. Interviews with the FMU's forest managers show that they actively acknowledge and collaborate with those holding recognized tenure or use rights. The Social Impact Assessment (SIA) report and Forest Management Plan (FMP) detailed the locations of essential community areas which was verified through interviews and stakeholder consultations, involving the CRC and other local communities. Site inspection on licence boundaries near to local communities confirmed that the FMU has properly demarcate their areas with adequate signage and marked boundaries.</p> <p>The FMU continue to implement their dispute resolution mechanism over tenure claims and use rights, as evident by their "TA-SOP-COM-01 SOP Communication with Local Communities and Native" and through their website:<a href="http://www.raplexfmu.com.my/contact-us/process-of-conflict-resolution/">http://www.raplexfmu.com.my/contact-us/process-of-conflict-resolution/</a>.</p> <p>The FMU has no disputes, legal cases, or conflicts over tenure claims and use rights, which was verified through interviews and stakeholder consultations with the CRC and other local communities.</p> |            |
| <b>Principle 3<br/>Indigenous<br/>People's Rights</b> | <p>Raplex FMU maintains documentation on the customary rights of local communities' lands, in adherence to Sarawak Government Gazette G.N.3538 dated 27 August 2009. Ten (10) Permanent Inhabitants with continuous user rights for traditional activities like fishing, hunting, and collecting forest produces has been identified through this Gazette. Indigenous peoples' lands are safeguarded under the Sarawak Biodiversity Centre Ordinance, 1997, and the United Nations Declaration on Rights of Indigenous Peoples, 2007, with relevant copies available at the Sg. Pila Base Camp office. The FMU's conflict resolution mechanisms were reviewed and deemed sufficient against the MC&amp;I SFM requirements. There were no conflicts or grievances filed at the Native or Civil Courts</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |            |

| Principle                                                              | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Weaknesses                                                                                                                                                                                                                                                                                                                                                                                       |
|------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                        | <p>regarding legal and customary rights within or against Raplex FMU.</p> <p>Forest management practices within Raplex FMU affirm the recognition of local communities' lands, ensuring no direct or indirect threats to their resources or tenure rights. Documentation review, including the Environmental Impact Assessment (EIA) report, SIA report, and the High Conservation Value Forest (HCVF) report, identifies species/resources used by local communities. The FMU's FMP integrates preventive and mitigation measures which are aligned with Native Courts Ordinance, 1992, Native Courts Rules, 1993, and Native Customs (Declaration) Ordinance, 1996.</p> <p>Raplex FMU's procedure TA-SOP-CON-06 SOP Management and Monitoring of Native Forest-Related Traditional Knowledge and Special Sites, was sighted and confirmed that it is still used for the identification and protection of sights of special cultural, ecological, economic and/or religious significance to local communities. Verification of the lists and maps depicting these sites is in line with the FMU's own procedure. It has also been verified that the FMU has conducted consultations with six villages regarding permission to enter and demarcate protection areas between 2019 – 2023. Mechanism to resolve conflict regarding rights to access these sites is the TA-SOP-COM-01 SOP and has been verified to be publicly available for the communities.</p> <p>To date, no traditional forest-related knowledge or practices of indigenous peoples have been utilized in forest operations. The FMU has maintained the TA-SOP-CON-06 dispute mechanism procedure for fair and equitable compensation for any commercial use of traditional forest-related knowledge and practices.</p> |                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>Principle 4<br/>Community<br/>Relations and<br/>Workers' Rights</b> | <p>Interviews conducted with Raplex FMU's manager confirms their demonstrated commitment to providing training, retraining, infrastructure development, facilities, and socio-economic programs. The social policy, outlined in the Forest Management Plan (FMP), emphasizes creating job opportunities for qualified local individuals. Stakeholder consultations with CRC members confirmed this commitment, with the FMU conducting awareness programs and site visits by a Social Liaison Officer in 2022/2023. Training and retraining programs were reviewed, with 12 out of 23 sessions sampled during the current audit, covering various aspects such as forest</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <p>Documentation review on 3 sampled workers shows the unavailability of employment records and unclear terms and conditions. <b>Therefore, a Minor NCR was raised against Indicator 4.1.2</b></p> <p>Site inspection on the workshop and store in Sg Pila Camp was found that a Chain Blocks used were without safety latch. <b>Therefore, a Minor NCR was re-issued on Indicator 4.2.3</b></p> |

| Principle | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Weaknesses                                                                                                                                                                                         |
|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|           | <p>road construction, waste management, Chain of Custody (CoC) implementation, and Reduced Impact Logging (RIL) operation.</p> <p>Preferential employment and contract work opportunities were documented for communities within or near the Raplex FMU. Efforts to recruit without gender discrimination were verified through a record during social awareness programs. Interviews with the FMU's managers affirmed their compliance with regulations and standards related to employment, emphasizing the avoidance of illegal migrant workers, child labor, and forced labor. Passport details and visas for workers were sampled and found to be in compliance with relevant laws and regulations. Employment records were confirmed to align with all relevant international and local laws.</p> <p>Through interviews and documentation verification, it has been confirmed that forest workers have been provided with updated information on all pertinent laws and regulations related to occupational safety and health in both Bahasa Malaysia and English. Verification of four accident records affirms their compliance with the relevant health and safety laws and regulations. Additionally, this verification has effectively addressed an Opportunity for Improvement (OFI) raised on Indicator 4.2.1 during the previous audit.</p> <p>Safety equipment, such as compressors, first aid kits, fire extinguishers, and personal protective equipment, was inspected and found to be in good condition. The appointment of a Camp Safety Coordinator and the establishment of Safety and Health Committees were verified through organizational charts and worker interviews. The Occupational Safety and Health Policy dated 1 June 2016 was prominently displayed.</p> <p>Operational procedures were made available and verified at the Sg. Pila base camp office. Forest workers are well-versed in these procedures, encompassing safety protocols, equipment management, and vehicle operations. During a site visit in Block 17 Coupe 04R, verification of the maintenance records for an excavator and a tractor revealed proper documentation. This has prompted the closure of a previously raised Minor NCR on Indicator 4.2.3.</p> <p>Hazardous area demarcation and guidelines for hazardous material handling in workshops, both in the nursery and Sg Pila Base Camp, were found to be adequate. The workers interviewed in the workshop and nursery also</p> | <p>Site inspection on schedule waste store in Sg Pila Camp found that a drum of contaminated soil was not labelled adequately. <b>Therefore, a Minor NCR was re-issued on Indicator 4.2.5.</b></p> |

| Principle | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Weaknesses |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
|           | <p>showed that they were aware of their health and safety for their jobs.</p> <p>To ensure the corrective action plan effectively addressed a Minor NCR on Indicator 4.2.5 raised on the last audit, the Chemical Hazardous Risk Assessment (CHRA) for welding activities were verified and confirmed to be in compliance with Occupational Safety and Health laws, leading to the closure of the Minor NCR.</p> <p>The Social Policy approved by the Raplex FMU's CEO on 1 February 2021 confirmed that the FMU supports the freedom of workers to organize into unions of their choice and engage in collective bargaining, with a commitment to non-discrimination in the workplace. Employee rights to employment benefits and social protection are ensured under relevant laws and regulations, as evidenced by documentation at Sg. Pila Base Camp office. Confirmation from the Labour Department of Kapit and verification of records at Sg. Pila Base Camp shows that there is no issue regarding workers' rights.</p> <p>The procedure (SOP-HR-01) Employee Grievances is outlined as the procedure for handling employee grievances. Interviews with workers confirm the effective mechanism for conflict resolution, involving consultation with immediate mandore/supervisor and escalation to camp management if necessary. The establishment of the Employee Representative Committee (ERC) is evident in meeting minutes discussing various aspects, including human resources, housing maintenance, work attire, transportation, water supply, annual meeting plans, and the employee complaint process. The ERC meetings held on 11 April 2022, 29 November 2022, and 11 March 2023 were verified through documentation review and confirm the commitment of the FMU in addressing employee concerns and ensuring effective communication.</p> <p>The results of the SIA conducted in 2017 have been integrated into the forest planning and management of the FMU. Information on the results of the social impact evaluations was made accessible to the affected communities through two meetings in 2022, which were verified through the meeting records. The first meeting in 2022 was held on 22 April 2022, involving CRC members and nine local communities. The second meeting in 2022 was held on 15 July 2022, involving CRC members and 17 local communities.</p> <p>The FMU's FMP outlines extensive measures to safeguard the legal and customary rights,</p> |            |

| Principle                                                  | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Weaknesses                                                                                                                                                                                                                                                                                 |
|------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                            | <p>property, resources, and livelihoods of local communities. Stakeholder consultations with the CRC members and five local communities confirmed no instances of loss or damage since the last audit. Mechanism to expeditiously resolve grievances and provide fair and equitable compensation for any loss or damage are outlined in the procedure Management and Monitoring of Native Forest-Related Traditional Knowledge and Special Sites (TA-SOP-CON-06). To date no records of compensation, negotiation, Courts' cases, mediation or arbitration as no loss or damage affecting local communities occurred since last audit.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                            |
| <p><b>Principle 5<br/>Benefits From<br/>the Forest</b></p> | <p>Investments and reinvestments in forest management at Raplex FMU cover various provisions, including forest administration, research, human resource development, protection, economic, conservation, environmental, and social aspects. Budgets allocated for Human Resource Development and Audits are detailed in the 2023 Annual Budget and 2022 Annual Expenditure. In 2022, Raplex FMU spent a total of RM 28.2 million, and auditors verified documents on budgeted and actual expenses. The revised Forest Management Plan (Revision 02, May 2021) emphasizes the goal of maintaining, restoring, or enhancing the productive capacity and ecological integrity of the FMU for economic viability.</p> <p>Silvicultural treatments, specifically Kelampayan Enrichment Planting, have been implemented on post-harvest areas to improve stocking and growing volume in production forests, as outlined in Chapter 9 of the FMP. The enrichment planting program details the number of trees planted in various coupes from 2019 to March 2022. A site visit conducted confirmed the silvicultural treatment activities along the skid-trail in the logged-over area of Coupe 04 Block 19.</p> <p>To ensure proper forest resources inventory the Raplex FMU included in its FMP a 'Baseline assessment of Forest Stand'. Information on growth for consequent yield predictions are based on data collected from 32 permanent sample plots (PSP) in Continuous Forest Inventory (CFI). A site inspection in PSP#3-SU13 (Coupe 14) verified the accuracy of measurements taken by the FMU.</p> <p>Raplex FMU uses the 'Guidelines for Reduced and Low Impact Logging Systems in Forest Management Certification (Natural Forest) Area, second edition, 2014' as a basis for their</p> | <p>Site visit in active harvesting and pre-harvesting area in Block 47 &amp; 48, respectively, shows that there are some Potential Crop Trees (PCT) that were not tagged as per the procedure used by the FMU.</p> <p><b>Therefore, a Minor NCR was raised against Indicator 5.2.1</b></p> |

| Principle | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Weaknesses |
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|           | <p>implemented low impact logging procedures. The Revised Detail Harvesting Plan (DP)/Road Plan for Coupe 04 and Coupe 05 were verified as approved by FDS, encompassing various blocks, gross areas, operable areas, and specific zones like PSP plots and buffer areas.</p> <p>Site inspections in both active harvesting and post-harvest area in Coupe 04 revealed minimal damage to the residual stand, which is in-line with their implemented procedure. The FMU had implemented various procedures according to their reduced impact logging guidelines, covering block demarcation, pre-harvest inventory, planning, and marking of log landing and skid trail, among others. The FMU conducted regular trainings on RIL techniques for their workers and staffs, as verified through interviews with workers and staffs in Coupe 04.</p> <p>Raplex FMU focuses primarily on log production and does not currently have plans to produce mixed commercial forest products or the utilization of non-timber forest products. However, the FMU permits local communities to gather non-timber products for their own consumption.</p> <p>Through documentation review and site visit, it was observed that the FMU has diligently demarcated and safeguarded sensitive areas, including soil and water, watercourses, and wetlands, incorporating riparian buffers, Terrain IV, water catchments, and other High Conservation Value (HCV) zones. These conservation efforts and protective measures are comprehensively detailed in Chapter 11 of the the FMU's FMP.</p> <p>The General Harvesting Map outlines the designated protected areas, encompassing Terrain IV (1,284 ha), River Buffer (513 ha), and Community Use Area (16,962 ha). The revised Detail Harvesting Plans for Coupe 04 and Coupe 05 explicitly illustrate the demarcation of river buffers. Site inspections of Stream Bank Reserves (SBR) at Sg. Talah in Block 4 and 5, as well as at Sungai Gulang in PSP no. 3 within Coupe 04, confirmed adequate signage and marked boundaries in demarcating these areas.</p> <p>Raplex FMU has set their Annual Allowable Cut (AAC) at 63,989 m<sup>3</sup>/year, which aligns with a 25-year cutting cycle and a total productive area of 45,150 ha. The Monthly Production Limit (MPL) approved by FDS was 7,560 m<sup>3</sup>/month. Verified through the 'Raplex FMU Log Production Summary for Jan-Dec 2022,' the actual production from January to December 2022 was only 52,302 m<sup>3</sup>. This</p> |            |

| Principle                                       | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Weaknesses |
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|                                                 | indicates that the FMU's log production has not exceeded the AAC limit and is sustainable.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |            |
| <b>Principle 6<br/>Environmental<br/>Impact</b> | <p>The Raplex FMU's FMP (1 Jan 2018 – 31 Dec 2027) (Rev 02) integrates an EIA report that was approved by the Natural Resources and Environment Board (NREB) in December 2017. The FMP addresses potential impacts on endangered species and the need for biological corridors, detailing mitigation measures in Chapter 1.5.2 - Biodiversity and Chapter 12 - High Conservation Value (HCV), as well as in Chapter 7.2.4 – Mitigation Measures Recommended by the Environmental Consultant. Although no Environmental Monitoring Report (EMR) assessment occurred in 2022, an External Environmental Compliance Audit (EECA) pilot project was conducted and verified through a report.</p> <p>The FMU actively implements measures to identify and safeguard endangered species and their habitats, as outlined in the procedure TA-SOP-CON-05 (version 3) and in Guidelines 7 and 8 of the Manual, Procedures, and Guidelines for Forest Management Certification in Sarawak (Natural Forest) 2019 (The Green Book). A site inspection in Coupe 04 confirms that protected trees are marked and found to be intact and undamaged. Procedures on Identification and Demarcation of Block Boundary and Area for Protection and Conservation (TA-SOP-RIL-01) are available and implemented, as evidenced in the demarcation of riparian buffers, verified during the site inspection in Coupe 04.</p> <p>Guidelines for establishing representative conservation and protection areas are available and implemented through the Implementation of Pre-Harvest Inventory (TA-RIL-02) procedure and Guidelines 10A &amp; 10B of the Green Book. Conservation initiatives involving collaborations with other organizations are evident in a joint project with FDS called 'Projek Rakyat.' The FMU also employs two Honorary Wildlife Rangers (HWLR) to control hunting, fishing, and collecting activities. Monitoring activities conducted by the HWLR personnel were verified through inspection, patrolling, and awareness briefing records.</p> <p>Regular awareness training sessions for local communities and FMU workers emphasize the protection of endangered species. Documentation, including slide shows, training materials, and attendance lists, validates the</p> |            |

| Principle | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Weaknesses |
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|           | <p>completion of these sessions. Posters and leaflets on endangered species were prominently displayed across the FMU in strategic locations, including the Office, Canteen, Workshop, and worker's housing.</p> <p>Enrichment planting activities, specifically the planting of Kelampayan trees, were verified in skid trails, feeder trails, main trails, and log landing areas. Records show that a total of 88,272 trees have been planted since the year 2019 with an average survival rate of around 90%. Post-harvest assessment plots in Block 10 and Block 29, Coupe 04, were set up and evaluated for any logging damage using the 'RIL Post Logging Assessment Form.' The measurement of the plot dimensions and trees was verified during site inspections.</p> <p>Conservation efforts, guided by the 'Conservation of Genetic, Species, and Ecosystem Diversity in the FMU' guidelines, were implemented and verified. Harvesting designs are considered for the conservation of water streams as features of special biological interest for wildlife, verified on-site in Coupe 04 in pre-harvesting, active harvesting, and post-harvesting areas. In the same Coupe, riparian buffers along Sg Gulag, Sg Talang, and Sg Tajan were verified demarcated using blue paint.</p> <p>Representative areas of existing forest ecosystems, appropriate to the scale and intensity of forest management operations, have been identified. These include Permanent Sample Plot (PSP) no. 55 in Coupe 01 and water catchment zones in Coupes 01, 18, and 19. These protected areas are demarcated on the 'Representative of Existing Forest Ecosystem (RoEFE)' map dated 5 June 2020.</p> <p>Four guidelines for protecting soil from compaction and erosion due to harvesting operations were verified. A site visit to Block 17 Coupe 04 confirmed compliance with these guidelines, where road layout and drainage met specifications. Proper marking of buffer zones was also observed along the streams. Buffer zone conservation was evident at Sg. Pila Base Camp, where previously constructed logging roads inside buffer zones have been replanted. Water pump houses and worker housing have also been relocated outside the buffer zone. One way to monitor water pollution due to forest operations is through Environmental Compliance (ECA) audits, which the FMU has implemented since 2019. ECAs are conducted every six months, and the results are submitted to NREB. The latest</p> |            |



| Principle | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Weaknesses |
|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
|           | <p>ECA, conducted from 16-18 February 2022, reported no major water pollution from re-entry logging areas.</p> <p>Detailed Harvesting Plans (DPs) for various coupes between 2019 – 2021 have been verified to have approvals from relevant authorities. A site visit to post-harvest assessment plots in Coupe 14AR revealed minimal damage, with proper tagging for residual trees, protection trees, and potential harvest trees. Both the forest fire management plan and fertilizer application program were observed and verified.</p> <p>Raplex FMU's environmental policy, signed by the CEO on 1 February 2021, emphasizes the use of environmentally friendly, non-chemical pest management methods and discourages the use of chemical pesticides. Interviews with forest managers and nursery workers confirm awareness of this policy. Guidelines for the handling of agrochemicals are available at the nursery. A site visit verified the registration of chemicals used, along with the availability of safety data sheets (SDS) for specific chemicals. Verification confirms that the SDS is accessible to all employees, and records such as the 'Chemical Register' and 'Record of Inventory and Usage of Chemicals' were available and updated.</p> <p>Personal protective equipment (PPE) issued to workers was verified to be in compliance with occupational safety and health regulations. Interviews with nursery workers show that they were knowledgeable about chemical handling procedures. Training sessions for the nursery workers, covering safety, health, and hazardous chemical handling, were verified to have been conducted between August and November 2022. Ta Ann Group FMU's implementation plan for the reduction of the use of chemical pesticide 'Reduction of Use of Chemical Pesticides,' dated 10 June 2021, was sighted and verified.</p> <p>Procedures on waste disposal and recycling of liquid and solid non-organic waste (TA-SOP-EMS-01) were sighted. Scheduled wastes at the nursery and Sg. Pila Base Camp are appropriately disposed of through Ironwall Sdn Bhd and registered contractors, with records verified through Despatch Advise (DA) and Consignment Note (e-Swis). The inventory of scheduled wastes was made available and was verified that it was updated monthly through the e-Swis system.</p> <p>There are no uses of biological control agents in Raplex FMU.</p> |            |

| Principle                                  | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Weaknesses |
|--------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
|                                            | <p>An exotic species, <i>Acacia mangium</i>, was once produced at the nursery for plantation at LPF/0040. This was discontinued and verified in the present audit. It was also verified that the FMU still continues to monitor the potential spread of wild acacias using the procedure “Control and Monitoring of Exotic Species in Natural Forest FMUs” as a guide.</p> <p>There is no conversion of natural forest to a forest plantation or other land use in Raplex FMU.</p> <p>There was no plan for converting the forest area to plantations. Conversion of natural forest into non-forest land use had not occurred within the FMU.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |            |
| <b>Principle 7<br/>Management<br/>Plan</b> | <p>The Forest Management Plan (2018–2027) for Raplex FMU has been made available and verified to meet all requirements under Criterion 7.1. Plans for revising the FMP in 2023 include reassessing PSP plots, updating baseline data, integrating monitoring results, introducing new techniques, and adapting to changing environmental, social, and economic factors.</p> <p>Interviews with forest managers and documentation reviews indicate their awareness of new scientific information. Collaborative reports with University Malaysia Sarawak (UNIMAS) on wildlife dynamics, a field project with FDS on buffer zone size, and a study on the effect of logging on termites are among the newly acquired scientific insights. Records also show the manager’s attendance at seminars/conferences such as the Malaysia Conservation Conference in Kuching (March 29–31, 2022), a session on Green House Gas (GHG) emission quantification (April 7, 2022), and the MTCC International Conference: “Exploring Future Frontier” in Kuala Lumpur (March 15–16, 2023).</p> <p>Job descriptions for various positions were outlined in the Service Contract (Kontrak Perkhidmatan) for individual workers hired by the FMU. The contracts were agreed upon and verified through the workers’ signatures.</p> <p>The annual training program for 2023, titled “2023 Briefing and Training Programme TAG FMU’s,” has been confirmed and verified. The training, starting in May 2023, covers topics such as Safety and Health Aspects of Forest Operation, waste management, Reduced Impact Logging (RIL), conservation, ecosystem management, field manual for</p> |            |

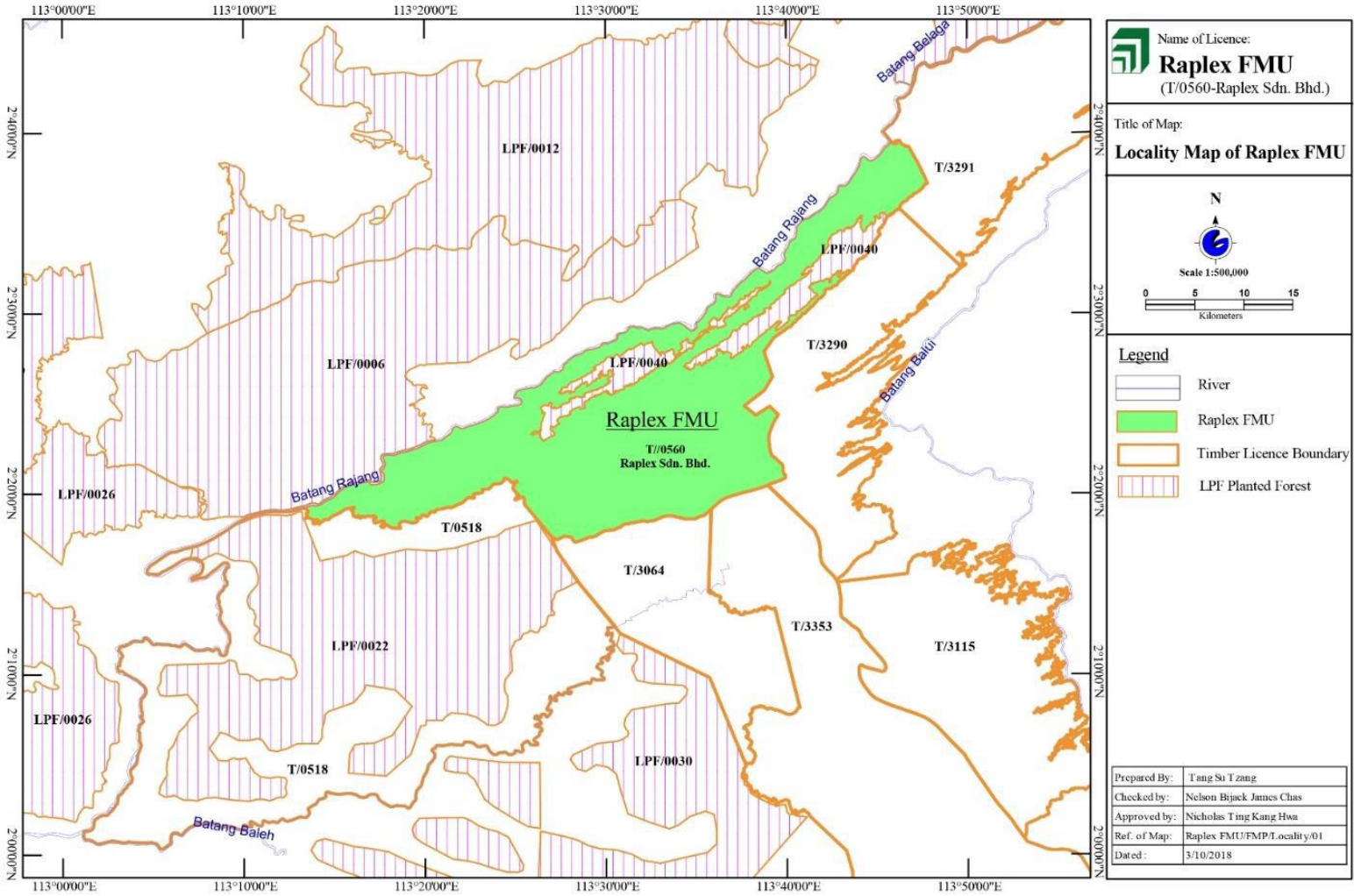
| Principle                                                   | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Weaknesses |
|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
|                                                             | <p>PSP, and vehicle maintenance and logging road safety.</p> <p>In 2022, the FMU completed a total of 21 external and internal training courses, covering various aspects like buffer zone management, forest road construction and maintenance, handling hazardous chemicals, and field manual for PSP. To address a Minor NCR raised in the last audit, it was verified that a training on Social Monitoring and Social Engagement Planning was planned and completed with the attendance of 12 personnel from the FMU. Consequently, the Minor NCR raised on Indicator 7.3.2 from the previous audit has been satisfactorily closed.</p> <p>The public summary of the Raplex FMU's FMP (2018–2027) has been confirmed to be accessible through the website: <a href="http://www.raplexfmu.com.my/public-summary/forest-management-plan/">http://www.raplexfmu.com.my/public-summary/forest-management-plan/</a>.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |            |
| <p><b>Principle 8<br/>Monitoring and<br/>Assessment</b></p> | <p>FMU's monitoring procedures were verified to be in compliance with the FDS monitoring procedure 'Instructions for the Inspection of Logging Areas, 1982' and the 'Procedures for the Inspection of Harvesting Areas 1999'. Several monitoring procedures identified and implemented by the FMU were observed. These procedures include 'TA-SOP-MTR-01 - Monitoring of Social, Ecological, Environmental and Economic Impact', 'TA-SOP-FRA-01 - Forest Resource Assessment', and the 'Technical Manual for Forest Resource Assessment'. It was also confirmed that the FMU adhered to the guidelines outlined in the Green Book, 2019, covering areas such as forest zoning, monitoring of high conservation values, social impact assessment, identification of protected species, fauna conservation, and conflict resolution.</p> <p>The forest manager's execution of monitoring activities to assess social, ecological, environmental, and economic impacts was verified through the "Forest Monitoring Report" spanning from January to December 2022. Field visits and consultations with local communities affirmed that Social Impact Monitoring had been adequately conducted.</p> <p>Through a documentation review, it has been verified that Raplex FMU underwent an internal audit on 13-18 February 2023 and a management review on 14 March 2023. The internal audit and management review programs sighted are confirmed to align with APPENDIX A of MC&amp;I SFM. The internal audit identified 1 Major and 3 Minor NCRs, which were addressed and resolved through</p> |            |

| Principle | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Weaknesses |
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|           | <p>corrective action plans. The management review discussed findings, NCRs, corrective actions, and activities for continual improvement in FMU operations.</p> <p>All monitoring items from Criterion 8.2 (a) through (e) have been appropriately included in the FMU's management. Key documents sighted include "Growth Monitoring for Kelampayan Enrichment Planting (Coupe 13, 14 &amp; 15) dated August 2022 for Coupe 11A", "Wildlife monitoring using camera trapping method at Block 11, 19, 22 &amp; 25 in Coupe 4 - 28 June 2022" and the "Minit Mesyuarat Jawatankuasa Perwakilan Masyarakat Unit Pengurusan Hutan Raplex (Raplex FMU) (Triennial CRC) on 15 July 2022". Field verification conducted on PSP plot in Coupe 04, pre-harvesting area in Block 48 Coupe 04, riparian buffer in Sg Talah and Sg Gulag confirms that monitoring activities were adequately carried out.</p> <p>Field and documentation verification confirms that Raplex FMU provided all the necessary documentation to ensure any products leaving the certified area can be identified, allowing for their origin to be determined. Documents verified include the Daily Felling Record – Coupe 04, Block 19A dated 12 January 2023, the Daily Production Summary dated 18 January 2023, and the Log Verification Notification (LVN) dated 27 January 2023.</p> <p>To address a Minor NCR raised in the last audit, the auditor sampled seven tagged stumps in Block 19 Coupe 04. These seven stumps were verified to be traceable through their tag identification after leaving the Raplex FMU. Consequently, the Minor NCR raised on Indicator 8.3.1 from the previous audit has been satisfactorily closed.</p> <p>The FMP is scheduled for revision in 2023, its 5th year, as confirmed through document verification. The revision will involve reassessing PSP Plots, updating baseline data, incorporating monitoring results, adopting new techniques, and adjusting to evolving environmental, social, and economic factors. The current FMP (2018-2027) has already integrated findings from various indicators, covering the yield of harvested forest products, growth rates, forest regeneration, flora and fauna composition, observed changes, and the environmental and social impacts of harvesting and other operations.</p> <p>The public summary of forest monitoring indicators for Raplex FMU was confirmed to</p> |            |

| Principle                                                                         | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Weaknesses |
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|                                                                                   | <p>have included key aspects from the FMP, such as background information, forest resource assessment, management plan prescriptions, harvesting systems, pre-harvesting, harvesting operations, environmental and social impact assessments, wildlife management, protected areas, high conservation values (HCV), community relations, occupational safety, forest fire, climate change, and annual forest monitoring. Additionally, the website provides insights into the costs, productivity, and efficiency of forest management, covering various aspects like the cost of forest management, productivity, and efficiency related to machine use, harvesting, enrichment planting, and road maintenance. The public summary is accessible through: <a href="http://www.raplexfmu.com.my/public-summary">http://www.raplexfmu.com.my/public-summary</a>.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |            |
| <p><b>Principle 9<br/>Maintenance of<br/>High<br/>Conservation<br/>Values</b></p> | <p>The assessment of attributes consistent with High Conservation Value (HCV) areas for Raplex FMU was conducted by the Sarawak Forestry Corporation from 14-26 March 2017. The report, titled "High Conservation Value Assessment Report T/0560 - Raplex Sdn Bhd," dated June 2017, was made available and verified. Multiple HCVs were identified and demarcated on the map titled "High Conservation Value Area," dated 24 December 2018. These HCVs were identified in consultation with government agencies, NGOs, universities, and local communities.</p> <p>The FMU engaged in consultations with local community representatives, FDS, Land Survey Department, and CRC members to explore options for maintaining or enhancing the identified HCV areas. Minutes detailing the consultations held on 14-15 February 2022, 20 March 2022, 22 April 2022, and 14 December 2022 were made available and verified.</p> <p>In 2021, it was confirmed that the FMU submitted an HCV monitoring report to WWF-Malaysia, FDS, SFC, and UNIMAS for feedback. Only UNIMAS provided feedback, recommending the continuation of monitoring and management plans. The Forest Management Plan (FMP) confirmed that established HCVs are protected and considered in forest operations.</p> <p>Measures to demarcate, maintain, and/or enhance the HCV attributes were outlined in Chapter 12 of the FMP. These measures can be accessed publicly through <a href="http://www.raplexfmu.com.my/hcv/">http://www.raplexfmu.com.my/hcv/</a>.</p> |            |

| Principle | Strengths                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Weaknesses |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
|           | <p>Site verification of HCV 4.1 Terrain IV in Block 19 confirmed that the HCV's boundary is clearly demarcated with blue paint. The observed HCV area showed no signs of disturbance.</p> <p>It was confirmed that annual monitoring of these HCV areas was conducted through the procedure "Management and Effectiveness Monitoring of High Conservation Value (HCV) Area." The 'High Conservation Value Monitoring Report Year 2022' was made available and verified. Results and findings from HCV monitoring activities have been incorporated into the revised Forest Management Plan (2018 – 2027).</p> |            |

Map of Raplex FMU



## Experiences and Qualifications of Audit Team Members

| Names of Audit Team | Role                            | Qualification and Experience                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
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| Hj.Roslee Jamaludin | Auditor /Team Leader / Forester | <p><u>Academic Qualification:</u><br/> B.Sc. of Forestry (Forest Management), University Putra Malaysia.<br/> Diploma in Forestry, Mara Institute of Technology, Malaysia.</p> <p><u>Work Experience:</u><br/> A retiree of Forest Conservator from Forestry Department of Peninsular Malaysia, with 36 years of experience in forest management, operation and administration. Has been working in various position since appointment in 1977, which includes Assistant Forest Management officer in Kuantan, Forestry Department of Pahang, Assistant District Forest Officer in Kuala Lipis Pahang and Segamat, Johor. Forest Plantation Officer in Johor and Terengganu, Forestry Officer for the State of Malacca. Assistant Director for Forest Harvesting and Industries in Selangor, District Forest Officer in Dungun Terengganu, Assistant Director for Forest Management in Negeri Sembilan and the State Director of Forestry Penang before retirement in April 2015. Has involved in several Working Committee form by the Forestry Department of Peninsular Malaysia to further improve the existing procedures, such as, Forestry Manual, Forest Road Guidelines, Forestry Rules, other guidelines regarding the MC&amp;I for forest certification. A member of MAJURUS was appointed as an Internal Auditor for the MC&amp;I. Has attended several forestry Conference overseas and local. A member of IRIM (Institut Rimbawan Malaysia). Appointed as a facilitator and trainer for Forest Road Guidelines by Forestry Department Peninsular Malaysia.</p> <p><u>Training / Research Areas:</u></p> <ul style="list-style-type: none"> <li>• Had Attended and Passed the following Training programmes:</li> <li>• Auditor Training Course on Malaysian Criteria and Indicators for Forest Management Certification [MC&amp;I (Natural Forest)] &amp; [MC&amp;I (Plantations)] organised by MTCC, 9 – 10 July 2015</li> <li>• EMS 14001: 2004 Lead Assessor Training Course organised by SIRIM Training Services Sdn Bhd, 23 Nov. – 27 Nov. 2015.</li> <li>• Briefing on RSPO Principle &amp; Criteria (HCV) organised by SIRIM QAS (Food, Agri &amp; Forestry Section) 21 August 2015.</li> <li>• Workshop on Auditing Technique for FMC (2) organised by SIRIM QAS (Food, Agri &amp; Forestry Section) 26 Jan 2016.</li> <li>• FMC Workshop 28<sup>th</sup> -29<sup>th</sup> November 2017</li> <li>• FMC Workshop for 2018 organised by SIRIM (Food,Agr. &amp;Forestry) 22 November 2018</li> <li>• COC Workshop 2018 organised by SIRIM 26 November 2018</li> <li>• Auditor Training Course on PEFC Chain of Custody Certification organized by MTCC on 19<sup>th</sup> -20<sup>th</sup> December 2018.</li> <li>• MSPO Training Course 16-17 Feb 2019 organised by SIRIM.</li> <li>• Forest Management Certification (FMC) Workshop' for 2019 on 25 &amp; 26 June 2019 organised by SIRIM QAS (Food,Agri &amp;Forestry Section).</li> </ul> |



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|                          |                  | <ul style="list-style-type: none"> <li>CoC Workshop for 2019 on 27/6/2019 organised by SIRIM QAS (Food, Agri &amp; Forestry Section).</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| Ismail Adnan Abdul Malek | Auditor/Forester | <p><u>Academic Qualification:</u></p> <p>Master of Forestry, University of British Columbia, Canada</p> <p><u>Work Experience:</u></p> <p>One year (1974-1975) experience as Sub Assistant Conservator of Forest at the Pahang Forest Department, involved with Forest Administration/Management and Enforcement. Next, seven years (1979-1986) experience as Forest Officer/Logging Superintendent at Syarikat Jengka Sdn. Bhd (SJSB), an integrated timber complex in Pahang. Responsible for Forest Licensing/ Administration, Forest Mapping, Road Construction and Logging Operations. Senior Lecturer at the Forestry Faculty, Universiti Putra Malaysia (UPM) from 1986 to 2014. Responsible for teaching and research in Forest Mensuration, Forest Survey, Forest Road, GIS/Remote Sensing and Forest Mapping. Responsible for academic supervision of more than 100 Bachelor/Master/Phd students in their research and thesis writing. Own research at UPM include various areas such as forest mapping using geo spatial tools, forest inventories, forest harvesting and forest management system (SMS). Also involved with consultancy works which include RPH development and Forest Mapping. Participate in organizing local/international seminars on Forestry areas. Published and presented research findings at seminars/conferences and journals. Currently as Auditor at the Food, Agriculture and Forestry /ion (FAF), SIRIM QAS International Sdn Bhd, since 2016. Involved in conducting assessments on forest management certification MC&amp;I (Natural Forest) &amp; MC&amp;I (Forest Plantations).</p> <p><u>Training / Research Areas:</u></p> <ul style="list-style-type: none"> <li>Auditor Training Course on MC&amp; I (Natural Forest) and MC&amp;I (Forest Plantation V2), 9th-10th July 2015, SIRIM QAS International Sdn Bhd</li> <li>ISO 14001:2004 Lead Assessor Training, 23rd-27th Nov 2015, SIRIM Training Services Sdn. Bhd</li> <li>Training on Auditing Techniques, 26th January 2016, SIRIM QAS International Sdn Bhd</li> </ul> |
| Puteri Arlydia Abdul     | Auditor/Forester | <p><u>Academic Qualification:</u></p> <p>B.Sc of Forestry (Forest Production), University Putra Malaysia.</p> <p><u>Work Experience:</u></p> <p>1 year with Forestry Department Peninsular Malaysia (JPSM) 2007-2008, 3 years with Forest Plantation Development Sdn Bhd (Wholly owned by MTIB) 2008 – 2011, 1 year with Transparency International Malaysia 2011-2012, 3 years with Intertek Certification International Sdn Bhd 2012-2015 and with Sirim QAS International from 2015 onwards. Her working experience cover forest elements among others, Geographic Information System, Remote Sensing, Forest Governance Integrity and Local Communities programs and auditing in ISO 9001 (Quality), ISO 14001 (Environment), PEFC Chain of Custody and PEFC MC&amp;I (both Natural and Plantation Forest).</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |

|  |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|--|--|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  |  | <p><u>Training / Research Areas:</u></p> <p>Was attending and pass in the following training programmes:</p> <ol style="list-style-type: none"> <li>1. ISO 9001: 2008 Lead Auditor Course dated 19-23/03/2012</li> <li>2. MC&amp;I (Natural and Plantation) Lead Auditor Course 9-10/07/2015</li> <li>3. Training on ISO 9001:2015 (final version) dated 21/09/2015</li> <li>4. ISO 14001: 2004 Lead Auditor Course dated 18-22/05/2015</li> <li>5. Aspect and Impact Mitigation and Environmental Laws dated 27/05/2016</li> <li>6. Schedule Waste Handling dated 1/06/2016</li> <li>7. ISO 14001:2015 dated 18/09/2017</li> <li>8. PEFC CoC by MTCC dated 6 &amp; 14/12/2017</li> </ol> |
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**Comments Received from Stakeholders and Responses by Audit Team Leader**

| No. | Stakeholder                                        | Comments/Issues Raised                                                                                                                                                                                                                                                                                                   | Response by Audit Team                                                                                                                                                                                                                                                                                     |
|-----|----------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1   | Sarawak Forestry Corporation.<br><br>31 March 2023 | Please note that based on the coordinate given by SIRIM are way too far from the licence boundary for Raplex FMU. The Locality map is attached, and it shows that the area of Interest (AOI) is adjacent to Hose Mountain National Park. We require the Raplex FMU to keep a buffer zone of at least 500m from the park. | Noted<br><br>Based on the Locality map provided by FMU, showed Raplex FMU (T/0560) and Access Road Linking to Nanga Bawal Logpond (CDC), the area bordering the southern part is licence area T/3353 (the same area, as the map showing Hose Mountain National Park provided by SFC bordering Raplex FMU). |

## Surveillance 4 Audit Plan (2023)

| DAY                                 | TIME               | PROGRAM                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                   |
|-------------------------------------|--------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                     |                    | AUDITOR 1<br>(Hj.Roslee )                                                                                                                                                                                                                                                                                  | AUDITOR 2<br>(Ismail)                                                                                                                                                                                                                             | AUDITOR 3<br>(Puteri )                                                                                                                                                                                                            |
| Day 0<br><br>Sunday<br><br>2/4/2023 |                    | Travel from KLIA to Sibu (MH 2716- 0830-1030)<br>Travel to Basecamp                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                   | <ul style="list-style-type: none"> <li>Travel from KLIA to Bintulu ( MH2742) 08.20-10.40</li> <li>Travel from Bintulu to Belaga</li> <li>Overnight at Belaga Town</li> </ul>                                                      |
| Day 1<br><br>Monday<br><br>3/4/2023 | 8.00 am – 12.30 pm | AUDITOR 1<br>(Hj.Roslee)                                                                                                                                                                                                                                                                                   | AUDITOR 2<br>(Ismail)                                                                                                                                                                                                                             | AUDITOR 3<br>(Puteri)                                                                                                                                                                                                             |
|                                     |                    | <ul style="list-style-type: none"> <li>Opening Meeting with representatives of FMU</li> <li>Briefing session by Forest Manager of the FMU</li> <li>Follow up on NCR from previous audit.</li> <li>Check on complaints, stakeholder comments and follow-up actions (if any)</li> </ul>                      |                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                   |
|                                     | 2.00 – 5.00 pm     | <u>Documentation and records review</u> <ul style="list-style-type: none"> <li>Principle 1 – Compliance with Laws</li> <li>Principle 4 - Community Relations and Worker's Right - <b>Indicator 4.2.5</b></li> <li>Principle 5 – Benefits from the forest</li> <li>Principle 7 – Management Plan</li> </ul> | <u>Documentation and records review</u> <ul style="list-style-type: none"> <li>Principle 6 – Environmental Impact</li> <li>Principle 8 – Monitoring and Assessment</li> <li>Principle 9 – Maintenance of High Conservation Value Areas</li> </ul> | <ul style="list-style-type: none"> <li>Consultation with local community               <ul style="list-style-type: none"> <li>RH Tanjong</li> <li>Sekapan Panjang</li> <li>Uma Tevok</li> <li>Sekapan PIIT</li> </ul> </li> </ul> |
|                                     |                    | Travel to Bintulu from Belaga, overnight in Bintulu<br><br><ul style="list-style-type: none"> <li>Review of Day 1 Findings by Audit Team Leader</li> </ul>                                                                                                                                                 |                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                   |

|                                                             |                      |                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                  |
|-------------------------------------------------------------|----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Day 2</b><br><br><b>Tuesday</b><br><br><b>4/4/2023</b>   | 7.00 am –<br>5.00 pm | <b>AUDITOR 1</b><br><b>(Hj.Roslee)</b>                                                                                                                                                                                                                                                    | <b>AUDITOR 2</b><br><b>(Ismail)</b>                                                                                                                                                                                                                                                                                                                          | <b>AUDITOR 3</b><br><b>(Puteri)</b>                                                                                                                                                                                                                                                                              |
|                                                             |                      | <ul style="list-style-type: none"> <li>• Inspection of active harvesting area : Block 47,Coupe 04</li> <li>• Inspection of Pre-harvesting: Block 48, Coupe 04</li> <li>• Inspection of riparian buffer: Block 4/5 Copue 04- Sg.Talah</li> <li>•</li> </ul>                                | <ul style="list-style-type: none"> <li>• Inspection of post-harvesting and Enrichment planting: Block 19,Coupe 04</li> <li>• Inspection of PSP: PSP 3-SU 13, Coupe 14.</li> <li>• Post-F assessment: Block 10, Coupe 04.</li> <li>• Inspection of riparian buffer : Inside PSP 3- SU 13- Sungai Gulang</li> <li>• Terrain IV : Block 19, Coupe 04</li> </ul> | <ul style="list-style-type: none"> <li>• Travel back to Kapit</li> <li>• Visit JTK Kapit</li> <li>• Travel to Raplex base camp.</li> <li>• Overnight in base camp.</li> </ul>                                                                                                                                    |
|                                                             |                      | • Review of Day 2 Findings by Audit Team Leader                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                  |
| <b>Day 3</b><br><br><b>Wednesday</b><br><br><b>5/4/2023</b> | 8.00 am –<br>5.00 pm | <b>AUDITOR 1</b><br><b>(Hj. Roslee)</b>                                                                                                                                                                                                                                                   | <b>AUDITOR 2</b><br><b>(Ismail)</b>                                                                                                                                                                                                                                                                                                                          | <b>AUDITOR 3</b><br><b>(Puteri)</b>                                                                                                                                                                                                                                                                              |
|                                                             |                      | <ul style="list-style-type: none"> <li>• Camp inspection - diesel storage, lubricant store, SW store, workshop, housing, genset, chemical store.</li> <li>• Nursery</li> <li>• Fire management</li> <li>• HCV 6 – Pulau Mali</li> <li>• HCV Salt Lick- Sungai Suau – Coupe 18.</li> </ul> | <ul style="list-style-type: none"> <li>• Consultation with local community: Rh. Palus Rh. Aji</li> <li>• External Boundary- Sungai Pila</li> </ul> <p>Inspection of CoC at Point of Royalty Marking (PoRM) and CDC.</p>                                                                                                                                      | <ul style="list-style-type: none"> <li>• Consultation with Workers</li> <li>• Documentation and records review</li> <li>• Principle 2 – Tenure and Use Rights and Responsibilities</li> <li>• Principle 3 – Indigenous Peoples’ Right</li> <li>• Principle 4 - Community Relations and Worker’s Right</li> </ul> |
|                                                             |                      | • Review of Day 3 Findings by Audit Team Leader                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                  |

| Day 4              |                                                                                      | AUDITOR 1<br>(Hj.Roslee)                     | AUDITOR 2<br>(Ismail)                        | AUDITOR 3<br>(Puteri)                        |
|--------------------|--------------------------------------------------------------------------------------|----------------------------------------------|----------------------------------------------|----------------------------------------------|
| Thursday           | 8.00 am –<br>5.00 pm                                                                 | • Continue documentation and records review. | • Continue documentation and records review. | • Continue documentation and records review. |
| 6/4/2023           |                                                                                      | • Closing Meeting                            |                                              |                                              |
|                    |                                                                                      | Travel to Sibul and overnight in Sibul       |                                              |                                              |
| Friday<br>7/4/2023 | All Auditors travel from Sibul to Kuala Lumpur - <b>MH2715 ETD: 11.15, ETA 13.15</b> |                                              |                                              |                                              |

**END OF REPORT**